



Cite this: *Catal. Sci. Technol.*, 2015, 5, 1443

Received 15th October 2014,  
Accepted 21st January 2015

DOI: 10.1039/c4cy01351d

www.rsc.org/catalysis

A mild one-pot methodology has been developed to deracemise *rac*-2-phenyl-1-propanol by combining the use of non-selective laccase/TEMPO-mediated oxidation with enantioselective bio-reduction of the racemic aldehyde intermediate under dynamic conditions. The process was easily scalable and stereocontrollable by selecting the suitable biocatalyst.

The preparation of an enantiomerically pure product in 100% yield from an inexpensive racemate in a one-pot fashion has gained significant attention *via* biocatalytic deracemisation processes.<sup>1</sup> Among the toolbox of enzymes of interest, oxidoreductases occupy a prominent position.<sup>2</sup> In the last few years, the number of examples in which these enzymes have been successfully used with synthetic purposes has increased significantly, showing their high versatility.<sup>3</sup> In fact, oxidoreductases have been proven useful for a set of transformations even on the industrial scale, especially for the preparation of enantiopure alcohol intermediates.<sup>4</sup>

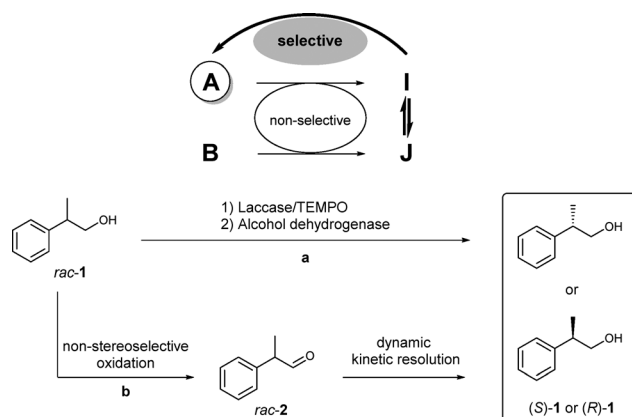
Driven by the need to enhance the atom economy of chemical transformations, especial interest has been focused on the development of (bio)catalytic transformations that combine two or more processes in one-pot.<sup>5</sup> On the one hand, alcohol dehydrogenases (ADHs) have been successfully employed together with other (bio)catalysts in chemoenzymatic routes currently employed for the generation of various pharmaceuticals.<sup>6</sup> On the other hand, laccases are attracting the attention of synthetic chemists since they consume molecular oxygen to oxidise, *e.g.* phenolic compounds;<sup>7</sup> nevertheless, primary and secondary alcohols are also oxidised in the presence of a mediator. Therefore, laccases

## Deracemisation of profenol core by combining laccase/TEMPO-mediated oxidation and alcohol dehydrogenase-catalysed dynamic kinetic resolution†

Alba Díaz-Rodríguez,<sup>\*a</sup> Nicolás Ríos-Lombardía,<sup>a</sup> Johann H. Sattler,<sup>b</sup> Iván Lavandera,<sup>a</sup> Vicente Gotor-Fernández,<sup>a</sup> Wolfgang Kroutil<sup>b</sup> and Vicente Gotor<sup>\*a</sup>

have found a number of applications in academia and industry.<sup>8</sup>

Herein, we report a multienzymatic approach for the deracemisation of the  $\beta$ -chiral alcohol 2-phenyl-1-propanol *rac*-1, the key core of NSAID drugs, by combining two redox processes in a stepwise or one-pot fashion. Other methodologies have been achieved for the deracemisation of mainly secondary alcohols using whole cells or enantiocomplementary ADHs.<sup>2c,9</sup> Here, the designed redox sequence involves the non-stereoselective oxidation of *rac*-1 by a laccase/TEMPO system<sup>10</sup> to the chiral labile aldehyde *rac*-2, followed by selective reduction with an ADH in a dynamic kinetic resolution process (DKR, Scheme 1). While the DKR of *rac*-2 has been performed in the presence of alcohol dehydrogenases such as horse liver ADH (HLADH)<sup>11</sup> or *Sulfolobus solfataricus* ADH (SsADH),<sup>12</sup> these previous approaches start with the aldehyde although racemic alcohol *rac*-1 is more accessible and cheaper than the corresponding aldehyde. Moreover, aldehyde 2 is



**Scheme 1** General strategy for the deracemisation of profenol 1 in (a) a two-step one-pot fashion involving a change in pH to favour the ADH-catalysed bioreduction process; (b) a two-step two-pot fashion involving the isolation of the aldehyde intermediate after an extraction protocol. A and B are substrate enantiomers; I and J are intermediate enantiomers. Arrows in bold shows the dynamic process.

<sup>a</sup> Departamento de Química Orgánica e Inorgánica, Universidad de Oviedo, Instituto Universitario de Biotecnología de Asturias, Avenida Julián Clavería 8, 33006 Oviedo, Spain. E-mail: alba.x.diaz-rodriguez@gsk.com, vgs@uniovi.es

<sup>b</sup> Department of Chemistry, Organic and Bioorganic Chemistry, University of Graz, NAWI Graz, Heinrichstrasse 28, 8010 Graz, Austria

† Electronic supplementary information (ESI) available: Experimental procedures, analytical methods and NMR spectra. See DOI: 10.1039/c4cy01351d

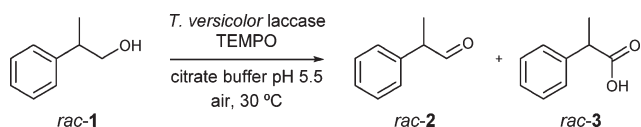


unstable and has been proven to undergo decarboxylation.<sup>13</sup> It is very important to note that in previous reports, only the enantiomer (*S*)-1 was obtained; therefore the development of a methodology that could afford both enantiomers is of interest.<sup>14</sup> Additionally, the previous example that used HLADH with this substrate was performed at 0.5 mM concentration, which is not convenient for synthetic purposes.<sup>11b</sup>

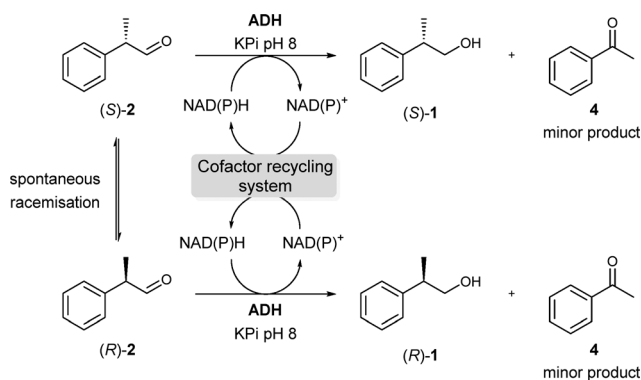
The laccase/TEMPO system,<sup>10,15</sup> e.g. *Trametes versicolor* laccase/TEMPO, has already been described for the selective transformation of racemic 2-phenyl-1-propanol (*rac*-1, Scheme 2) to 2-phenylpropionaldehyde (*rac*-2) in a very good yield (85%).<sup>15h</sup> Nevertheless, aldehyde 2 was obtained as a racemate.

First, we studied the laccase-catalysed process in detail (see the ESI,† Tables S1 and S2). At a substrate concentration of 30 mM, the oxidation of *rac*-1 gave *rac*-2 in 85% yield after 2 h employing laccase from *T. versicolor* and TEMPO (Table S2†). Under these conditions, we also observed the formation of racemic 2-phenylpropionic acid *rac*-3 (15%, Scheme 2) which was tried to be minimised. Thus, the oxidation reaction was run at a more elevated substrate concentration (90 mM), leading to a decrease in the undesired carboxylic acid (<7%, Table S2†).

Focusing now on the enantioselective reduction step (Scheme 3), we tested a number of ADHs available in our laboratory to find the best candidates for the deracemisation protocol. Initial experiments showed that ADH from *E. coli* (ADH-P)<sup>16</sup> and HLADH<sup>11a</sup> were the best options for obtaining (*S*)-1 with 94% and 90% ee, respectively (entries 1–2, Table 1). On the other hand, Evo-1.1.200 (ref. 17) led to the other antipode (*R*)-1 although with moderate selectivity (entry 8, Table 1). In all these cases, ethanol or 2-propanol was employed as a cosubstrate for cofactor recycling in a “coupled-substrate” mode.



**Scheme 2** Oxidation of 2-phenyl-1-propanol (*rac*-1) using the laccase/TEMPO catalytic system.



**Scheme 3** ADH-catalysed reduction of *rac*-2 to obtain either (*S*)-1 or (*R*)-1.

**Table 1** Conversions and ee for the ADH-catalysed bioreduction of *rac*-2<sup>a</sup>

Entry	ADH	<i>c</i> (%)	ee (%)
1	HLADH	>97	90 ( <i>S</i> )
2	ADH-P	>97	94 ( <i>S</i> )
3	ADH-T	>97	8 ( <i>S</i> )
4	LBADH	96	48 ( <i>S</i> )
5	SyADH	>97	50 ( <i>S</i> )
6	RasADH	>97	10 ( <i>S</i> )
7	ADH-A	95	6 ( <i>S</i> )
8	Evo-1.1.200	>97	68 ( <i>R</i> )

<sup>a</sup> Reactions were performed at 30 mM substrate concentration, pH 8 and 30 °C, conversions were determined by GC and enantiomeric excesses were determined by chiral HPLC.

Then, additional experiments were carried out in order to enhance the enantiomeric excess of (*R*)-1 (entries 1–4, Table 2). A considerable improvement was observed with Evo-1.1.200 when the bioreduction was performed at pH 9 (≥90% (*R*), entries 1 and 2, Table 2). In fact, (*R*)-selective Evo-1.1.200 showed an improved enantioselection with increasing temperature or/and pH. Remarkably, despite the increase in ee for the desired alcohol (*R*)-1, the formation of acetophenone 4 as a by-product<sup>13</sup> was also detected under more drastic conditions (entries 2–4, Table 2). Blank reactions using aldehyde 2 indicated that this compound was not stable at elevated temperatures or pH values. Thus, pH 9 and a temperature of 30 °C were chosen for Evo-1.1.200 (entry 1, Table 2). Similar experiments were performed for HLADH (entries 5–8, Table 2) and ADH-P (ESI,† Table S4). However, these bioprocesses did not show any improvement at higher pH values or temperatures so a pH 8 and 30 °C were further used for HLADH (entry 5, Table 2).

After these initial studies, a stepwise procedure was investigated. Thus, after the laccase-mediated oxidation, the bioreduction was carried out without isolating the aldehyde intermediate, thus the extracted crude product from the oxidation was directly used in the reduction. In general, the overall transformation of *rac*-1 into enantioenriched (*R*)- or (*S*)-1 proceeded similarly well with HLADH and Evo-1.1.200, resulting in high conversions (>95%) and good ee values (86–87%, entries 1–2, Table 3). Remarkably, only traces of the

**Table 2** Bioreduction of racemic aldehyde 2 at different pH values and temperatures

Entry	ADH	Conditions	<i>c</i> <sup>a</sup> (%)	ee <sup>b</sup> (%)
1	Evo-1.1.200	pH 9, 30 °C	>97 (5)	90 ( <i>R</i> )
2	Evo-1.1.200	pH 9, 60 °C	>97 (10)	94 ( <i>R</i> )
3	Evo-1.1.200	pH 10, 30 °C	97 (6)	88 ( <i>R</i> )
4	Evo-1.1.200	pH 10, 45 °C	90 (10)	>97 ( <i>R</i> )
5	HLADH	pH 8, 30 °C	>97 (5)	90 ( <i>S</i> )
6	HLADH	pH 8, 60 °C	>97 (16)	94 ( <i>S</i> )
7	HLADH	pH 9, 30 °C	>97 (14)	88 ( <i>S</i> )
8	HLADH	pH 10, 30 °C	>97 (14)	94 ( <i>S</i> )

<sup>a</sup> Conversions were determined by GC and the percentage of acetophenone appears in brackets. <sup>b</sup> Enantiomeric excesses were determined by chiral HPLC.



**Table 3** Deracemisation of *rac*-1 to (*R*)- or (*S*)-1 in a stepwise or one-pot reaction sequence<sup>a</sup>

Entry	ADH	1 <sup>b</sup>	2	4	ee (%)
1 <sup>c</sup>	Evo-1.1.200	75	5	20	86 ( <i>R</i> )
2 <sup>c</sup>	HLADH	87	<1	12	87 ( <i>S</i> )
3 <sup>c</sup>	ADH-P	79	10	10	88 ( <i>S</i> )
4 <sup>d</sup>	Evo-1.1.200	85 (72)	7	8	86 ( <i>R</i> )
5 <sup>d</sup>	HLADH	84 (71)	<1	16	82 ( <i>S</i> )

<sup>a</sup> Conversions were determined by GC and enantiomeric excesses were determined by chiral HPLC. <sup>b</sup> Isolated yields appear in brackets. <sup>c</sup> Stepwise transformation. <sup>d</sup> One-pot transformation.

carboxylic acid were observed in these two-step processes. For ADH-P, this reaction did not run to completion (entry 3).

Consequently, these transformations were carried out in one-pot. As the initial experiments indicated that both reactions could proceed on a preparative scale, the deracemisations were performed on a 150 mg scale. For this purpose, the non-stereoselective oxidation of **1** (90 mM) with laccase/TEMPO was left for 3.5 h in citrate buffer at pH 5.5 and, after that time, the solution was diluted with a phosphate buffer and the pH was adjusted (pH 8 for HLADH and pH 9 for Evo-1.1.200; final substrate concentration = 30 mM). Since the laccase was not active at pH 8, overoxidation of the enantioenriched aldehyde was negligible. Finally, the NADH cofactor (1 mM) and cosubstrate (5% v/v) were added. The reaction products were isolated in 71 and 72% yield and ee = 82–86% for both enantiomers (entries 4–5, Table 3). Significantly, the optical purity of the resulting alcohol **1** decreased in comparison with the values obtained from the single bio-reduction reaction (Table 2), which can be caused by side-oxidation reactions<sup>18</sup> during the sequence and the loss of the ADH efficiency at prolonged times.

In an attempt to broaden the scope of this methodology, ibuprofenol and naproxenol were also tried as substrates under laccase/TEMPO-catalysed oxidative conditions. Unfortunately, we found some solubility issues for both alcohols, obtaining mixtures of the corresponding aldehydes and carboxylic acids to a similar extent in the *Trametes versicolor* laccase-mediated transformation. Using a plain buffer as a solvent or different quantities of a cosolvent such as methyl *tert*-butyl ether or acetonitrile did not overcome this limitation (data not shown). Medium engineering studies will be necessary to select more appropriate oxidative conditions and will be reported in due course.

## Conclusions

In summary, a new strategy to deracemise 2-phenyl-1-propanol was described by combining a chemoenzymatic approach for the non-selective oxidation of this alcohol, followed by dynamic reductive kinetic resolution of the aldehyde intermediate. The cascade reaction consisted of aerobic oxidation mediated by laccase from *Trametes versicolor* and TEMPO, while the stereoselective reduction of the corresponding racemic aldehyde intermediate was mediated by an ADH under

dynamic conditions. These intermediates have been proven to be unstable, so the use of a sequential or one-pot deracemisation procedure starting from the stable racemic alcohol is highly desirable. Using this methodology, both enantiomers were accessible by using two stereocomplementary ADHs, mainly HLADH and Evo-1.1.200. This strategy was successfully carried out on a preparative scale (150 mg), therefore representing a powerful synthetic route for the preparation of optically active profenol-like compounds.

## Acknowledgements

We thank Prof. Martina Pohl for the generous donation of HLADH plasmid. This research is part of the BIONEXGEN project (grant agreement 266025) sponsored by the European Union inside the 7th Framework Programme (FP7 2007-2013). Financial support from MICINN (projects CTQ2011-24237 and CTQ2013-44153-P) is also gratefully acknowledged. I.L. thanks the Spanish MICINN for personal funding (Ramón y Cajal Program).

## Notes and references

- (a) K. Faber, *Chem. – Eur. J.*, 2001, 7, 5004–5010; (b) N. J. Turner, *Curr. Opin. Chem. Biol.*, 2010, 14, 115–121; (c) M. Rachwalski, N. Vermue and F. P. J. T. Rutjes, *Chem. Soc. Rev.*, 2013, 42, 9268–9282.
- (a) C. C. Gruber, I. Lavandera, K. Faber and W. Kroutil, *Adv. Synth. Catal.*, 2006, 348, 1789–1805; (b) F. Hollmann, I. W. C. E. Arends, K. Buehler, A. Schallmeyer and B. Bühler, *Green Chem.*, 2011, 13, 226–265; (c) F. Hollmann, I. W. C. E. Arends and D. Holtmann, *Green Chem.*, 2011, 13, 2285–2313; (d) K. Robins and A. Osorio-Lozada, *Catal. Sci. Technol.*, 2012, 2, 1524–1530.
- (a) T. Matsuda, R. Yamanaka and K. Nakamura, *Tetrahedron: Asymmetry*, 2009, 20, 513–517; (b) G. W. Huisman, J. Liang and A. Krebber, *Curr. Opin. Chem. Biol.*, 2010, 14, 122–129; (c) M. M. Musa and R. S. Phillips, *Catal. Sci. Technol.*, 2011, 1, 1311–1323; (d) M. Hall and A. S. Bommarium, *Chem. Rev.*, 2011, 111, 4088–4110; (e) Y. Ni and J.-H. Xu, *Biotechnol. Adv.*, 2012, 30, 1279–1288; (f) *Enzyme Catalysis in Organic Synthesis*, ed. K. Drauz, H. Gröger and O. May, Wiley-VCH, Weinheim, 3rd edn, 2012.
- (a) S. M. A. de Wildeman, T. Sonke, H. E. Schoemaker and O. May, *Acc. Chem. Res.*, 2007, 40, 1260–1266; (b) K. Götz, L. Hilterhaus and A. Liese, in *Enzyme Catalysis in Organic Synthesis*, ed. K. Drauz, H. Gröger and O. May, Wiley-VCH, Weinheim, 3rd edn, 2012, pp. 1205–1223; (c) A. S. Rowan, T. S. Moody, R. M. Howard, T. J. Underwood, I. R. Miskelly, Y. He and B. Wang, *Tetrahedron: Asymmetry*, 2013, 24, 1369–1381.
- (a) A. Bruggink, R. Schoevaart and T. Kieboom, *Org. Process Res. Dev.*, 2003, 7, 622–640; (b) E. Ricca, B. Brucher and J. H. Schrittwieser, *Adv. Synth. Catal.*, 2011, 353, 2239–2262; (c) J. H. Schrittwieser, J. Sattler, V. Resch, F. G. Mutti and W. Kroutil, *Curr. Opin. Chem. Biol.*, 2011, 15, 249–256; (d)



- F. R. Bisogno, I. Lavandera and V. Gotor, in *Kirk-Othmer Encyclopedia of Chemical Technology*, John Wiley & Sons, Hoboken, 2011, pp. 1–20; (e) H. Pellissier, *Tetrahedron*, 2013, **69**, 7171–7210; (f) I. Oroz-Guinea and E. García-Junceda, *Curr. Opin. Chem. Biol.*, 2013, **17**, 236–249; (g) C. A. Denard, J. F. Hartwig and H. Zhao, *ACS Catal.*, 2013, **3**, 2856–2864.
- 6 H. Gröger and W. Hummel, *Curr. Opin. Chem. Biol.*, 2014, **19**, 171–179.
- 7 (a) E. I. Solomon, U. M. Sundaram and T. E. Machonkin, *Chem. Rev.*, 1996, **96**, 2563–2605; (b) H. Claus, *Arch. Microbiol.*, 2003, **179**, 145–150; (c) S. G. Burton, *Curr. Org. Chem.*, 2003, **7**, 1317–1331; (d) H. Claus, *Micron*, 2004, **35**, 93–96; (e) P. Astolfi, P. Brandi, C. Galli, P. Gentili, M. F. Gerini, L. Greci and O. Lanzalunga, *New J. Chem.*, 2005, **29**, 1308–1317; (f) S. Camarero, D. Ibarra, M. J. Martínez and A. T. Martínez, *Appl. Environ. Microbiol.*, 2005, **71**, 1775–1784; (g) L. Quintanar, C. Stog, A. B. Taylor, P. J. Hart, D. J. Kosman and E. I. Solomon, *Acc. Chem. Res.*, 2007, **40**, 445–452.
- 8 For reviews dealing with applications of laccases, see: (a) S. Riva, *Trends Biotechnol.*, 2006, **24**, 219–226; (b) S. R. Couto and J. L. T. Herrera, *Biotechnol. Adv.*, 2006, **24**, 500–513; (c) S. Witayakran and A. J. Ragauskas, *Adv. Synth. Catal.*, 2009, **351**, 1187–1209; (d) T. Kudanga, G. S. Nyanhongo, G. M. Guebitz and S. Burton, *Enzyme Microb. Technol.*, 2011, **48**, 195–208; (e) Z. Yang, *Synlett*, 2012, **23**, 2574–2575; (f) M. Mogharabi and M. A. Faramarzi, *Adv. Synth. Catal.*, 2014, **356**, 897–927.
- 9 (a) C. V. Voss, C. C. Gruber, K. Faber, T. Knaus, P. Macheroux and W. Kroutil, *J. Am. Chem. Soc.*, 2008, **130**, 13969–13972; (b) C. V. Voss, C. C. Gruber and W. Kroutil, *Synlett*, 2010, 991–998; (c) C. E. Paul, I. Lavandera, V. Gotor-Fernández, W. Kroutil and V. Gotor, *ChemCatChem*, 2013, **5**, 3875–3881.
- 10 K. Kędziora, A. Díaz-Rodríguez, I. Lavandera, V. Gotor-Fernández and V. Gotor, *Green Chem.*, 2014, **16**, 2448–2453.
- 11 (a) J. Grunwald, B. Wirz, M. P. Scollar and A. M. Klivanov, *J. Am. Chem. Soc.*, 1986, **108**, 6732–6734; (b) D. Giacomini, P. Galletti, A. Quintavalla, G. Gucciardo and F. Paradisi, *Chem. Commun.*, 2007, 4038–4040; (c) P. Galletti, E. Emer, G. Gucciardo, A. Quintavalla, M. Pori and D. Giacomini, *Org. Biomol. Chem.*, 2010, **8**, 4117–4123; (d) S. Kara, D. Spickermann, J. H. Schrittwieser, C. Leggewie, W. J. H. van Berkel, I. W. C. E. Arends and F. Hollmann, *Green Chem.*, 2013, **15**, 330–335.
- 12 J. A. Friest, Y. Maezato, S. Broussy, P. Blum and D. B. Berkowitz, *J. Am. Chem. Soc.*, 2010, **132**, 5930–5931.
- 13 (a) D. P. Riley, D. P. Getman, G. R. Beck and R. M. Heintz, *J. Org. Chem.*, 1987, **52**, 287–290; (b) C. S. Fuchs, M. Hollauf, M. Meissner, R. C. Simon, T. Besset, J. N. H. Reek, W. Riethorst, F. Zepeck and W. Kroutil, *Adv. Synth. Catal.*, 2014, **356**, 2257–2265.
- 14 Up to now, there is just one report about an (*R*)-selective enzyme applied to this substrate from *Thermus thermophilus* HB27, although the alcohol was obtained with moderate ee (71%), see: J. Rocha-Martín, D. Vega, J. M. Bolivar, A. Hidalgo, J. Berenguer, J. M. Guisán and F. López-Gallego, *Bioresour. Technol.*, 2012, **103**, 343–350.
- 15 (a) M. Fabbrini, C. Galli, P. Gentili and D. Macchitella, *Tetrahedron Lett.*, 2001, **42**, 7551–7553; (b) A. Barilli, F. Belinghieri, D. Passarella, G. Lesma, S. Riva, A. Silvani and B. Danieli, *Tetrahedron: Asymmetry*, 2004, **15**, 2921–2925; (c) M. Marzorati, B. Danieli, D. Haltrich and S. Riva, *Green Chem.*, 2005, **7**, 310–315; (d) D. Monti, A. Candido, M. M. Cruz Silva, V. Krěn, S. Riva and B. Danieli, *Adv. Synth. Catal.*, 2005, **347**, 1168–1174; (e) I. W. C. E. Arends, Y.-X. Li, R. Ausan and R. A. Sheldon, *Tetrahedron*, 2006, **62**, 6659–6665; (f) A. Díaz-Rodríguez, I. Lavandera, S. Kanbak-Aksu, R. A. Sheldon, V. Gotor and V. Gotor-Fernández, *Adv. Synth. Catal.*, 2012, **354**, 3405–3408; (g) J. Gross, K. Tauber, M. Fuchs, N. G. Schmidt, A. Rajagopalan, K. Faber, W. M. F. Fabian, J. Pfeffer, T. Haas and W. Kroutil, *Green Chem.*, 2014, **16**, 2117–2121; (h) A. Díaz-Rodríguez, L. Martínez-Montero, I. Lavandera, V. Gotor and V. Gotor-Fernández, *Adv. Synth. Catal.*, 2014, **356**, 2321–2329.
- 16 A. Karlsson, M. El-Ahmad, K. Johansson, J. Shafqat, H. Jörnvall, H. Eklund and S. Ramaswamy, *Chem.-Biol. Interact.*, 2003, **143–144**, 239–245.
- 17 ADH commercially available from Evocatal GmbH, see: R. C. Simon, E. Busto, N. Richter, F. Belaj and W. Kroutil, *Eur. J. Org. Chem.*, 2014, 111–121.
- 18 P. Könst, H. Merckens, S. Kara, S. Kochius, A. Vogel, R. Zuhse, D. Holtmann, I. W. C. E. Arends and F. Hollmann, *Angew. Chem., Int. Ed.*, 2012, **51**, 9914–9917.

