

# Optimization approach to unified AC/DC power flow applied to traction systems with catenary voltage constraints

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## Abstract

This paper presents two innovative contributions related to the combined AC/DC power flow in railway power supply systems (RPSS). First, most of the power flow equations (the linear ones) are expressed in a compact matrix form by using graph theory based protocol. Such approach simplifies the statement of the unified power flow problem and allows the train motion to be modeled without varying the system topology. Second, the problem is formulated as an Optimization Problem (OP) instead of using the non-constrained power flow approach. This technique allows the authors to simulate the effect of trains regenerative braking, considering system constraints such as the catenary voltage limit, which determines the amount of available regenerated energy injected to the network, and burned through the resistors.

*Keywords:* Reversible substations, Regenerative braking, Load Flow,

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1 **Nomenclature**

2 *Variables*

3	<b><math>\Gamma</math></b>	Incidence matrix.
4	<b><math>\mathbf{R}, \mathbf{X}</math></b>	Resistance and reactance matrices.
5	<b><math>\mathbf{v}, \mathbf{i}</math></b>	Voltage and current vectors.
6	<b><math>\mathbf{I}, \mathbf{S}</math></b>	Identity and block diagonal matrices.
7	<b><math>\mathbf{M}</math></b>	Linear equations matrix.
8	<b><math>\mathbf{z}</math></b>	Current and voltage solution vector.
9	<b><math>\mathbf{P}, \mathbf{Q}</math></b>	Active and reactive power matrices.
10	$n$	Number of.
11	$R_{eqi}$	Converter equivalent resistance.

12 *Superscripts*

13	$T$	Transpose matrix.
14	$DC$	DC system.
15	$AC$	AC system.
16	$L$	Links.

17 *Subscripts*

18	$t, s$	Train, substation.
19	$d, q$	Direct and quadrature components.
20	$B, N$	Branch, node.
21	$i, j$	ith and jth element.

## 22 1. Introduction

23 Modern electric locomotive units include regenerative braking mainly for  
24 three reasons. The first one is the energy saving when a train injects part  
25 of the braking kinetic energy into the electrical grid, to be consumed by a  
26 nearby powering train or returned to the AC system through a reversible  
27 substation. The second one is a security reason. Pneumatic braking system  
28 can not cover long distances with long gradients and it must be combined with  
29 some kind of electrical braking. In addition, the use of regenerative braking  
30 instead other electric braking systems, prevents from the tunnel temperature  
31 rising in underground railways [? ], minimizing energy consumption in air-  
32 conditioning or ventilating equipments.

33 A common situation nowadays is the use of modern units in old RPSS.  
34 In these systems, the energy injected into the system by a train when it  
35 is braking, must be consumed by other trains plus some electrical losses,  
36 because in networks with no reversible substations, the energy can not flow  
37 upstream through the non-controlled rectifiers. If the available regenerated  
38 braking energy is greater than the demanded energy, the train must activate  
39 the rheostatic braking when the catenary voltage reaches a given value (for  
40 instance, 1800V for 1500V RPSS).

41 In this situation, it is necessary to develop AC/DC combined power flow  
42 methods, considering the use of regenerative units in DC traction networks  
43 with catenary voltage constraints and no reversible substations.

44 Two main trends for computing the power flow in AC-supplied DC trac-  
45 tion systems are reported in the literature. The *unified method* introduced  
46 in [? ] and improved in [? ? ? ] simultaneously solves the whole sys-

47 tem AC/DC equations. This method has also been called extended variable  
48 method, because the DC variables are added to the AC solution vector. The  
49 main drawback of this sort of methods is that they are very hard to program  
50 [? ]. This disadvantage was overcome in [? ] applying the graph theory and  
51 matrix formulation to the problem statement. However, in the cited work the  
52 possibility of including constraints to the problem has not been considered.

53 The second trend is the *sequential method*. It was proposed in [? ] and  
54 evolved in [? ? ? ? ]. It applies an iterative procedure between AC and  
55 DC systems. This method considers AC/DC converters as voltage or current  
56 sources from the DC subsystem point of view, and loads from the AC point  
57 of view. In most of the cases, a plain voltage profile in the DC subsystem is  
58 assumed in all DC nodes. Under this assumption, the power demanded by  
59 each substation from the AC system is computed. Thereafter the AC power-  
60 flow is solved to correct the initial DC voltage profile. The main advantage  
61 of sequential methods lies in the simplicity of implementation, however they  
62 present some convergence problems [? ].

63 In most of the above described works, the trains are always electrical  
64 loads and the problem of the catenary voltage rise in case of the regenerative  
65 braking is not considered. First works proposing power flows in DC traction  
66 networks with unidirectional substations and constrained voltages in cate-  
67 naries during regenerative braking were developed in [? ? ? ]. Modeling  
68 regenerative braking with this kind of traditional methods is possible, but  
69 it requires an iterative process because the available power can not be the  
70 final regenerated power due to the catenary voltage constraints. An initial  
71 regenerated power must be supposed and corrected in successive iterations.

72 With the proposed approach the final regenerated power is obtained avoiding  
73 this iterative process.

74 In [? ? ] only the DC subsystem problem is considered. The AC/DC  
75 substations are assumed as DC voltage or current sources, with a series or  
76 parallel connected resistance respectively. The use of this approach, however,  
77 does not consider the effect of the AC grid voltage drops in the DC subsystem.  
78 Thus, in a real scenario two identical AC/DC converters with the same load  
79 level and different voltage outputs can be found [? ]; but with the above  
80 described methods such situation cannot be modeled.

81 In [? ] a combined AC/DC load flow based on a sequential approach  
82 using the Gauss-Seidel method is proposed. In this case, the effect of the  
83 AC network can be simulated but the iterative process to obtain the power  
84 injected by the trains is similar to the previous described.

85 In [? ], the authors study the effect of the bidirectional substations in  
86 the DC voltage profile considering units with regenerative braking. The  
87 sequential approach is adopted to solve the combined AC/DC power flow.  
88 However, in this case, no voltage constraints are considered and the authors  
89 just compare the obtained voltages with and without reversible substations.

90 This work presents the next innovative contributions when compared with  
91 previous work:

- 92 • A Graph theory based method to describe the AC/DC electrical system  
93 and the space-time variation of the loads (trains) is developed [? ].  
94 The use of graph theory to describe, analyze and solve power systems  
95 is not new, but it is still in vogue [? ? ? ]. In the present work, the  
96 authors have used such theory to propose the systematic statement of

97 the equations based on a matrix formulation and allowing the use of  
98 sparsity techniques that reduce the computational time.

- 99 • It also combines the unified power flow approach with the regenerative  
100 braking of the units but considering the system constraints (in this case  
101 the catenary voltage).
- 102 • Due to the problem constraints, in case of regenerative braking, some-  
103 times the injected power is less than the available regenerated power,  
104 and part of this regenerated power must be burned in the rheostatic  
105 brakes. The statement of the problem as an optimization problem,  
106 permit us to make the injected power calculation without the need of  
107 an iterative process.

108 The authors have used the widely accepted stationary equivalent method  
109 for moving loads proposed in [? ]. This method assumes that the train speed  
110 is not so high as to induce pronounced electrical transients, and that the DC  
111 traction network evolves slowly from one state to another as the locations  
112 and the trains input power vary. Using this stationary equivalent, temporal  
113 analyses of RPSS are computed by solving successive time instants.

114 The paper will be structured as follows; In section 2, the problem state-  
115 ment will be described. First, a general overview of the problem will be given  
116 and then we will explain the proposed method to describe the AC/DC topol-  
117 ogy, the movement of the trains and the system constraints. In section 3 a  
118 set of cases of study are presented and validated by using a commercial soft-  
119 ware (DIgSILENT). Once the instantaneous results are validated, the same  
120 procedure is applied to the Vitoria Tram case (city located in the north of

121 Spain). Finally, the conclusions are presented in section 4.

## 122 2. Problem Statement

The combined AC/DC power flow will be stated as an OP [? ? ]. This will allow us to study the effect of the regenerative braking over the network, considering no bidirectional substations and constrained catenary voltages without the need of an iterative procedure. The mathematical formulation will be expressed as follows:

$$\begin{aligned} \min \quad & f(\mathbf{z}) \\ \text{subject to} \quad & g(\mathbf{z}) = 0 \\ & l(\mathbf{z}) \leq 0 \\ & \mathbf{z}_{min} \leq \mathbf{z} \leq \mathbf{z}_{max} \end{aligned} \tag{1}$$

123 Where:

- 124 •  $\mathbf{z}$  is a vector of unknowns with lower and upper limits,  $\mathbf{z}_{min}$  and  $\mathbf{z}_{max}$   
125 respectively. It contains all network currents and voltages. In the  
126 DC part, the variables are the branch currents, the currents absorbed  
127 or injected by the trains, the currents in the DC part of the links,  
128 which connect the AC and the DC subsystems, and the voltages in  
129 all DC nodes, including substations and trains. Regarding the AC  
130 subsystem, the vector  $\mathbf{z}$  contains all node voltages and branch currents  
131 in  $dq$  components.
- 132 •  $f(\mathbf{z})$  is a scalar function modeling the power demanded by all trains.  
133 The roll that this function plays, is making the trains to inject the

134 maximum power without exceeding the maximum permitted catenary  
 135 voltage during a regenerative braking process.

- 136 •  $g(\mathbf{z})$  is a set with all equations needed to solve the power flow. They can  
 137 be divided into two subsets. The first one contains Kirchhoff Current  
 138 and Voltage laws (KCL and KVL), these equations are linear and they  
 139 are expressed in a compact matrix form as it will be explained below.  
 140 The second one is a set of non-linear equations modeling the  $PQ$  and  
 141  $PV$  nodes of the AC subsystem, the converters of the links between the  
 142 AC and the DC subsystems and the trains in traction mode.

- 143 •  $l(\mathbf{z})$  is a set of non linear inequalities modeling two processes. The  
 144 former is the trains behavior when they are regenerating energy during  
 145 the braking process. The latter forces the unidirectional power flow in  
 146 the rectifiers connecting the AC and the DC subsystem.

147 In the following subsections, the proposed formulation will be extended. In  
 148 tables 9 and 10, all vectors and matrices used in the proposed formulation  
 149 with their dimensions can be observed.

### 150 2.1. Objective Function

We have defined the objective function  $f(\mathbf{z})$  as the sum of demanded power by all trains. It can be expressed as follows:

$$f(\mathbf{z}) = \sum_{i=1}^{n_t} P_{ti} = \sum_{i=1}^{n_t} v_{Ni}^{DC} i_{ti}^{DC} = 0 \quad (2)$$

151 Previous works solved this kind of problem by using an iterative process.  
 152 In such process, an initial value of trains injected power was assumed. Then



153 the catenary voltage was calculated by means of a traditional power flow  
 154 approach and if it exceed its maximum, the initial value was corrected. This  
 155 procedure was repeated until convergence.

156 With the proposed OP approach, the solution will be the one permit-  
 157 ting the maximum power injected by the trains, with no catenary voltage  
 158 constraint violation.

## 159 2.2. Power Flow Equations

160 All linear equations are stated in a compact matrix form based in graph  
 161 theory. The proposed method uses the node incidence matrix  $\mathbf{\Gamma}$  to obtain  
 162 such equations simplifying the implementation procedure when compared  
 163 with the traditional one. The use of  $\mathbf{\Gamma}$  for summarizing the network topol-  
 164 ogy is well known in graph theory [? ]. The  $\mathbf{\Gamma}$  rows and columns will  
 165 represent respectively the graph edges (lines or branches in our case) and  
 166 nodes (substations). The  $\mathbf{\Gamma}_{ij}$  elements are defined as follows:

- 167 •  $\mathbf{\Gamma}_{ij} = 1$  when positive current in branch  $i$ , leaves node  $j$ .
- 168 •  $\mathbf{\Gamma}_{ij} = -1$  when positive current in branch  $i$ , flows towards node  $j$ .
- 169 •  $\mathbf{\Gamma}_{ij} = 0$  when no connection exists.

By using the  $\mathbf{\Gamma}$  matrix, all equations representing Kirchhoff's Voltage and  
 Current Laws, can be expressed in a compact form as follows:

$$g(\mathbf{z}) = \mathbf{Mz}^T = 0 \quad (3)$$

170 Where  $\mathbf{z}$  is the vector representing voltage and current magnitudes that is  
 171 formed as follows:

$$\mathbf{z} = \begin{bmatrix} \mathbf{i}_B^{DC} & \mathbf{i}_{Bd}^{AC} & \mathbf{i}_{Bq}^{AC} & \mathbf{i}_t^{DC} & \mathbf{i}_B^L & \mathbf{i}_{Bd}^L & \dots \\ \dots & \mathbf{i}_{Nd}^{AC} & \mathbf{i}_{Bq}^L & \mathbf{i}_{Nq}^{AC} & \mathbf{v}_N^{DC} & \mathbf{v}_{Nd}^{AC} & \mathbf{v}_{Nq}^{AC} \end{bmatrix} \quad (4)$$

172 The construction of  $\mathbf{M}$  is represented in expression (5), where:

- 173 •  $\mathbf{\Gamma}^{DC}$  and  $\mathbf{\Gamma}^{AC}$  represent the DC and AC subsystems topology respec-  
174 tively, defining a constant index for each line and each node. The AC  
175 topology will remain constant so  $\mathbf{\Gamma}^{AC}$  represents all real connections in  
176 the AC subsystem. However,  $\mathbf{\Gamma}^{DC}$  represents all possible connections  
177 in the DC subsystem. For instance, a given train will be connected  
178 to all trains and all substations in the  $\mathbf{\Gamma}^{DC}$ . Then, only the actual  
179 connections will be activated for a given instant by means of  $\mathbf{R}_B^{DC}$ .
- 180 •  $\mathbf{R}_B^{DC}$  is the branch resistance matrix of the DC subsystem. It is a  
181 diagonal matrix and  $r_{ii}$  represents the resistance of branch  $i$ . As it was  
182 mentioned,  $\mathbf{\Gamma}^{DC}$  generates a set of DC lines that are not simultaneously  
183 active at the same simulation step. The use of this formulation permits  
184 us to assign an infinite value to those inactive lines, so they do not  
185 have any influence in the system.  $\mathbf{R}_B^{DC}$  is the only matrix affected  
186 by the train motion, and must be updated when the train changes its  
187 location. At each simulation instant, the position of each train must  
188 be read. Then, the value  $r_{ii}$  must be set considering this position and  
189 the type of catenary. An infinite value must be assigned to non-active  
190 lines. For instance, in Figure 1, the branch  $b_{14}$  is a non active branch  
191 that connects nodes 4 and 6 when there is no train between them. The  
192 element  $r_{14,14}$  is set to infinite ( $10^6$ ). In this case, the resistance of  
193 branches  $b_{10}$  and  $b_{12}$  must be updated at each iteration, containing  
194 the information about the total resistance between the substations 4  
195 and 6 and the train 3 respectively. If the train 3 arrives to 6 to be  
196 positioned then between 6 and 5, the branch  $b_{10}$  will be deactivated

197 and the train 3 will be connected to 6 and 5 through the branches  $b12$   
 198 and  $b11$ . Considering that trains 1 and 2 are still between substations  
 199 4 and 5, the branch  $b14$  that connects substations 4 and 6 will be  
 200 activated updating  $r_{14,14}$  with the value of the resistance between the  
 201 above-mentioned substations.

202 •  $\mathbf{R}_B^{AC}$  and  $\mathbf{X}_B^{AC}$  are the resistance and reactance matrices respectively,  
 203 representing the impedance between AC nodes. They are diagonal  
 204 matrices, where  $r_{ii}$  and  $x_{ii}$  represent the resistance and reactance of  
 205 branch  $i$  respectively, or the short circuit resistance and reactance of  
 206 the transformer placed in the branch, in case of the AC branches that  
 207 connect the AC and the DC systems.

208 •  $\mathbf{I}$  identity matrix.

209 •  $\mathbf{S}$  is a block diagonal matrix. The first block is an identity matrix with  
 210 dimensions  $(n_t, n_t)$ . The second block is a diagonal matrix denoted  
 211 as  $\mathbf{S}_{(n_s, n_s)}^L$ . Element  $s_{ii}$  belonging to  $\mathbf{S}^L$  is 1 if the DC substation  $i$  is  
 212 connected to the AC network and  $s_{ii}$  is 0 when the DC substation  $i$  is  
 213 not connected to the AC grid.

214 All vector and matrix dimensions are listed in tables 9 and 10.

$$\mathbf{M} = \left( \begin{array}{c|c|c|c|c} \hline -\mathbf{R}_B^{DC} & & & & \mathbf{\Gamma}^{DC} \\ \hline & -\mathbf{R}_B^{AC} & \mathbf{X}_B^{AC} & & \mathbf{\Gamma}^{AC} \\ \hline & -\mathbf{X}_B^{AC} & -\mathbf{R}_B^{AC} & & \mathbf{\Gamma}^{AC} \\ \hline \hline (\mathbf{\Gamma}^{DC})^T & & \mathbf{S} & & \\ \hline & (\mathbf{\Gamma}^{AC})^T & & & \\ \hline & & & -\mathbf{I} & \\ \hline & & (\mathbf{\Gamma}^{AC})^T & & \\ \hline \end{array} \right) \quad (5)$$

To complete the construction of  $g(\mathbf{z})$ , equations derived from AC network PQ and PV nodes models, train model and converter model must be added. PQ nodes in the AC network contribute with the next expressions:

$$g(\mathbf{z}) = \begin{cases} v_{Ndi}^{AC} i_{Ndi}^{AC} + v_{Nqi}^{AC} i_{Nqi}^{AC} - P_i = 0 & (6) \\ v_{Nqi}^{AC} i_{Ndi}^{AC} - v_{Ndi}^{AC} i_{Nqi}^{AC} - Q_i = 0 & (7) \end{cases}$$

The equations corresponding to the PV nodes are:

$$g(\mathbf{z}) = \begin{cases} v_{Ndi}^{AC} i_{Ndi}^{AC} + v_{Nqi}^{AC} i_{Nqi}^{AC} - P_i = 0 & (8) \\ \sqrt{(v_{Ndi}^{AC})^2 + (v_{Nqi}^{AC})^2} - |v_{Ni}^{AC}| = 0 & (9) \end{cases}$$

The following equations correspond to a simple model of an AC/DC 6 pulse diode converter:

$$g(\mathbf{z}) = \begin{cases} v_{Ndi}^L i_{Bdi}^L + v_{Nqi}^L i_{Bqi}^L - v_{Ni}^L i_{Bi}^L = 0 & (10) \\ v_{Nqi}^L i_{Bdi}^L - v_{Ndi}^L i_{Bqi}^L = 0 & (11) \\ v_{Ni}^L - 1.35 \sqrt{(v_{Ndi}^L)^2 + (v_{Nqi}^L)^2} - R_{eqi} i_{Bi}^L = 0 & (12) \end{cases}$$

215 Where  $R_{eqi}$  is the equivalent resistance of the conversion unit in the reg-  
 216 ular commutation range. Further details can be obtained from [? ? ]. By  
 217 using the same procedure, complex models of non-controlled or controlled  
 218 converters may be implemented. See for instance [? ? ].

219 Unlike other authors that develops their own software for the train simu-  
 220 lation [? ], in our case, the train power will be provided by a software package  
 221 that uses the rail and train parameters for a given unit and route developed  
 222 by CAF Company. The output data of this software is the power absorbed  
 223 or regenerated by the train in the DC network at each instant. This software  
 224 package considers only mechanical aspects, obtaining the desired electrical  
 225 power in traction mode or the available power in regenerative braking mode.

226 However, the interaction between the train and the network and the depen-  
 227 dence of the train behavior on the electrical parameters is simulated with the  
 228 proposed method.

Depending on the accelerating or braking state, different expressions will be used. So when train is consuming energy the equations are:

$$g(\mathbf{z}) = P_i - v_{Ni}^{DC} i_{ti}^{DC} = 0 \quad (13)$$

When the train is braking:

$$l(\mathbf{z}) = \begin{cases} P_i - v_{Ni}^{DC} i_{ti}^{DC} \leq 0 & (14) \\ i_{ti}^{DC} \leq 0 & (15) \end{cases}$$

The last inequalities guaranty the unidirectional flow through the non-controlled rectifiers from AC to DC subsystem.

$$l(\mathbf{z}) = i_{Bi}^L \leq 0 \quad (16)$$

229 The voltage constrains in catenaries are set using  $\mathbf{z}_{min}$  and  $\mathbf{z}_{max}$ .

### 230 3. Results Analysis

231 In this section we first study a set of given cases of an specific AC/DC  
 232 network. To validate the method, the obtained results are compared with  
 233 those obtained using a commercial software (DIgSILENT), and with those  
 234 obtained by solving the described set of equations using the classical proce-  
 235 dure without the optimization approach. Then, the method presented here  
 236 is applied to a real case.

237 *3.1. Validation*

238 The system used to validate the method is depicted in Figure 1. The  
239 AC/DC system is composed of a 6 nodes AC subsystem, one generator, two  
240 loads and three connections to the DC subsystem. The DC subsystem is  
241 composed by three substations and three trains, two of them in the same  
242 line. The proposed enumeration criteria is the next; we first enumerate the  
243 trains (nodes 1-3) and then the DC nodes (nodes 4-6). When a connection  
244 between the DC and AC subsystem is activated, a new auxiliary AC node  
245 is included, in this case nodes 7,8 and 9. Finally we assign numbers to AC  
246 nodes (nodes 10 to 15). In Figure 1, only active branches given by the real  
247 positions of the trains within the DC network, are represented.

248 The resistance ( $R$ ) and reactance ( $X$ ) of the AC subsystem lines are re-  
249 spectively  $0.09962 \Omega/km$  and  $0.51442 \Omega/km$ . The AC network has 6 branches  
250 with different lengths. The lengths of these branches and the total resistance  
251 and reactance appear in Table 3.

252 In the AC network, there exist different types of nodes. Nodes 14 and  
253 15 are PQ type and node 10 is a slack bus (see table 4). Nodes 11-13 are  
254 connection nodes with the DC subsystem.

255 The AC/DC links are composed by one transformer and one rectifier. In  
256 the case of study, the system has three links with the same rectifier and trans-  
257 former. The rectifier is a six-pulse non-controlled type. Power transformer  
258 characteristics are summarised in Table 5.

259 Five different cases will be analyzed. The trains relative position will not  
260 be modified in any case. The distance between trains, between trains and  
261 substations and the power demanded by trains will be varied. All cases are

262 defined in Tables 6 and 7.

263 In the DC subsystem, all the catenaries are *CR160* type with a resistance  
264 of  $0.051 \Omega/km$ , the rails are *54 Kg/m* type with a resistance of  $0.007 \Omega/km$ .  
265 In this case, we suppose that each train has a perfect connection to ground  
266 so the rail resistance is added to the catenary resistance. Table 6 defines, for  
267 all cases, the length of the DC branches and the resistances due to the train  
268 positions.

269 In Table 7 the power demanded by each train is shown. Trains 1 and 2 are  
270 always consuming energy. On the other hand, train 3 is always braking, so  
271 it injects power into DC system. In the column labelled as *Ref*, the available  
272 regenerated power is presented. In columns *Pr* and *DS* the final injected  
273 powers obtained using the proposed method and the DIGSILENT software  
274 are presented respectively. The authors have also added a column labelled  
275 as *NO*. In this column, the described set of equations are solved by means of  
276 a classical procedure without using the optimization approach. In table 1,  
277 the active power through unidirectional substations is presented for all cases  
278 and all methods.

279 As it can be observed, only in case 1, when the injected power is very  
280 low compared to the total demanded power, the three methods give the same  
281 results. In case 2, the *Pr* and *DS* methods show the same results. Using both  
282 methods the injected power by train 3 is used to feed trains 1 and 2. The  
283 solution obtained by *NO* method is different. In this case, the injected power  
284 is lower and the DC subsystem demands a higher amount of energy through  
285 the substations, as it can be observed in table 1. In cases (3, 4 and 5) the  
286 solutions given by *Pr* and *DS* are nearly the same. In such cases the injected

287 power does not reach the maximum available. In cases 3 and 5, the catenary  
288 voltage level arises to maximum (1800 V) (see table 2). In case 4, the injected  
289 power by the train 3 satisfies the demand of rest of trains without reaching  
290 the maximum voltage. The solution reached by *NO* method for cases 3,4  
291 and 5, shows a lower power injection. In table 1 it can be observed that the  
292 demanded power through the substations using *NO* method is always greater  
293 than the one obtained using *Pr* and *DS*.

294 All node voltages are shown in Table 2. In this table, the obtained RMS  
295 voltages using the proposed method can be compared with those obtained  
296 using the commercial software. It can be observed the high level of accuracy  
297 obtained with the proposed method when compared to results obtained with  
298 DIGSILENT. On contrary, the voltages profile obtained with the *NO* method  
299 is always lower, except for the case 1 where all the methods match up. Fur-  
300 thermore, it can be observed that when *NO* is used, the catenary maximum  
301 voltage is never reached.

### 302 3.2. Vitoria Tram Case of Study

303 The application of the proposed method on a real tram line is reported  
304 in this section, showing the main simulation results during 1 hour and 30  
305 minutes of study time.

306 Vitoria tram system includes two lines: Line *Ibaiondo – Angulema* and  
307 line *Abetxuko – Angulema*. The power system network is composed by two  
308 substations located in *Landaberde* and *Angulema*, as is shown in Figure  
309 2. Each substation connects the 1648V nominal voltage DC network to the  
310 AC grid by 6 pulse diode bridge rectifiers. The units used in this tram are  
311 Urban2 type from CAF manufacturer. The train power has been provided



Branch	Case 1			Case 2			Case 3			Case 4			Case 5		
	Pr.	DS.	NO.	Pr.	DS.	NO.	Pr.	DS.	NO.	Pr.	DS.	NO.	Pr.	DS.	NO.
b16	-285	-284	-300	0	0	-314	-127	-121	-278	0	0	-156	-58	-58	-200
b17	-385	-384	-387	-164	-164	-260	-239	-237	-375	0	0	-122	-79	-80	-294
b18	-102	-102	-126	-23	-23	-106	0	0	-87	0	-5	-53	0	0	-55

Table 1: Branch Power [kW].

Node	Case 1			Case 2			Case 3			Case 4			Case 5		
	Pr.	DS.	NO.	Pr.	DS.	NO.	Pr.	DS.	NO.	Pr.	DS.	NO.	Pr.	DS.	NO.
1 (train)	1620	1614	1618	1719	1718	1669	1639	1637	1621	1743	1733	1691	1670	1669	1649
2 (train)	1633	1628	1631	1680	1679	1657	1651	1650	1634	1709	1699	1687	1674	1673	1651
3 (train)	1703	1698	1694	1740	1739	1681	1800	1800	1709	1755	1745	1698	1800	1800	1708
4 (subst.)	1679	1673	1677	1730	1729	1678	1698	1695	1680	1749	1739	1696	1706	1705	1689
5 (subst.)	1669	1664	1668	1696	1695	1681	1687	1685	1670	1712	1703	1698	1704	1703	1680
6 (subst.)	1697	1691	1694	1710	1709	1698	1743	1743	1698	1722	1714	1706	1776	1775	1703
7 (link)	1258	1252	1257	1267	1266	1258	1263	1261	1258	1268	1268	1264	1266	1266	1261
8 (link)	1255	1247	1255	1264	1262	1258	1261	1258	1256	1268	1268	1263	1266	1265	1259
9 (link)	1262	1257	1261	1267	1267	1263	1266	1265	1262	1269	1269	1266	1268	1268	1264
10 (AC)	25001	25001	25001	25001	25001	25001	25001	25001	25001	25001	25001	25001	25001	25001	25001
11 (AC)	24776	24689	24768	24897	24884	24794	24857	24826	24781	24928	24927	24866	24902	24894	24822
12 (AC)	24745	24642	24735	24875	24855	24776	24836	24796	24751	24921	24920	24854	24891	24881	24797
13 (AC)	24815	24743	24806	24914	24900	24836	24890	24863	24821	24948	24947	24896	24928	24921	24857
14 (AC)	24744	24649	24735	24870	24853	24769	24830	24794	24750	24909	24908	24844	24881	24872	24793
15 (AC)	23562	23562	23562	23562	23562	23562	23562	23562	23562	23562	23562	23562	23562	23562	23562

Table 2: Node Voltages [V].

312 by a complex software package that uses the rail and trains parameters for  
313 a given unit and route. The output data of this software is the mechanical  
314 power demanded during the traction or available during regeneration braking  
315 by the train. The final absorbed or injected power is calculated by using the  
316 proposed approach. In Table 8 the tram timetable in the study time is  
317 shown, and results of this case are shown in figure 3. This figure presents  
318 the substation voltages and power flows. As it can be observed there are  
319 several time instants in which the voltage reaches the upper limit of 1800  
320 V, resulting in catenary saturation. In such time steps, part of the available  
321 regenerated power is burned in the rheostatic brake system.

#### 322 **4. Conclusions**

323 The use of the optimization approach, has revealed to be a very useful  
324 tool for solving power flows in traction networks, specially with unidirec-  
325 tional non-controlled substations when the trains are equipped with regen-  
326 erative braking systems. When compared the results with those obtained  
327 with the non-constrained power flow approach, more realistic voltage profiles  
328 are achieved with the proposed OP formulation. The combination of the  
329 OP formulation with the graph theory, permitted the authors to state all  
330 the equations in a really simple manner, simplifying the post-processing and  
331 result comparison of different time steps.

332 The method was validated through the comparison with a commercial  
333 software package (DIgSILENT), obtaining a high accuracy. Once the results  
334 were validated, the method was successfully applied to a real case (Vitoria  
335 Tram).

336 In this paper, the formulation was applied to a specific problem, in which  
337 the amount of injected power is constrained by the catenary maximum volt-  
338 age. However, it could be applied to any constrained power flow problem.  
339 The use of graph theory to state all linear equations in a compact matrix  
340 form could be also extended and generalized to any other power system de-  
341 scription.

342 **List of Figures**

343 1 Proposed AC/DC system. The upper part of the system cor-  
344 responds to the AC subsystem and bottom the DC subsystem. 21  
345 2 Vitoria plane. . . . . 22  
346 3 Voltage and Power in Angulema and Landaberde substations. 23

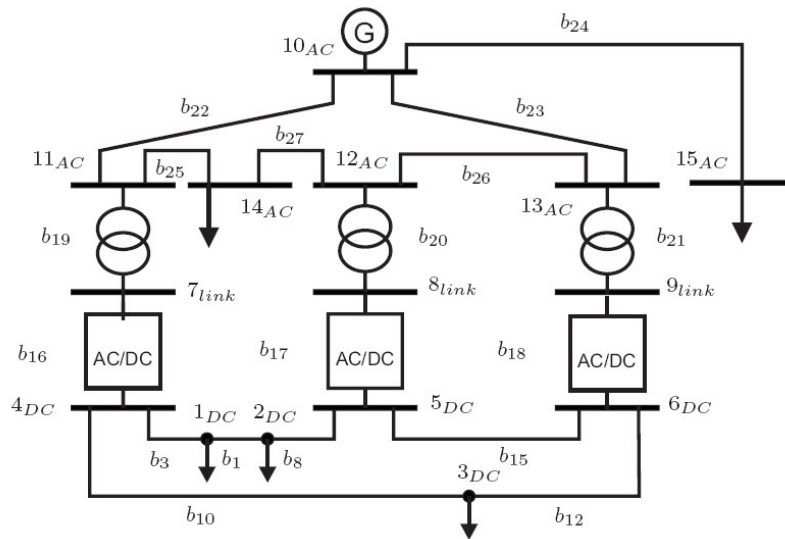


Figure 1: Proposed AC/DC system. The upper part of the system corresponds to the AC subsystem and bottom the DC subsystem.

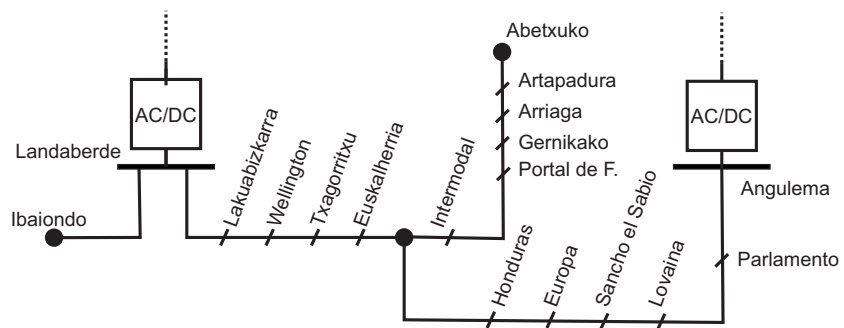


Figure 2: Vitoria plane.

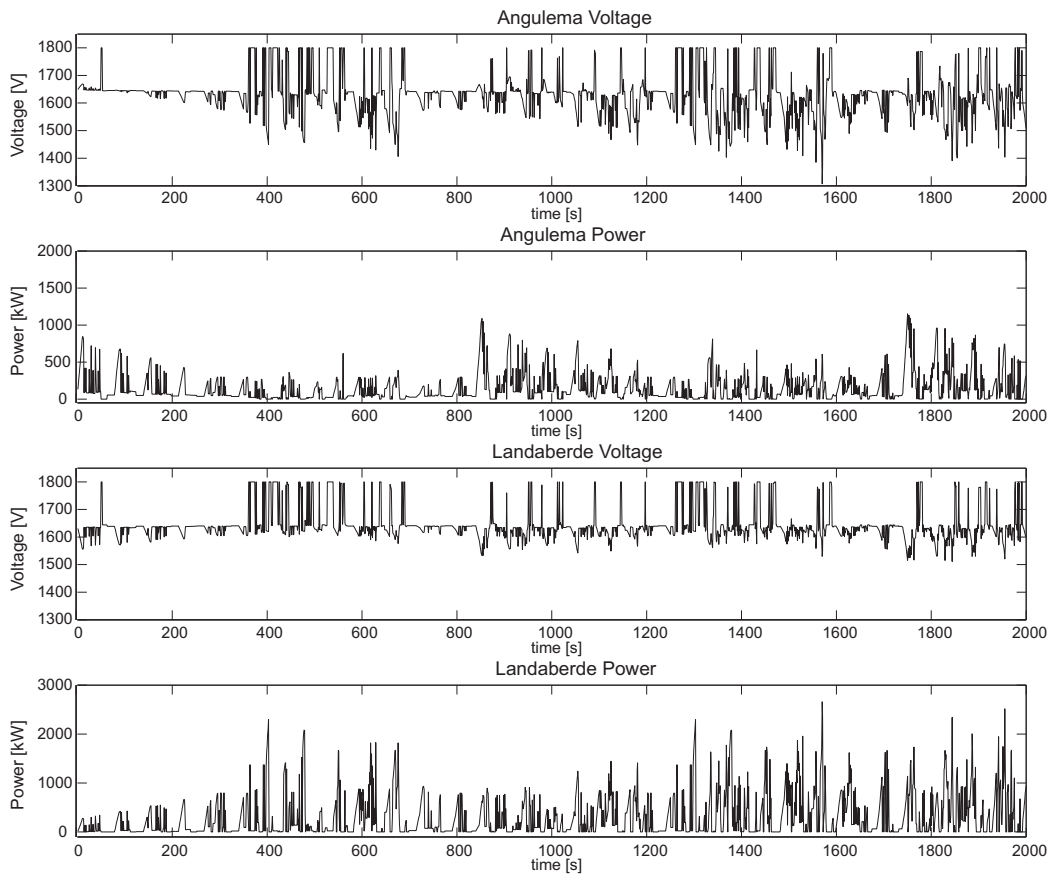


Figure 3: Voltage and Power in Angulema and Landaberde substations.

347 **List of Tables**

348	1	Branch Power [kW]. . . . .	17
349	2	Node Voltages [V]. . . . .	17
350	3	AC branches electrical parameters. . . . .	25
351	4	AC nodes electrical parameters. . . . .	26
352	5	Power transformer parameters. . . . .	27
353	6	Branch data. . . . .	28
354	7	Train Power [kW]. . . . .	29
355	8	Vitoria tram schedule. . . . .	30
356	9	Matrix dimensions . . . . .	31
357	10	Vector dimensions . . . . .	32



Parameter	Branch					
	$b_{22}$	$b_{23}$	$b_{24}$	$b_{25}$	$b_{26}$	$b_{27}$
Length [km]	72.4	77.2	65	20	40.2	19
R [ $\Omega$ ]	7.212	7.691	6.475	1.992	4.005	1.893
X [ $\Omega$ ]	37.243	39.712	33.436	10.288	20.679	9.774

Table 3: AC branches electrical parameters.

Node	Type	P [MW]	Q [MVar]	V [kV]
10	Slack	-	-	25
14	PQ	0.4	0	-
15	PQ	1	0.8	-

Table 4: AC nodes electrical parameters.

Nominal Power (kVA)	1315
Nominal frequency (Hz)	50
Primary nominal voltage (V)	24000
Secondary nominal voltage (V)	1221
Connection	Delta-wye
Electric losses (W)	13300
Short-circuit voltage (%)	5.5

Table 5: Power transformer parameters.

		b1	b3	b8	b10	b12	b15
Case 1	Length (km)	3	5	2	14	6	6
	R ( $\Omega$ )	0.177	0.295	0.118	0.826	0.354	0.354
Case 2	Length (km)	7.5	0.5	2	0.5	19.5	6
	R ( $\Omega$ )	0.4425	0.0295	0.118	0.0295	1.1505	0.354
Case 3	Length (km)	3	5	2	14	6	6
	R ( $\Omega$ )	0.177	0.295	0.118	0.826	0.354	0.354
Case 4	Length (km)	7.5	0.5	2	0.5	19.5	6
	R ( $\Omega$ )	0.4425	0.0295	0.118	0.0295	1.1505	0.354
Case 5	Length (km)	3	5	2	18	2	6
	R ( $\Omega$ )	0.177	0.295	0.118	1.062	0.118	0.354

Table 6: Branch data.

	Train 1	Train 2	Train 3			
			Ref.	Pr.	DS.	NO.
Case 1	443	380	-80	-80	-80	-80
Case 2	443	380	-650	-650	-650	-152
Case 3	443	380	-650	-511	-520	-110
Case 4	243	180	-650	-430	-425	-93.3
Case 5	243	380	-650	-526	-525	-88.6

Table 7: Train Power [kW].

Departure	From	To	Arrival		Departure	From	To	Arrival
6:00:00	ibaiondo	angulema	6:17:20		6:52:00	abetxuko	angulema	7:09:00
6:07:00	abetxuko	angulema	6:24:00		6:53:00	angulema	abetxuko	7:10:00
6:15:00	ibaiondo	angulema	6:32:20		7:00:00	ibaiondo	angulema	7:17:20
6:22:00	abetxuko	angulema	6:39:00		7:01:00	angulema	ibaiondo	7:18:20
6:23:00	angulema	abetxuko	6:40:00		7:07:00	abetxuko	angulema	7:24:00
6:30:00	ibaiondo	angulema	6:47:20		7:08:00	angulema	abetxuko	7:25:00
6:31:00	angulema	ibaiondo	6:48:20		7:15:00	ibaiondo	angulema	7:32:20
6:37:00	abetxuko	angulema	6:54:00		7:16:00	angulema	ibaiondo	7:33:20
6:38:00	angulema	abetxuko	6:55:00		7:22:00	abetxuko	angulema	7:39:00
6:45:00	ibaiondo	angulema	7:02:20		7:23:00	angulema	abetxuko	7:40:00
6:46:00	angulema	ibaiondo	7:03:20					

Table 8: Vitoria tram schedule.

Matrix	Dimensions
$\Gamma^{DC}$	$(n_B^{DC}, n_N^{DC})$
$\Gamma^{AC}$	$(n_B^{AC}, n_N^{AC})$
$\Gamma^L$	$(n_B^L, (n_N^{DC} + n_N^{AC}))$
$\mathbf{R}_B^{AC}, \mathbf{X}_B^{AC}$	$(n_B^{AC}, n_B^{AC})$
$\mathbf{R}_B^{DC}$	$(n_B^{DC}, n_B^{DC})$
$\mathbf{I}$	$(2n_N^{AC}, 2n_N^{AC})$
$\mathbf{S}$	$(n_N^{DC}, n_N^{DC})$
$\mathbf{M}$	$((n_B^{DC} + 2n_B^{AC} + n_N^{DC} + 2n_N^{AC}), (n_B^{DC} + 2n_B^{AC} + n_N^{DC} + 4n_N^{AC} + n_N^{DC}))$
$\Gamma, \mathbf{P}, \mathbf{Q}$	$((n_B^{DC} + n_B^L + n_B^{AC}), (n_N^{AC} + n_N^{DC}))$

Table 9: Matrix dimensions

Vector	Dimensions	Vector	Dimensions
$\mathbf{v}_{Nd}^{AC}, \mathbf{v}_{Nq}^{AC}$	$(1, n_N^{AC})$	$\mathbf{i}_{Bd}^{AC}, \mathbf{i}_{Bq}^{AC}$	$(1, n_B^{AC})$
$\mathbf{v}_N^{DC}$	$(1, n_N^{DC})$	$\mathbf{i}_{Nd}^{AC}, \mathbf{i}_{Nq}^{AC}$	$(1, n_B^{AC})$
		$\mathbf{i}_{Bd}^L, \mathbf{i}_{Bq}^L$	$(1, n_B^L)$
		$\mathbf{i}_B^{DC}$	$(1, n_B^{DC})$
		$\mathbf{i}_B^L$	$(1, n_s)$
		$\mathbf{i}_t^{DC}$	$(1, n_t)$

Table 10: Vector dimensions