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# Could fecal phenylacetic and phenylpropionic acids be used as indicators of health status?

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# 1 ABSTRACT

Although most of the health effects attributed to polyphenols may be linked to their 2 phenolic-derived metabolites, the role of the intestinal derived-phenolics in human health 3 is still far from being well understood. We determined the profile of fecal phenolic-derived 4 metabolites, microbiota, biomarkers of oxidative stress and inflammation, and daily intake 5 6 of bioactive compounds in 71 elderly volunteers. Phenylacetic and phenylpropionic acids were the main phenolic metabolites present in feces. From them, phenylacetic acid was 7 related with a more pro-oxidant and immune stimulated status, and both were negatively 8 associated with fecal propionate, whereas phenylpropionic acid was directly related with 9 the fecal concentration of acetate. Moreover, phenylacetic acid was negatively associated 10 with the Bacteroides group and Clostridium cluster XIVa and positively with 11 Lactobacillus. These results provide a rationale to explore the potential of fecal microbial 12 phenolic-derived metabolites as possible biomarkers of health status in future studies 13 focused on the elderly population. 14

#### 15 KEYWORDS

Polyphenols; fecal phenolic derived-metabolites; gut microbiota; short chain fatty acids;biomarkers.

## 18 INTRODUCTION

Dietary (poly)phenols are bioactive compounds of vegetal origin that have been receiving 19 considerable deal of attention from the scientific community in the last years. Most of the 20 21 mechanisms proposed for their putative protective effects against the development of several chronic conditions such as cardiovascular diseases<sup>1-4</sup> or cancers<sup>5-8</sup> are based on 22 their role as scavengers of free radicals but also on their capability to reduce host cellular 23 proliferation and to act as anti-inflammatory agents  $^{9-11}$ . Polyphenols present in foods are 24 poorly absorbed in the small intestine and a substantial proportion of them reach the colon 25 after digestion where, by de-esterification, hydrogenation, demethylation and/or 26 dehydroxylation, they are transformed by the microbiota into different derived metabolites 27 of low molecular weight,<sup>12–14</sup> which are often better absorbed than the parent compounds. 28

There is an increasing body of evidence suggesting that a significant part of the health 29 30 effects attributed to fruits, vegetables or drinks such as red wine, coffee or tea may be linked to their polyphenol content through their phenolic-derived intestinal microbial 31 metabolites, not occurring preformed in the diet.<sup>15</sup> In this regard, changes in the phenolic 32 33 profile of human feces have been reported after the intake of polyphenol-rich foods such as red wine,<sup>13,16</sup> pomegranate juice,<sup>17</sup> raspberry,<sup>18</sup> or following supplementation with 34 isoflavones.<sup>19</sup> The results obtained so far evidenced a considerable inter- and intra-35 individual variation in the biological response to polyphenols, that could be attributed to 36 37 the different dietary patterns and to the existing diversity in the colonic microbiota of the different subjects <sup>13,16,19,20</sup>. 38

However, while it has been reported that dietary polyphenols can inhibit certain intestinal pathogenic microorganisms<sup>16,21</sup> and/or stimulate the proliferation of specific beneficial microbes,<sup>21</sup> thus contributing to the maintenance of a healthy microbial balance in the gut,<sup>14</sup> there is still scarce information in the literature regarding the interrelationship between the intestinal phenolic compounds and the whole intestinal microbiota. Some 44 previous *in vitro* studies have shown that the concentrations of benzoic acid, phenylacetic 45 acid, phenylpropionic acid and 3-(3'-hydroxyphenyl)-propionic acid quantified in human 46 fecal water were present at levels large enough to influence intestinal bacterial growth.<sup>22</sup> 47 Then, the characterization of the fecal microbial-derived phenolic metabolites is of interest 48 for a better understanding of the metabolism of phenolic compounds by gut bacteria and its 49 consequences for human health.

Our aim in the present work was to examine the fecal phenolic profile in the feces of a 50 sample of mature subjects without a declared pathology, and to determine their possible 51 associations with fecal microbiota. We have also evaluated whether the excretion of 52 phenolic catabolites was influenced by fibers and dietary phenolic compounds as well as 53 their possible association with serum parameters related with oxidative stress, 54 inflammation and immune status. This global and multidisciplinary approach could be of 55 help for advancing in the knowledge about the effect of polyphenols on human health, by 56 means of generating new hypotheses that could be tested in future studies. 57

# 58 MATERIALS AND METHODS

## 59 Participants

The sample of the study includes seventy-one healthy, mature volunteers (51 women and 60 61 20 men;  $70.83 \pm 11.12$  years old) recruited between 2010 and 2012 in the Asturias region 62 (North of Spain), without previous diagnosis of cancer, autoimmune or gastrointestinal 63 diseases, and neither consumption of antibiotics or probiotics/prebiotics one month prior to 64 the study. All subjects were mentally and physically capable to participate in the study and gave informed written consent. Ethical approval was obtained from the Regional Ethics 65 Committee for Clinical Research (Servicio de Salud del Principado de Asturias, Ref. no. 66 67 17/2010), in compliance with the Declaration of Helsinki.

#### 68 Nutritional assessment

Dietary intake has been registered by a personal interview using an annual, semi 69 quantitative Food Frequency Questionnaire (FFQ) which has been designed ad hoc for the 70 71 purpose of this study and validated for dietary fibers and polyphenols by means of a 24 h 72 recall method. During a personalized interview, volunteers were asked, by expert 73 dieticians, item by item, whether they usually ate each food and, if so, how much they ate. Methodological issues concerning dietary assessment have been described previously.<sup>23</sup> 74 75 Food intake was analyzed for energy, macronutrients, and total dietary fiber content by using the nutrient Food Composition Tables developed by the Centro de Enseñanza 76 Superior de Nutrición Humana y Dietética (CESNID).<sup>24</sup> Also, the following fiber 77 components were ascertained using the Marlett et al. food composition tables<sup>25</sup>: soluble 78 79 fiber, soluble pectin, soluble hemicellulose, insoluble fiber, insoluble pectin, insoluble 80 hemicellulose, Klason lignin, and cellulose, based on the enzymatic-chemical method 81 developed by Theander et al. by which pectin content is determined using calorimetric 82 assay, cellulose and hemicellulose are determined by high-performance liquid chromatography (HPLC),<sup>26</sup> and Klason lignin is estimated as the insoluble material after a 83

Saeman acid hydrolysis.<sup>27</sup> The polyphenols content in foods was completed using the 84 Phenol Explorer database that contains detailed information from over 400 foods 85 consumed regularly in European countries<sup>28</sup> and data about the oxygen radical absorbance 86 capacity (ORAC) of foods was obtained from the database from the ORAC of select foods 87 from USDA.<sup>29</sup> During the personal interview, information was also collected on potential 88 confounders such as smoking habits ("Do you smoke?"), alcohol intake ("How much 89 alcohol do you consume during the day?") or physical activity ("How many time do you 90 spent daily on physical activity?), previously associated with phenolic excretion,<sup>30</sup> and 91 regarding bowel habits by registering the number of depositions per week and the 92 93 consistence of feces.

94 Height of the participants was measured using a stadiometer with an accuracy of  $\pm 1$  mm (Año-Sayol, Barcelona, Spain). The subjects stood barefoot, in an upright position and 95 96 with the head positioned in the Frankfort horizontal plane. Weight was measured on a scale with an accuracy of  $\pm 100$  g (Seca, Hamburg, Germany). Body mass index (BMI) was 97 calculated using the formula: weight (Kg) / height  $(m)^2$ . 98

99

# **Blood biochemical analyses**

100 An overnight fast blood sample was drawn by venepuncture after a 12-hour fast and 101 collected in separate tubes for serum and plasma. Samples were kept on ice and centrifuged (1000  $\times$  g, 15 minutes) within 2–4 hours after collection. Plasma and serum 102 aliquots were kept at -20 °C until analyses were performed. Serum glucose, serum total 103 104 cholesterol, serum HDL-cholesterol, serum LDL-cholesterol and serum triglycerides were determined by using an automated biochemical auto-analyser. 105

106 Total antioxidant capacity (TAC) in serum was determined by the colorimetric assay P40117 (Innoprot, Innovative Technologies in Biological Systems, Vizcaya, Spain). This 107 method determines the conversion of,  $Cu^{2+}$  to  $Cu^{+}$  by serum small molecules and proteins. 108

109 The reduced ion is chelated with a colorimetric probe, giving a broad absorbance peak around 450 nm, which is proportional to the TAC.<sup>31</sup> Serum malondialdehyde (MDA) 110 111 concentrations were determined by the spectrophotometric method of lipid peroxidation LPO-586 (Byoxytech, Oxis International, Portland, OR).<sup>32</sup> Serum levels of C-reactive 112 protein (CRP) were determined by CRP Human Instant ELISA (eBioscience, San Diego, 113 CA). Levels of serum IL-10, IL-8, IL-17, TNF-a, and IL-12 were quantified by flow 114 115 cytometry using a multiplex immunoassay (Cytometric Bead Array, CBA, BD Biosciences). The concentration of transforming growth factor (TGF- $\beta$ ) was determined by 116 ELISA (BD OptEIA<sup>TM</sup>, BD Biosciences). 117

# 118 Fecal samples collection and processing

119 Feces were collected in an interval of 7 days after the nutritional interviews. Fresh samples were collected, placed in a sterile container (provided to the volunteers by the research 120 121 team, together with sterile tools to facilitate sample management) and immediately frozen at -20 °C (in the home freezer). Then, the samples were transferred (frozen at -80 °C) to 122 the laboratory.<sup>33,34</sup> Prior to analyses fecal samples were melted, one gram of sample was 123 124 weighed, diluted 1:10 in sterile phosphate-buffered saline solution (PBS) and homogenized in a Lab-Blender 400 stomacher (Seward Medical, London, UK) at full speed for 4 min. 125 126 One mL of the homogenized samples was centrifuged (10,000g, 30 min, 4 °C). The pellet 127 obtained was then used for fecal microbiota DNA extraction whereas the supernatant was 128 filtered through 0.2  $\mu$ m filters, mixed with 1/10 of ethyl butyric acid (1 mg/mL) as an internal standard and stored at -80 °C until gas chromatography (GC) analyses were 129 performed. Sample preparation was carried out in duplicate. 130

#### 131 Fecal microbiota analyses

Fecal DNA was obtained from by using the QIAamp DNA stool mini kit (Qiagen, Hilden,
Germany) as previously described.<sup>34</sup> PCR amplification and detection of the 16S rRNA

134 gene for the quantification of different bacterial groups (Akkermansia, Bacteroides-

135 Prevotella–Porphyromonas group, Bifidobacterium, Clostridium cluster XVIa,

136 *Lactobacillus* group and *Faecalibacterium*) was performed in a 7,500 Fast Real-Time PCR

137 System (Applied Biosystems, Foster City, CA, USA) using the SYBR Green PCR Master

Mix (Applied Biosystems) as described before.<sup>35</sup> Samples were analysed in duplicate in
two independent PCR runs.

#### 140 Short fatty acids analyses

Analysis of SCFA (acetate, propionate, isobutyrate, butyrate, and isovalerate) was
performed in a gas chromatograph 6890N (Agilent Technologies Inc, Palo Alto, CA, USA)
connected to a mass spectrometry (MS) 5973N detector (Agilent Technologies) and to a
flame ionization detector (FID) as described previously.<sup>36</sup>

#### 145 Targeted analysis of phenolic metabolites in feces

146 For sample preparation, frozen fecal samples were thawed at room temperature, and one 147 gram was taken, diluted 1/10 in sterile phosphate-buffered saline solution (PBS; 0.01 M 148 phosphate buffer, 0.0027 M potassium chloride, 0.137 M sodium chloride, pH 7.4, prepared from tablets from Sigma-Aldrich), and homogenized in a LabBlender 400 149 150 stomacher (Seward Medical, London, U.K.) at full speed for 4 min. Supernatants were then 151 obtained by centrifugation (10000g, 30 min, 4 °C) and filtration through 0.2 µm and stored 152 at -20 °C until analysis. An internal standard 4-hydroxybenzoic-2,3,5,6-d4 acid solution 153 (Sigma-Aldrich, St. Louis, MO) [1250 µg/mL in formic acid/acetonitrile (1:200, v/v)] was 154 added to the samples in a proportion 1:5 (v/v). Sample preparation was carried out in 155 duplicate.

For the analysis of phenolic metabolites in the fecal solutions, a previously reported UPLC-ESI-MS/MS method was followed,<sup>16,37</sup> with some modifications. The limit of detection of phenolic acids by this UPLC-TQMS equipment is up to 0.001  $\mu$ g/mL.<sup>38</sup> The

159	liquid chromatographic system was a Waters Acquity UPLC (Milford, MA) equipped with
160	a binary pump, an autosampler thermostated at 10 °C, and a heated column compartment
161	(40 °C). The column employed was a BEH-C18, 2.1 $\times$ 100 mm and 1.7 $\mu m$ particle size,
162	from Waters (Milford, MA, USA). The mobile phases were 0.1% (v/v) formic acid in
163	water (A) and 0.1% (v/v) formic acid in acetonitrile (B). The gradient program was as
164	follows: 0 min, 0.1% B; 1.5 min, 0.1% B; 11.17 min, 16.3% B; 11.5 min, 18.4% B; 14
165	min, 18.4% B; 14.1 min, 99.9% B; 15.5 min, 99.9% B; 15.6 min, 0.1% B. Equilibrium
166	time was 2.4 min, resulting in a total run time of 18 min. The flow rate was set constant at
167	0.5 mL/min, and the injection volume was 2 $\mu L.$ The LC effluent was pumped to an
168	Acquity TQD tandem quadrupole mass spectrometer equipped with a Z-spray electrospray
169	ionization (ESI) source operated in negative polarity mode. The ESI parameters were set as
170	follows: capillary voltage, 3 kV; source temperature, 130 °C; desolvation temperature, 400
171	°C; desolvation gas (N <sub>2</sub> ) flow rate, 750 L/h; cone gas (N <sub>2</sub> ) flow rate, 60 L/h. For
172	quantification purposes, data were collected in the multiple reaction monitoring (MRM)
173	mode, tracking the transition of parent and product ions specific to each compound. The
174	MS/MS parameters (cone voltage, collision energy, and MRM transition) of the 62
175	phenolic compounds targeted in the present study (mandelic acids, benzoic acids, phenols,
176	hippuric acids, phenylacetic acids, phenylpropionic acids, cinnamic acids, 4-
177	hydroxyvaleric acids, and valerolactones) were previously reported. <sup>16</sup> The ESI was
178	operated in negative ionization mode, except for $\gamma$ -valerolactone (positive mode). All
179	metabolites were detected using the calibration curves of their corresponding standards,
180	commercially available from different suppliers (Sigma-Aldrich Chemical Co., St. Louis,
181	MO; Phytolab, Vestenbergsgreuth, Germany; and Extrasynthese, Genay, France), except
182	for 4-hydroxy-5-(4'-hydroxyphenyl)valeric and 4-hydroxy-5-(3',4'-
183	dihydroxyphenyl)valeric acids, which were quantified using the calibration curves of 3-(4'-
184	hydroxyphenyl)- propionic and 3-(3',4'-dihydroxyphenyl)propionic acids, respectively.

185 Data acquisition and processing was realized with MassLynx 4.1 software. Results are

expressed as the amount  $(\mu g)$  of phenolic metabolites in 1 mL of decimal fecal dilutions.

187 All analyses were performed in duplicate.

#### 188 Statistical analyses

189 IBM-SPSS version 22.0 (SPSS-Inc., Chicago) was used for statistical analyses. Goodness 190 of fit to normal distribution was analyzed with the Kolmogorov-Smirnov test. When the 191 distribution of variables was skewed, the natural logarithm of each value was used in the statistical test. The variable total phenolic metabolite was calculated by the sum of the 192 193 fecal compounds detectable in at least 35 subjects of the sample. A Student's t-test was 194 used to evaluate the differences in continuous variables according to the tertile of total 195 phenolic metabolite content in feces, whilst categorical variables were examined using chisquared analysis. Also, the linear trend between these variables was explored by means of 196 197 linear regression analysis adjusting for age, BMI, energy intake, and physical activity as covariates. To deepen into the associations between the diet and the excretion of major 198 199 phenolic metabolites in feces, a Spearman correlation analysis was conducted. Heatmap 200 was generated under R version 3.3.3 package heatmap.2. The conventional probability significance (0.05) was used in 201 value for the interpretation of results.

#### 202 **RESULTS**

203 The main phenolic metabolites determined by UPLC-ESI-MS/MS in feces were phenylacetic and phenylpropionic acids, accounting, on average, for 46.7 and 35.4%, 204 205 respectively, of the total phenolic metabolites excreted in feces. For analyzing the data, the sample was divided according to the levels of total phenolic metabolites excreted in feces 206 207 into tertiles: high (tertile 3), medium (tertile 2), and low (tertile 1) (Table 1). The general characteristics of the sample population were similar across the tertiles, with the exception 208 of the contribution of proteins and lipids to the total energy intake, which was higher for 209 both macronutrients in the individuals included in tertile 1 (lowest total phenolic excretory 210 211 levels in Table 1). As expected from the division of the sample in tertiles, the levels of the 212 majority phenolic compounds, i.e. phenylacetic and phenylpropionic acids, and to a lesser extent 3-(3'-hydroxyphenyl) propionic acid, displayed a clear trend to increase from tertile 213 214 1 to tertile 3, with statistically significant differences among tertiles (Table 2). However, 215 such trend was not so clear for the minority metabolites determined (Table 2). This 216 prompted us to focus on the majority phenolic metabolites: phenylacetic, phenylpropionic and 3-(3'-hydroxyphenyl) propionic acids. 217

Then, we looked for a possible association between the intestinal microbial groups and 218 219 SCFA quantified, with the three-major fecal phenolic metabolites as well as with the total phenolics content excreted in feces (Table 3). Total phenolic metabolite content was 220 221 inversely associated with the fecal levels of *Bacteroides* group, *Clostridium* cluster XIVa and propionate, and directly related with *Lactobacillus* group and acetate. Phenylacetic 222 acid showed a negative association with *Bacteroides* group and *Clostridium* cluster XIVa 223 and propionate, and was positively related with Lactobacillus group. An inverse 224 225 association was also found between phenylpropionic acid excretion and the levels of propionate, while this phenolic metabolite showed a direct association with acetate. In 226

addition, 3-(3'-hydroxyphenyl) propionic acid was inversely related with isovaleratelevels.

229 Linear regression analyses were also conducted in order to investigate the possible 230 associations between the excretion of phenolic metabolites and some blood biomarkers (Table 4). The results obtained pointed to a direct association of TGF- $\beta$ , IL-17 and IL-8 231 232 levels with the total phenolics excretion in feces, with independence of age, energy intake, physical activity and BMI. Protocatechuic and phthalic acids have shown a positive 233 234 relationship with TGF- $\beta$ , and phthalic acid with IL-8. The excretion of phenylacetic acid 235 was directly related with serum biomarkers such as MDA and C-reactive protein, and with 236 immune parameters as TGF- $\beta$ , IL-10, IL-17 and IL-8.

237 To deepen into the possible associations between the majority fecal phenolics excretion and diet, we looked for correlations between the main fecal phenolic metabolites, and the 238 239 intake of dietary compounds (Figure 1). Phenylacetic acid was inversely related with the intake of some flavonoids and phenolic acids and showed a positive association with 240 isoflavonoids, kaempferol 3-O-glucoside, kaempferol 3-O-xylosyl-glucoside, kaempferol 241 242 3-O-acetyl-glucoside, procyanidin dimer B5 and p-coumaroylquinic acid. Whereas phenylpropionic acid was directly associated with the intake of different proanthocyanidins 243 244 and soluble fiber, 3-(3'-hydroxyphenyl) propionic acid was related with insoluble fibers, 245 flavones and flavanols. The total fecal phenolic metabolite content showed a directly 246 correlation only with kaempferol 3-O-glucoside. Moreover, the dietary polyphenols, statistically related with phenolic excretion, were directly associated with the intake of 247 different of fibers (Figure 2). 248 types

#### 249 DISCUSSION

Our data provide new and valuable information about the link between the major phenolic metabolites in feces and the gut microbiota composition in the context of a low-grade prooxidant and pro-inflammatory status of the host, as frequently occurs at advanced age.

253 It is not completely clear how changes in the profile and levels of fecal phenolic 254 metabolites may be related to their biological effects. In this sense, there is a general 255 consensus in the literature supporting the importance of the aqueous phase components of the human feces for modulating the colonic environment,<sup>39,40</sup> the profile and levels of 256 phenolics being a direct indicator of the microbial phenolic degradation products.<sup>41</sup> 257 However, to be effective at the physiological level it is necessary that phenolics are 258 absorbed and reach target tissues. Nonetheless, the absorption of phenolics is difficult to 259 predict from the excreted fraction, since they only represent the metabolites non-260 absorbed.<sup>42</sup> To date, some authors have suggested that higher total phenolic excretion in 261 feces could be directly related with a higher concentration of these bioactive compounds at 262 the intestinal level<sup>43</sup> which would imply a greater protection against oxidative stress and 263 the action of potential carcinogens.<sup>12</sup> From the analytic point of view, stool is an easily 264 265 accessible and non-invasive matrix with metabolites originating from host, its gut 266 microbiota, and food components. Therefore, analysis of stool samples is a good approach to ascertain how phenolic profile and content in intestinal fluids can be influenced by the 267 diet.<sup>44</sup> Since not all phenolic-derived metabolites are augmented in the feces of those 268 individuals displaying the highest total phenolic excretory levels as compared to the 269 excretors of lower levels, it is possible that differential effects among the distinct fecal 270 271 phenolics could exist. From the evaluated metabolites, phenylacetic and phenylpropionic 272 acids were by far the most abundant. Thus, hereinafter we will focus our discussion on these two metabolites, considered individually, and their possible differential impact on 273 human health. In agreement with previous studies from other authors,<sup>45,46</sup> we identified 274

275 phenylacetic acid as the most abundant phenolic metabolite in human feces, explaining

approximately the 45% of the total phenolic excretion.

Whereas other phenolic metabolites in feces are predominantly derived from the microbial 277 278 metabolism of polyphenols contained in vegetable foodstuffs, phenylacetic acid is mostly 279 derived from the intestinal microbial fermentation of aromatic amino acids, particularly phenylalanine through the phenylpropanoid pathway<sup>47</sup> as well as from endogenous 280 production.<sup>37</sup> The association of phenylacetic acid with a more pro-oxidant and pro-281 inflammatory status found in the present work supports our previous results suggesting an 282 association of this phenolic acid with variables related with an "unhealthy lifestyle" and 283 obesity<sup>30</sup>. At this point, it may be interesting to consider whether the higher fecal levels of 284 this compound could be the cause or the reflection of a pro-inflammatory status. Based on 285 evidences provided by other authors, the last option seems plausible since the pro-286 inflammatory status often observed in relation with advanced age (the so-called 287 inflammaging) has been shown to be associated with an altered tyrosine metabolism in 288 elderly persons.<sup>48</sup> Phenylacetic acid is an endogenous intermediate catabolite of 289 phenylalanine and therefore, variations in the levels of phenylacetic acid could be 290 291 reflecting changes in the endogenous amino acids metabolism. On the other hand, increased levels of phenylalanine at the expenses of tyrosine have been associated with a 292 chronic low-grade inflammation in elderly persons,<sup>48</sup> thus providing a link between 293 phenylacetic acid and the immune status of mature adults. In this scenario, we wanted to 294 295 examine whether the differences in phenylacetic acid excretion could be also related with 296 diet and the intestinal microbiota profile. Data available in the literature concerning the phenolic metabolites produced by microbial colonic degradation are scarce. However, the 297 association found by us between the intake of procyanidin dimer-B5 and phenylacetic acid 298 excretion is in consonance with the direct association between the fecal levels of 299 lactobacilli and this phenolic metabolite, and with previous data by other authors reporting 300

that some microorganisms from the genus *Lactobacillus* are able to transform procyanidins

302 with a lower degree of polymerization into phenylacetic acid.<sup>49</sup>

On the other hand, supporting the evidences about the impact of the daily intake of 303 polyphenols on the gut microbiota, modulating its composition and/or functionality,<sup>50</sup> we 304 305 have found a positive association between phenylpropionic acid and the intake of different 306 proantocyanidins and soluble fiber. Considering the high correlation found by us between most of these dietary compounds and soluble fibers (i.e.: narigenin r=0.670, apigenin 307 r=0.538, lariciresinol r=0.713, 5-caffeoylquinic acid r=0.304, (-)-epicatechin-3-O-gallate 308 r=0.388, p<0.01, Figure 2), it might be possible that fibers could interact with the fecal 309 310 microbial metabolism of these phenolics by increasing the levels of proanthocyanidins reaching the colon, thus favoring their metabolization by the intestinal microbiota and the 311 formation of SCFA.<sup>51-53</sup> Finally, an inverse association between the concentration in feces 312 of total phenolic metabolites, and the concentration of propionate and levels of *Bacteroides* 313 314 group and the *Clostridium* cluster XIVa, was found in the present work. In this regard, 315 reduced levels of the major butyrate producer microorganisms in the human colon (Clostridium cluster IV that includes Faecalibacterium genus, and Clostridium cluster 316 317 XIVa) as well as of the genus *Bacteroides* has been repeatedly reported situations in which the oxidative status may be altered.<sup>54-57</sup> Moreover, alterations on fecal levels of 318 319 Bacteroides and butyrate-producing bacteria as well as increased levels of Lactobacillus have been recently communicated by us in over-weight and obese individuals from the 320 general population that also presented a more pro-oxidant and pro-inflammatory status.<sup>58</sup> 321 322 In addition, an intestinal microbiota imbalance has been linked with some states associated to obesity and insulin resistance in which the relative proportion of acetate is increased, <sup>59,60</sup> 323 324 similarly as to what occurs in the present work for the positive association found between 325 total fecal phenolic-derived compounds and fecal acetate concentration. In contrast to what has been indicated so far, Russell et al.<sup>47</sup> have reported that microbial protein fermentation 326

is the likely source of phenylacetic acid and other phenylpropanoid-derived metabolites in the human colon, aromatic amino acid-metabolizing activity being particularly prevalent among *Bacteroides* spp. and to a considerable lesser extent among some members of the *Clostridium* cluster XIVa.<sup>47</sup> Therefore, the inverse association found by us between fecal phenylacetic acid and these two microbial groups and propionic acid (a metabolite mainly produced by *Bacteroides*) could also be due to variations in the metabolic activity among the microbiotas of individuals as related to the fecal phenolics profile.<sup>61</sup>

334 This study presents some strengths and limitations that deserve additional comment. The holistic approach and the use of well-validated and efficient UPLC-MS methodologies for 335 336 fecal metabolite analysis are strengths of the present study. On the other hand, although FFQ has a limited capacity for accurately quantify the daily intake, it is however at present 337 the most suitable method available to describe regular dietary habits.<sup>62</sup> This aspect is of 338 great importance for the study of the relationship between diet and the microbial intestinal 339 340 environment since usual diets are known to be the main drivers that shape the microbial 341 composition and metabolic activity of the intestinal ecosystem. Giving the transversal design of the present study, a directionality in the observed associations cannot be 342 343 established. Nevertheless, we have identified two main different metabolic profiles as 344 related with the differential excretion of total fecal phenolic compounds and their 345 association with serum biomarkers and with gut microbial composition. This opens the 346 possibility of designing future studies in order to explore the potential of phenylacetic and 347 phenylpropionic acids as possible biomarkers of health status and/or as markers of the 348 individual gut microbiota composition and functionality in both health and illness states. 349 This would help in reinforcing the promotion and maintenance of a healthy status through diet. 350

#### 351 ABBREVIATIONS USED

352 BMI, body mass index; CESNID, Centro de Enseñanza Superior de Nutrición Humana y Dietética; ESI, Z-spray electrospray ionization; FID, flame ionization detector; FFQ, food 353 354 frequency questionnaire; GC, gas chromatography; HPLC, high-performance liquid 355 chromatography; MDA, malondialdehyde; MS, mass spectrometry; ORAC, oxygen radical 356 absorbance capacity; PBS, phosphate-buffered saline; PCR, C-reactive protein; SCFA, short chain fatty acids; TAC, total antioxidant capacity; TGF-B, transforming growth 357 factor, UPLC-ESI-MS/MS, ultraperformance liquid chromatography coupled with 358 electrospray ionization tandem mass spectrometry. 359

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# 368 CONFLICT OF INTEREST STATEMENT

On behalf of all authors, the corresponding author states that there are no conflicts ofinterest.

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#### FIGURE CAPTIONS

595

Figure 1. Spearman correlation between those major fecal phenolic metabolites ( $\mu$ g/mL) whose concentration differed significantly among tertiles, with dietary polyphenols (mg/day) and fibers (g/day). Columns correspond to fecal microbial metabolites; rows correspond to dietary compounds. Blue and red colors denote negative and positive association, respectively. The intensity of the colors represents the degree of association between these phenolic compounds determined in feces and several dietary compounds and asterisk indicate significant associations: \*p < 0.05; \*\* p ≤ 0.01.

Figure 2. Spearman correlation between dietary polyphenols (mg/day) and the different classes and subclasses of dietary fibers (g/day). Columns correspond to the different classes of fibers; rows correspond to dietary polyphenols. Blue and red colors denote negative and positive association, respectively. The intensity of the colors represents the degree of association between variables and asterisk indicate significant associations: \*p < 0.05; \*\*  $p \le 0.01$ . Only significant results were presented.

# TABLES

 Table 1. General Characteristics of the Sample According to the Tertiles Formed Considering the

 Total Phenolic Metabolite Content in Feces<sup>a</sup>.

	total p	henolic metabolite co	ntent (µg/mL)
	tertile 1 <sup>b</sup> (n=24)	tertile 2 <sup>c</sup> (n=23)	tertile 3 <sup>d</sup> (n=24)
age (y)	$73.0\pm12.6_a$	$69.4 \pm 11.1_{a}$	$70.0\pm9.8_a$
female (% of subjects)	66.7 <sub>a</sub>	78.3 <sub>a</sub>	$70.8_{a}$
BMI <sup>e</sup> (kg/m <sup>2</sup> )	$27.7\pm4.5_a$	$26.1\pm3.1_a$	$28.1\pm4.1_a$
sedentary lifestyle (% of subjects)	54.2 <sub>a</sub>	52.2 <sub>a</sub>	66.7 <sub>a</sub>
non-smoker (% of subjects)	70.8 <sub>a</sub>	65.2 <sub>a</sub>	83.3 <sub>a</sub>
deposition (times/week)	$5.7\pm2.1_a$	$6.4\pm2.3_a$	$6.6\pm2.3_a$
blood parameters			
serum glucose (mg/dL)	$98.9\pm18.6_a$	$98.5\pm17.1_a$	$105.7\pm32.3_a$
total cholesterol (mg/dL)	$212.5\pm34.5_a$	$217.6\pm47.5_a$	$224.6\pm41.8_a$
HDL-cholesterol <sup><math>f</math></sup> (mg/dL)	$53.1\pm11.1_a$	$54.1\pm15.7_a$	$52.8\pm12.4_a$
LDL-cholesterol <sup>g</sup> (mg/dL)	$138.5\pm29.4_a$	$140.4\pm39.4_a$	$143.7\pm36.5_a$
Ttriglycerides (mg/dL)	$104.2\pm30.4_a$	$115.3\pm51.1_a$	$140.6\pm63.2_a$
$TAC^{h}(mM)$	$0.3\pm0.1_{a,b}$	$0.3\pm0.1_a$	$0.4\pm0.1_{b}$
$MDA^{i}(\mu M)$	$2.3\pm0.6_a$	$2.2\pm0.5_{a}$	$2.5\pm0.6_a$
CRP <sup>j</sup> (pg/mL)	$1.2 \pm 1.3_a$	$0.9\pm0.8_{a}$	$1.6 \pm 1.2_a$
diet			
energy intake (kcal/day)	$1842.7 \pm 445.9_a$	$1790.5 \pm 425.9_a$	$1851.7 \pm 627.6_a$
carbohydrates (% of total energy)	$39.3\pm5.7_a$	$40.2\pm 6.4_a$	$42.5\pm6.7_a$
lipids (% of total energy)	$38.9 \pm 3.9_a$	$38.6 \pm 6.8_{a,b}$	$35.6\pm5.8_b$
proteins (% of total energy)	$20.9\pm3.6_a$	$18.7\pm2.4_{b}$	$19.3\pm2.7_{a,b}$
polyphenols (mg/day)	$1338.1 \pm 1268.2_a$	$1690.8 \pm 1081.4_a$	$1592.2 \pm 1292.3_a$
fiber (g/day)	$19.1\pm7.5_a$	$18.6 \pm 6.2_{a}$	$21.15\pm10.4_a$
insoluble fiber (g/day)	$11.9\pm5.6_a$	$11.4\pm4.3_a$	$13.9\pm6.4_a$
ORAC <sup>k</sup> (µmol TE <sup>l</sup> /day)	$7096.7 \pm 6185.2_a$	$7387.9 \pm 6256.1_a$	$7300.4 \pm 7910.6_a$

<sup>a</sup>Results derived from Student's *t*-test are presented as estimated marginal mean  $\pm$  standard deviation and differences in categorical variables are examined using chi-squared analysis and presented as percentage (%). Range of phenolics in feces according to tertiles: <sup>b</sup>tertile 1 (3.0-15.9 µg/mL), <sup>c</sup>tertile 2 (15.9-22.7 µg/mL) and <sup>d</sup>tertile 3 (22.7-109.0 µg/mL). Different subscript letters indicate significant statistical differences (p  $\leq$  0.05). <sup>e</sup>BMI, body mass index. <sup>f</sup>HDL, high-density lipoprotein. <sup>g</sup>LDL, low-density lipoprotein. <sup>h</sup>TAC, total antioxidant capacity. <sup>i</sup>MDA, malondialdehyde. <sup>j</sup>CRP, C-reactive protein. <sup>k</sup>ORAC, oxygen radical absorbance capacity. <sup>l</sup>TE, Trolox equivalents.

# Table 2. Concentration of the Major Fecal Phenolic Metabolites in the Population According to the

### Tertiles Formed Considering the Total Phenolic Excretion Levels<sup>a</sup>.

	pho	enolic metabolite content	(µg/mL)
	tertile 1 <sup>b</sup> (n=24)	tertile 2 <sup>c</sup> (n=23)	tertile 3 <sup>d</sup> (n=24)
benzoic acids (µg/mL)			
protocatechuic acid	$0.089 \pm 0.085_a(19)$	$0.111 \pm 0.072_{a}  (19)$	$0.233 \pm 0.253_{b^*}  (24)$
4-hydroxybenzoic acid	$0.267 \pm 0.380_a  (21)$	$0.169 \pm 0.133_{a} (23)$	$0.172\pm 0.144_a(24)$
benzoic acid	$0.913 \pm 0.267_{a}  (13)$	$1.022\pm 0.363_{a}(15)$	$1.409 \pm 1.048_{a} (15)$
phthalic acid	$0.213 \pm 0.254_{a,b}  (11)$	$0.157 \pm 0.114_{a} (13)$	$0.560 \pm 0.876_{b^*}  (23)$
phenylacetic acids (µg/mL)			
phenylacetic acid	$4.416 \pm 1.714_{a} (22)$	$7.439 \pm 2.236_{b^{**}} (22)$	$25.201 \pm 22.471_{c^{**}}$ (24)
3-hydroxyphenylacetic acid	$0.448 \pm 0.365_a(11)$	$0.475 \pm 0.536_a  (18)$	$0.759 \pm 0.728_a(22)$
4-hydroxyphenylacetic acid	$0.701 \pm 1.196_{a} (13)$	$0.508 \pm 1.068_{a} (18)$	$0.517 \pm 0.423_a(20)$
phenylpropionic acids (µg/mL)			
3-(3'-hydroxyphenyl)propionic acid	$0.536 \pm 1.043_{a}$ (21)	$1.410 \pm 3.837_{a,b} \ (23)$	$2.612 \pm 4.450_{b^*}  (24)$
phenylpropionic acid	$6.936 \pm 0.627_a (10)$	$8.588 \pm 1.900_{b^{**}}  (22)$	$16.607 \pm 8.890_{c^{**}}$ (24)
cinnamic acids (µg/mL)			
<i>p</i> -coumaric acid	$0.045 \pm 0.052_{a}  (17)$	$0.040 \pm 0.053_{a} (17)$	$0.071 \pm 0.075_a  (23)$
ferulic acid	$0.028 \pm 0.029_a(14)$	$0.021 \pm 0.017_a  (14)$	$0.135 \pm 0.244_a(17)$

<sup>a</sup>Results derived from Student's *t*-test are presented as estimated marginal mean  $\pm$  standard deviation. Range of phenolic metabolites in feces according to tertiles: <sup>b</sup>tertile 1 (3.0-15.9 µg/mL), <sup>c</sup>tertile 2 (15.9-22.7 µg/mL) and <sup>d</sup>tertile 3 (22.7-109.0 µg/mL). Different subscript letters indicate significant statistical differences. \*p  $\leq$  0.05; \*\* p  $\leq$  0.01.

 Table 3. Lineal Regression Analysis between the Fecal Microbial Groups and Short-Chain Fatty Acids (SCFA) and the Excretion of those Fecal

		enolic content μg/mL)	phenylacetic acid (µg/mL)		3-(3'-hydroxyphenyl) propionic acid (µg/mL)		phenylpropionic acid (µg/mL)		protocatechuic acid (µg/mL)		phthalic acid (µg/mL)	
	$R^{2b}$	β <sup>c</sup>	R <sup>2</sup>	β	$R^2$	β	R <sup>2</sup>	β	$R^2$	β	R <sup>2</sup>	β
Microbiota <sup>d</sup> (Log No. Cells per Grat	m feces)											
Akkermansia	0.233	-0.079	0.257	0.047	0.204	-0.122	0.101	-0.197	0.118	-0.004	0.237	0.184
Bacteroides-Prevotella- Porphyromonas		-0.592*		-0.675*		-0.258		-0.157		-0.082		-0.650*
Bifidobacterium		0.429		0.489		0.276		0.040		0.102		0.437
Clostridium cluster XIVa		-0.475*		-0.491*		-0.176		-0.193		-0.238		-0.539*
Lactobacillus group		0.578*		0.555*		0.262		0.268		0.052		0.481
Faecalibacterium prausnitzii		0.044		-0.033		0.111		0.091		0.086		0.022
SCFA <sup>e</sup> (mM)												
acetate	0.397	0.715**	0.351	0.404	0.380	0.137	0.442	1.021**	0.294	0.790**	0.223	0.367
propionate		-0.705**		-0.613*		-0.099		-0.587*		-0.839**		-0.756*
isobutyrate		0.421		-0.004		0.721		0.768		0.853		0.096
butyrate		0.215		0.186		0.454		-0.042		0.008		0.248
isovalerate		-0.040		0.536		-1.239*		-0.520		-0.552		0.241

Phenolic Metabolites whose Concentration Differed Significantly among Tertiles<sup>a</sup>.

<sup>a</sup>Results based on lineal regression analysis. <sup>b</sup>R<sup>2</sup>, coefficient of multiple determinations. <sup>c</sup> $\beta$ , standardized regression coefficient. \*p  $\leq$  0.05 and \*\*p  $\leq$  0.01. <sup>d</sup>microbiota included: *Akkermansia, Bacteroides-Prevotella-Porphyromonas, Bifidobacterium, Clostridium* cluster XIVa, *Lactobacillus* group and *Faecalibacterium prausnitzii* as factors and age, energy intake, body mass index and physical activity as covariates or alternatively <sup>e</sup>SCFA: acetate, propionate, isobutyrate, butyrate and isovalerate as factors and age, energy intake, body mass index and physical activity as covariates. Table 4. Results from the Lineal Regression Analyses in order to Estimate the Relationship between Blood Biomarkers and the Fecal Excretion of

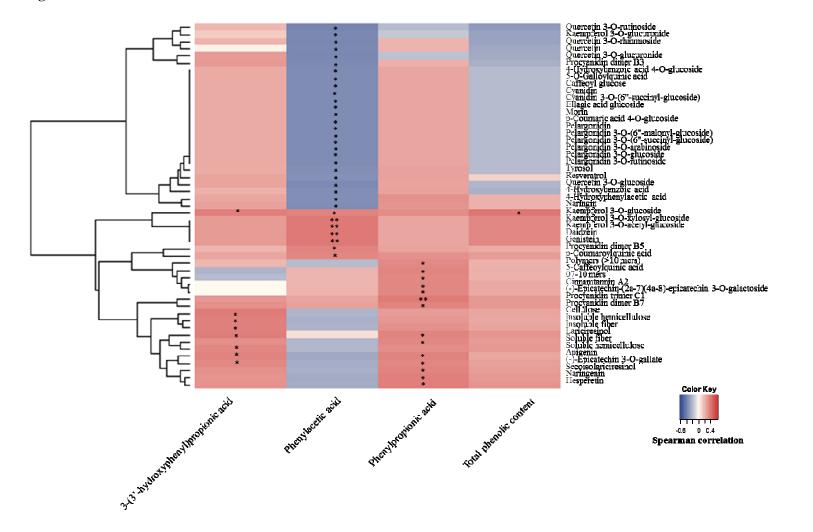
Total and those Fecal Phenolic Metabolites whose Concentration Differed Significantly among Tertiles<sup>a</sup>.

	total phenolic content (µg/mL)		phenylacetic acid (µg/mL)		3-(3'- hydroxyphenyl) propionic acid (µg/mL)		phenylpropionic acid (µg/mL)		protocatechuic acid (µg/mL)		phthalic acid (µg/ml	
	$R^{2b}$	β <sup>c</sup>	$R^2$	β	$R^2$	β	R <sup>2</sup>	β	$R^2$	β	$R^2$	β
$TAC^{d}$ (mM)	0.104	0.143	0.124	0.119	0.157	0.026	0.048	0.140	0.091	-0.070	0.098	-0.044
$MDA^{e}(\mu M)$	0.128	0.264	0.194	0.370*	0.156	0.009	0.031	-0.030	0.097	-0.132	0.116	0.180
CRP <sup>f</sup> (pg/mL)	0.128	0.222	0.180	0.283*	0.157	-0.042	0.032	0.051	0.117	0.190	0.130	0.196
total cholesterol (mg/dL)	0.059	0.077	0.074	0.021	0.167	0.011	0.033	0.168	0.112	0.167	0.112	-0.127
HDL-cholesterol <sup>g</sup> (mg/dL)	0.057	-0.054	0.079	-0.079	0.167	-0.009	0.010	-0.027	0.092	0.058	0.105	-0.085
LDL-cholesterol <sup>h</sup> (mg/dL)	0.058	0.060	0.074	0.022	0.167	-0.027	0.029	0.150	0.124	0.199	0.105	-0.080
triglycerides (mg/dL)	0.076	0.158	0.083	0.100	0.186	0.150	0.035	0.171	0.098	-0.103	0.110	-0.111
serum glucose (mg/dL)	0.068	0.123	0.085	0.114	0.171	0.070	0.013	0.066	0.090	0.028	0.120	-0.156
TGF-β <sup>i</sup> (pg/mL)	0.231	0.398**	0.294	0.446**	0.162	0.081	0.044	0.123	0.164	0.291*	0.209	0.351**
IL-10 <sup>j</sup> (pg/mL)	0.133	0.223	0.165	0.237*	0.156	-0.005	0.039	0.096	0.096	0.101	0.097	-0.031
IL-17 <sup>j</sup> (pg/mL)	0.142	0.244*	0.173	0.254*	0.156	-0.005	0.041	0.105	0.100	0.119	0.097	-0.026
IL- $8^{j}$ (pg/mL)	0.143	0.353*	0.179	0.385*	0.165	-0.138	0.059	0.252	0.090	0.088	0.179	0.422*
TNF- $\alpha^{k}$ (pg/mL)	0.088	-0.060	0.111	-0.003	0.156	0.002	0.054	-0.173	0.086	-0.005	0.100	-0.070
IL- $12^{j}$ (pg/mL)	0.113	-0.185	0.126	-0.135	0.156	0.008	0.075	-0.236	0.094	-0.099	0.106	-0.111

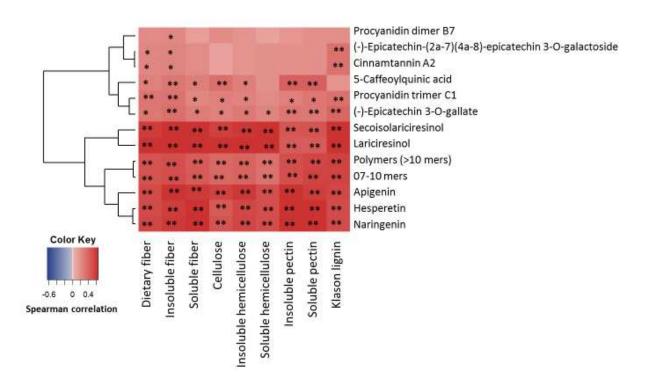
<sup>a</sup>Results based on lineal regression analysis adjusted by age, energy intake, body mass index and physical activity. <sup>b</sup>R<sup>2</sup>, coefficient of multiple determinations. <sup>c</sup> $\beta$ , standardized regression coefficient. \*p  $\leq 0.05$  and \*\*p  $\leq 0.01$ . <sup>d</sup>TAC, total antioxidant capacity. <sup>e</sup>MDA, malondialdehyde. <sup>f</sup>CRP, C-reactive protein. <sup>g</sup>HDL, high-density lipoprotein. <sup>h</sup>LDL, low-density lipoprotein. <sup>i</sup>TGF, transforming growth factor. <sup>j</sup>IL, interleukin. <sup>k</sup>TNF, tumor necrosis factor.

# **FIGURE GRAPHICS**

# Figure 1



# Figure 2



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