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Abstract	Organocatalysis is nowadays recognized as the third pillar of asymmetric synthesis, standing next to metal catalysis and enzymatic transformations. Proline has shown up as an ideal organocatalyst, being inexpensive and readily available. However, this amino acid has also manifested its limitations. Compared to the chemical modification of proline, the approach through adding small hydrogen-bond-donating cocatalysts to interact with proline is particularly attractive. Various additives have been investigated to date. This chapter discloses the use of guanidinium salts as additives for proline, investigated in the course of proline-catalyzed aldol reactions.
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Keywords (separated by ‘-’)	Guanidinium salts - Organocatalysis - Proline - Supramolecular chemistry
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# Cooperative Guanidinium/Proline Organocatalytic Systems 4

5 AU1

Carmen Concellón and Vicente del Amo 6

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**Keywords** Guanidinium salts · Organocatalysis · Proline · Supramolecular chemistry 16  
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## 27 1 Brief Introduction to Organocatalysis and Its Limitations

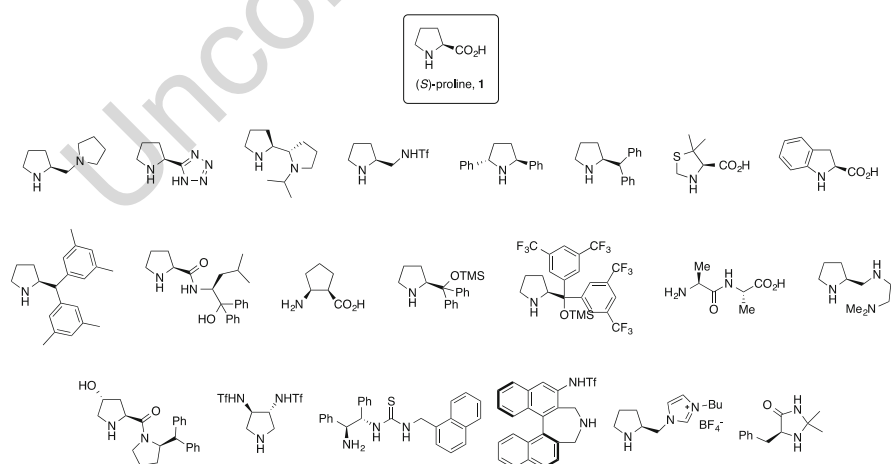
28 During the last decades, the demand of enantiomerically pure synthetic products  
29 has grown exponentially. This request has made asymmetric catalysis the most  
30 active area of research in contemporary organic chemistry. Illustratively, 81 of the  
31 200 blockbuster drugs by worldwide sales are enantiopure substances.

32 Traditional asymmetric catalysis relies on the use of transition metal complexes  
33 (organometallic chemistry), or enzymes (biocatalysis). However, recently, a third  
34 type of catalysts has appeared: the organocatalysts, with its associated discipline  
35 asymmetric organocatalysis. This consists in the use of catalytic or  
36 substoichiometric amounts of simple organic molecules to carry out highly  
37 enantioselective processes that take place in the absence of metallic elements.  
38 The use of organocatalysts shows a number of advantages over the utilization of  
39 transition metal complexes: lower toxicity, low environmental impact, and absence  
40 of metallic elements which present potential contaminants in final products, many  
41 of them synthesized for human or animal intake. Similarly, organocatalysts display  
42 advantages over the use of enzymes, which come at a significantly higher price and  
43 scarce availability.

44 Projects dealing with organocatalysis can be framed inside Green Chemistry and  
45 Sustainable Chemistry schemes. The concept of Sustainable Chemistry (in many  
46 occasions synonymous with Green Chemistry) refers to actions aiming to improve  
47 the efficiency in the use of natural resources. Consequently, it comprises the design  
48 and implementation of new chemical processes and transformations operating in a  
49 more efficient, safer, and more environmentally friendly way. Having the intention  
50 of pursuing those goals, Sustainable Chemistry has been formulated in 12 univer-  
51 sally accepted principles, put forward by Anastas and Warner [1,  
52 2]. Organocatalytic processes satisfy several of them: high atomic efficiency, the  
53 use of reagents of low or nontoxicity, little generation of residues, and the use of  
54 reagents in catalytic amounts. Moreover, the E-factor values of these processes are  
55 remarkably low, which is of interest for industry. The E-factor quantifies how toxic/  
56 benign a particular chemical process is and is expressed as the ratio of generated  
57 waster per kilogram of product produced.

58 The use of small organic molecules as catalysts in chemical transformations can  
59 be tracked back as far as the nineteenth century, to the pioneering works of Emil  
60 Knoevenagel [3–6]. It wasn't however until the year 2000, with the findings of List,  
61 Lerner, and Barbas on the potential of proline as a catalyst for the intermolecular  
62 aldol reaction [7] and those of MacMillan [8], when the research in organocatalysis  
63 commenced as a separate and well-defined field. Since then, the interest of the  
64 scientific community over this discipline has been phenomenal. Nowadays, the  
65 number of publications and literature reviews dealing with different aspects of  
66 asymmetric organocatalysis is extraordinarily large. It is far from the objectives  
67 of this monograph to cover the multiple and colored possibilities of this field.  
68 Nonetheless, the following selected citations (literature reviews) can summarize  
69 the state of the art of the discipline [9–23].

Considering their low price and ready availability and based on the study of List [7], proline, or other natural amino acids, would be the first-choice organocatalysts. These naturally occurring compounds are cheap, are readily available in both enantiomeric forms, and can be used for a wide range of synthetic transformations. However, amino acids also present some major drawbacks as organocatalysts, namely, rather limited solubility and reactivity in nonpolar organic solvents, and parasitic side reactions that make using high catalyst loadings necessary to achieve acceptable conversions. To avoid these undesired issues, large efforts have been devoted to the careful design (assisted by molecular modeling) and synthesis of novel tailor-made catalysts. In this sense, the structures shown in Fig. 1, collected from the references cited above, represent some of the thousands of different catalysts that have recently been used in organocatalytic processes. Such processes make use of a classical approach to the phenomenon of catalysis, where a certain novel asymmetric organocatalyst is designed, synthesized, and applied to a particular transformation. The efficiency of the catalyst in question is evaluated in terms of chemical yield, diastereoselection, and/or enantioselection for the product obtained. If the results are unsatisfactory, a second-generation organocatalyst (typically based on the original motif) is redesigned and resynthesized, for being once again evaluated. This type of iterative approach is unattractive for industry, which cannot afford testing every single catalyst on a particular reaction, and also constrained by both economic and time limitations. It has to be noted that the preparation of structures like those represented in Fig. 1 is not trivial and normally encompasses considerable synthetic efforts. Moreover, before having found a good catalyst, many analogues of a proposed design are normally prepared and evaluated.



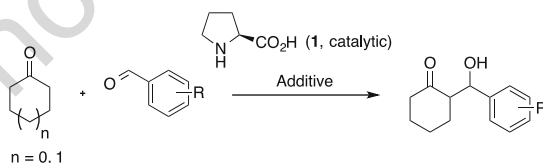
**Fig. 1** Structure of (S)-proline (1) and examples of other structures used as organocatalysts

95 **2 Additives Used for Proline in Organocatalyzed Reactions**

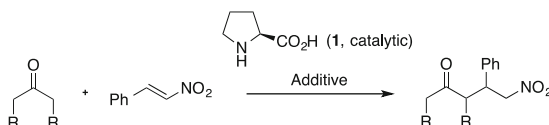
96 An alternative to the classical approach discussed above consists of adding simple,  
97 readily available additives to reactions containing known catalysts, ideally proline,  
98 whose behavior is thus reevaluated under the new reaction conditions. This late  
99 strategy is significantly beneficial in evading tedious chemical syntheses and would  
100 ultimately allow the construction of libraries of catalytic systems by simply chang-  
101 ing the additives of choice. Moreover, the possibility of testing various additives in  
102 parallel with the aid of high-throughput screening methods is particularly appealing  
103 (for high-throughput screening methodologies of additives in organocatalyzed  
104 reactions, see [24–26]).

105 With the aim of avoiding the use of synthetically elaborated organocatalysts,  
106 various researches have recently embraced the look for rational additives capable of  
107 enhancing the reactivity and selectivity of off-the-bench catalysts, particularly (*S*)-  
108 proline, in different organic transformations. The classical proline-catalyzed cross-  
109 aldol reaction between cyclic ketones and aromatic aldehydes (Scheme 1) [7] and to  
110 a lesser extent the proline-catalyzed addition of ketones to  $\beta$ -nitro-styrene  
111 (Scheme 2) [27] have been adopted as paradigms for testing multiple additives.

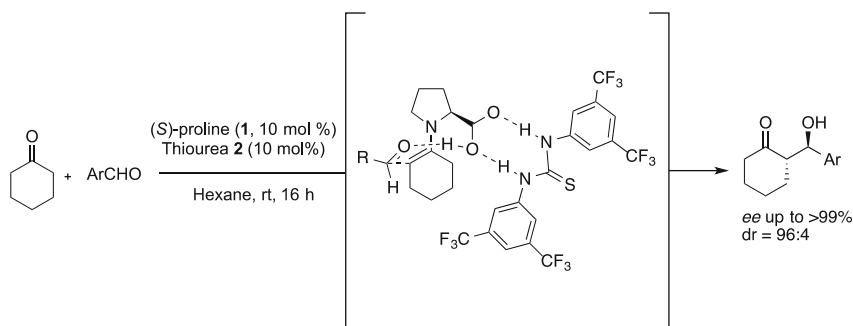
112 So far, it has been demonstrated how the addition of catalytic or  
113 substoichiometric amounts of inorganic Lewis acidic salts [28–35], Brønsted  
114 acids [36], water [37–40], chiral alcohols (BINOL or tartrates) [41, 42], achiral  
115 alcohols [43], ureas [44], thioureas [45–52], thiouronium salts [53], and  
116 imidazolium salts [54] increase the reactivity, efficiency, and selectivity of proline  
117 in cross-aldol reactions in comparison to the seminal report of List [7]. Additionally,  
118 ureas and thioureas have also been investigated to partner (*S*)-proline in the  
119 catalytic addition of ketones to  $\beta$ -nitro-styrene [49, 55, 56]. Although a full-bodied  
120 picture of the role played by these additives in the mechanisms of the reactions  
121 shown in Scheme 1 has not been disclosed, it seems clear that in nonpolar solvents,  
122 a network of hydrogen-bonding interactions between the carboxylate function of



**Scheme 1** Proline-catalyzed cross-aldol reaction, commonly used as a model to evaluate different additives



**Scheme 2** Proline-catalyzed addition of ketones to  $\beta$ -nitro-styrene, commonly used as a model to evaluate different additives



**Scheme 3** Demir's (*S*)-proline/thiourea **2**-catalyzed aldol reaction between cyclohexanone and aromatic aldehydes. The proposed reaction intermediate is represented

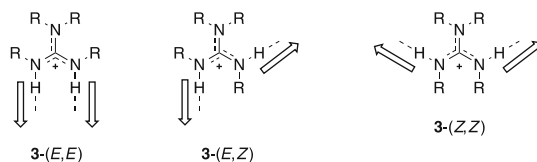
proline, the corresponding additive, and the reaction substrates in the transition state is established. Based on this hypothesis, Demir and co-workers proposed a transition state characterized by the formation of a doubly hydrogen-bonded complex [Schreiner's thiourea **2** [57] · proline **1**], for the thiourea **2**/proline-catalyzed aldol reaction between cyclohexanone and aromatic aldehydes (Scheme 3). The establishment of such a complex would be ultimately responsible of the high selectivity observed for the process.

### 3 Guanidinium Salts as Additives for Proline in Organocatalyzed Reactions

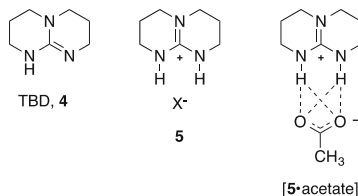
#### 3.1 Cross-Aldol Reaction Between Cyclic Ketones and Aromatic Aldehydes

Inspired by the aforementioned contributions [28–52, 54] and particularly by the work of Demir [45], back in 2010, our group started to explore the feasibility of using guanidinium salts as novel additives for proline in classical organocatalyzed reactions. In order to compare our results with those reported by other methodologies, we also adopted the direct cross-aldol reaction between cyclic ketones and aromatic aldehydes as a model (Scheme 1). We founded our work on the probed ability of guanidinium salts in binding carboxylic acids and carboxylates, amply documented in the literature [58–61]. Also, backing up this idea, ionic liquids based on guanidinium cores, although not used in a catalytic manner, were demonstrated to be superb solvents for proline-promoted aldol reactions [62].

Tetrasubstituted guanidinium cations can form H-bonds with appropriate partners. The conformation of the guanidinium motif, thus the directionality of the H-bonds, is ultimately determined by steric and stereoelectronic factors imposed by its substituents. Figure 2 shows the three possible conformations (named after



**Fig. 2** Conformations of a general tetrasubstituted guanidinium cation **3**. *Bold arrows* indicate the direction in which H-bonds could be formed



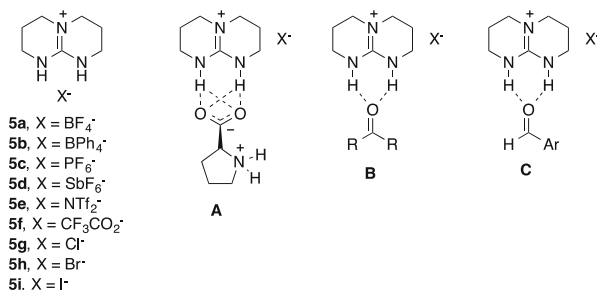
**Fig. 3** Structure of guanidine TBD **4** (*left*), its corresponding guanidinium cation **5** (*center*), and the supramolecular complex [guanidinium·acetate] (*right*) with indication of its H-bond interactions

148 (*E,E*), (*E,Z*), and (*Z,Z*)) of a general tetrasubstituted guanidinium cation **3** and the  
149 directions amenable to H-bond formation.

150 In acyclic guanidinium salts, the three conformers represented in Fig. 2 can  
151 interconvert into each other by the successive rotation of C–N bonds. However,  
152 only the (*E,E*)-conformer is capable of forming well-defined complexes with  
153 carboxylates or other oxoanions. Bearing this in mind, we judiciously choose for  
154 our study guanidinium salts derived from the bicyclic guanidine TBD (triazabicyclo  
155 [4.4.0]dec-5-ene, **4**, Fig. 3), which are conformationally restricted and have a  
156 suitable geometry for hydrogen bonding.

157 TBD is readily available from commercial suppliers and is a reasonably inex-  
158 pensive base,<sup>1</sup> intensively investigated as catalyst for various transformations [63–  
159 72]. This guanidine, in which the nitrogen atoms are embedded within a decaline  
160 core, shows high rigidity and conformational restriction. When TBD **4** is proton-  
161 ated, its corresponding guanidinium cation **5** (Fig. 3) presents a single (*E,E*)-  
162 conformation, with a pair of acidic hydrogen atoms preorganized according to a  
163 donor–donor (DD) pattern, which can form doubly H-bonded arrays with an  
164 appropriate acceptor–acceptor (AA) partner (i.e., a carboxylate anion) (Fig. 3).  
165 Such motifs are stabilized not only by primary and secondary H-bonding interac-  
166 tions but also through coulombic forces, as a consequence of the formation of an  
167 electroneutral ionic pair. This results in supramolecular complexes  
168 [guanidinium·carboxylate] typically displaying high association constants [73]

<sup>1</sup> 5g, 36 € (Sigma–Aldrich catalogue; April 2015).



**Fig. 4** TBD-derived guanidinium salts **5a–i** studied as additives for proline. Possible doubly H-bonded motifs formed by interaction of the TBD-derived guanidinium core with the carboxylate function of (*S*)-proline (model **A**), or the carbonyl moiety of a ketone (model **B**), or an aromatic aldehyde (model **C**)

even in competitive polar media, which are generally larger than those measured for 169  
structurally related complexes [urea · carboxylate] or [thiourea · carboxylate]. 170

We started off preparing a battery of guanidinium salts **5a–5g**, with anions 171  
featuring different geometries, bulkiness, and electronic properties (Fig. 4). Utiliz- 172  
ing salts **5** as additives for proline in the direct cross-aldol reaction represented in 173  
Scheme 1, we postulated that the guanidinium cation of **5** could form doubly 174  
H-bonded motifs with the carboxylate function of proline (Fig. 4, model **A**), as 175  
well as with the carbonyl moieties of the ketone (Fig. 4, model **B**), and the aromatic 176  
aldehyde (Fig. 4, model **C**), thus enhancing their electrophilicity. Moreover, the 177  
participation of the anion counterpart X<sup>-</sup> of salt **5** could be also presumed. In fact, 178  
our studies have demonstrated that the anion accompanying the guanidinium core 179  
of salt **5** was indeed crucial in the reaction outcome of the guanidinium salt/proline- 180  
catalyzed aldol reaction. 181

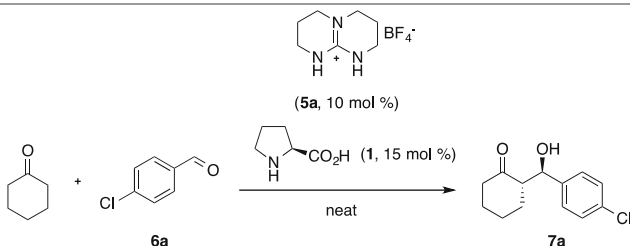
### 3.1.1 Studies on the Tetrafluoroborate Guanidinium Salt 182

#### 5a (5, X = BF<sub>4</sub><sup>-</sup>) 183

From the compounds **5a–5i** represented in Fig. 4, the tetrafluoroborate guanidinium 184  
salt **5a** denoted being an outstanding additive for (*S*)-proline in the direct proline- 185  
catalyzed cross-aldol reaction [74]. Experimental conditions were optimized for the 186  
reaction occurring between cyclohexanone and 4-chlorobenzaldehyde **6a** to render 187  
the aldol adduct **7a** (Table 1). Looking for an inexpensive and green process, it was 188  
decided to avoid the use of any organic solvent apart from a moderate excess of 189  
cyclohexanone (tenfold excess), which acted as both reagent and reaction media. 190  
Organocatalyzed aldol reactions operating under solvent-free conditions are particu- 191  
larly interesting and therefore sought after (for recent examples of 192  
organocatalyzed aldol reactions carried out under solvent-free conditions, see 193  
[75–85]). 194



t1.1 **Table 1** Initial screening of conditions for the guanidinium salt **5a**/proline system in the formation of aldol **7a**



t1.2

t1.3	Entry	Temp. (°C)	Time (h)	Conv. (%) <sup>a</sup>	<i>anti:syn</i>	<i>ee</i> (%) <sup>b</sup>
t1.4	1	20	48	99	76:24	82
t1.5	2	0	96	98	93:7	96
t1.6	3 <sup>c</sup>	0–3	96	96	94:6	98
t1.7	4 <sup>c,d</sup>	0–3	96	81	69:31	54

t1.8 Reaction conditions: cyclohexanone (10 equiv.), **6a** (1 equiv.), (*S*)-proline (**1**, 15 mol%), **5a** (10 mol%), and no solvent (neat); reaction mixture was stirred unless otherwise stated. Table figures represent an average of two experiments

<sup>a</sup>Conversion of aldehyde **6a** (limiting reagent) into aldol adduct **7a**

<sup>b</sup>Enantiomeric excess of the major (*anti*) diastereoisomer

<sup>c</sup>The reaction mixture was left to stand inside a fridge (0–3°C) without stirring

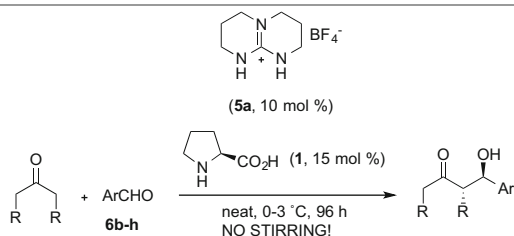
<sup>d</sup>Guanidinium salt **5a** was not added

195 The best reaction conditions implied utilizing 15 mol% of proline **1** and 10 mol  
 196 % of tetrafluoroborate guanidinium salt **5a**. The aldol reaction proceeded better at  
 197 0°C than at room temperature, although it required longer times (Table 1, entries  
 198 1 and 2). Interestingly, when a suspension of aldehyde **6a**, (*S*)-proline (15 mol%),  
 199 and additive **5a** (10 mol%) in cyclohexanone was left to stand for 96 h inside a  
 200 standard laboratory fridge (temperature ranging 0–3°C) without stirring or mechan-  
 201 ical agitation, aldol **7a** was rendered in 96% conversion, with a relation of diaste-  
 202 reoisomers 96:4 (*anti:syn*)peaking at 98% enantiomeric excess (Table 1, entry 3).  
 203 Small differences were appreciated in terms of diastereo- and enantioselectivity of  
 204 product **7a** when reaction mixtures were stirred at 0°C (Table 1, entry 2), or  
 205 alternatively when they were left to stand inside the fridge at 0–3°C without any  
 206 sort of agitation. However, the later protocol was favored, being significantly  
 207 straightforward and avoiding the use of cryogenic baths for prolonged times.  
 208 Moreover, there was no indication of any irreproducibility of results. Blank exper-  
 209 iments, without the participation of additive **5a**, presented modest figures of  
 210 chemical conversion, diastereo- and enantioselectivity of adduct **7a** (Table 1,  
 211 entry 5), hence confirming the advantageous effect of the guanidinium salt under  
 212 such rather mild reaction conditions.

213 The scope of this aldol protocol was established by reacting a collection of  
 214 aldehydes **6b–h** bearing diverse functional groups and substitution patterns with  
 215 cyclohexanone, or other ketones, under the ideal reaction conditions presented in  
 216 Table 1, entry 3. Table 2 gathers the results obtained. Aldols **7b–f**, derived from

**Table 2** Scope of the (*S*)-proline/guanidinium salt **5a** co-catalyzed synthesis of aldols

t2.1



t2.2

Entry	ArCHO	Product	Yield (%) <sup>a</sup>	<i>anti:syn</i>	<i>ee</i> (%) <sup>b</sup>
1 <sup>c</sup>	<b>6b</b> 4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	<b>7b</b>	92	92:8	99
2 <sup>c</sup>	<b>6c</b> 4-CO <sub>2</sub> Me-C <sub>6</sub> H <sub>4</sub>	<b>7c</b>	86	92:8	99
3 <sup>c</sup>	<b>6d</b> 4-Br-C <sub>6</sub> H <sub>4</sub>	<b>7d</b>	94	97:3	99
4 <sup>c</sup>	<b>6e</b> 2-OMe-C <sub>6</sub> H <sub>4</sub>	<b>7e</b>	87	95:5	98
5 <sup>c</sup>	<b>6f</b> 3-Cl-C <sub>6</sub> H <sub>4</sub>	<b>7f</b>	94	96:4	98
6 <sup>c</sup>	<b>6g</b> 2-furyl	<b>7g</b>	73	86:14	91
7 <sup>c</sup>	<b>6h</b> 2-Thiophenyl	<b>7h</b>	70	93:7	90
8 <sup>d</sup>	<b>6b</b> 4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	<b>8b</b>	81	86:14:0:0	97
9 <sup>e</sup>	<b>6b</b> 4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	<b>9b</b>	84	74:26	98
10 <sup>f</sup>	<b>6b</b> 4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	<b>10b</b>	88	—	74

t2.3

t2.4

t2.5

t2.6

t2.7

t2.8

t2.9

t2.10

t2.11

t2.12

t2.13

Reaction conditions: ketone (10 equiv.), aldehyde (1 equiv.), (*S*)-proline (**1**, 15 mol%), **5a** (10 mol%), and no solvent (neat); reaction mixture was left to stand inside a fridge (0–3°C) for 96 h without stirring

t2.14

<sup>a</sup>Isolated yield of analytically pure products

<sup>b</sup>Enantiomeric excess of the major (*anti*) diastereoisomer

<sup>c</sup>Cyclohexanone was used as ketone

<sup>d</sup>4-Methylcyclohexanone was used as ketone

<sup>e</sup>Cyclopentanone was used as ketone

<sup>f</sup>Acetone was used as ketone

cyclohexanone (Table 2, entries 1–5), were isolated in good or very good yields, 217 and with very high diastereo- and enantioselectivity. Particularly relevant are aldols 218 **7g** and **7h**, prepared from 2-furfural and 2-thiophenecarboxaldehyde, respectively, 219 which are challenging substrates for the direct aldol reaction (Table 2, entries 6 and 220 7). 4-Methylcyclohexanone was successfully desymmetrized by means of this 221 methodology, affording aldol **8b** with high diastereo- and enantioselectivity, in a 222 process where the absolute configuration of three stereogenic centers is fixed 223

t3.1 **Table 3** Direct aldol reaction without the addition of guanidinium salt **5a**

t3.2						
t3.3	Entry	ArCHO	Product	Conv. (%) <sup>a</sup>	<i>anti:syn</i>	<i>ee</i> (%) <sup>b</sup>
t3.4	1	<b>6b</b> 4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	<b>7b</b>	>99	85:15	n.d. <sup>c</sup>
t3.5	2	<b>6c</b> 4-CO <sub>2</sub> Me-C <sub>6</sub> H <sub>4</sub>	<b>7c</b>	56	76:24	95
t3.6	3	<b>6d</b> 4-Br-C <sub>6</sub> H <sub>4</sub>	<b>7d</b>	26	69:31	94
t3.7	4 <sup>d</sup>	<b>6b</b> 4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	<b>9b</b>	93	38:62	92

t3.8 Reaction conditions: ketone (10 equiv.), aldehyde (1 equiv.), (*S*)-proline (15 mol%), and no solvent (neat); reaction mixture was left to stand inside a fridge (0–3°C) for 96 h without stirring

<sup>a</sup>Conversion of aldehyde **6** (limiting reagent) into aldol adduct

<sup>b</sup>Enantiomeric excess of the major (*anti*) diastereoisomer

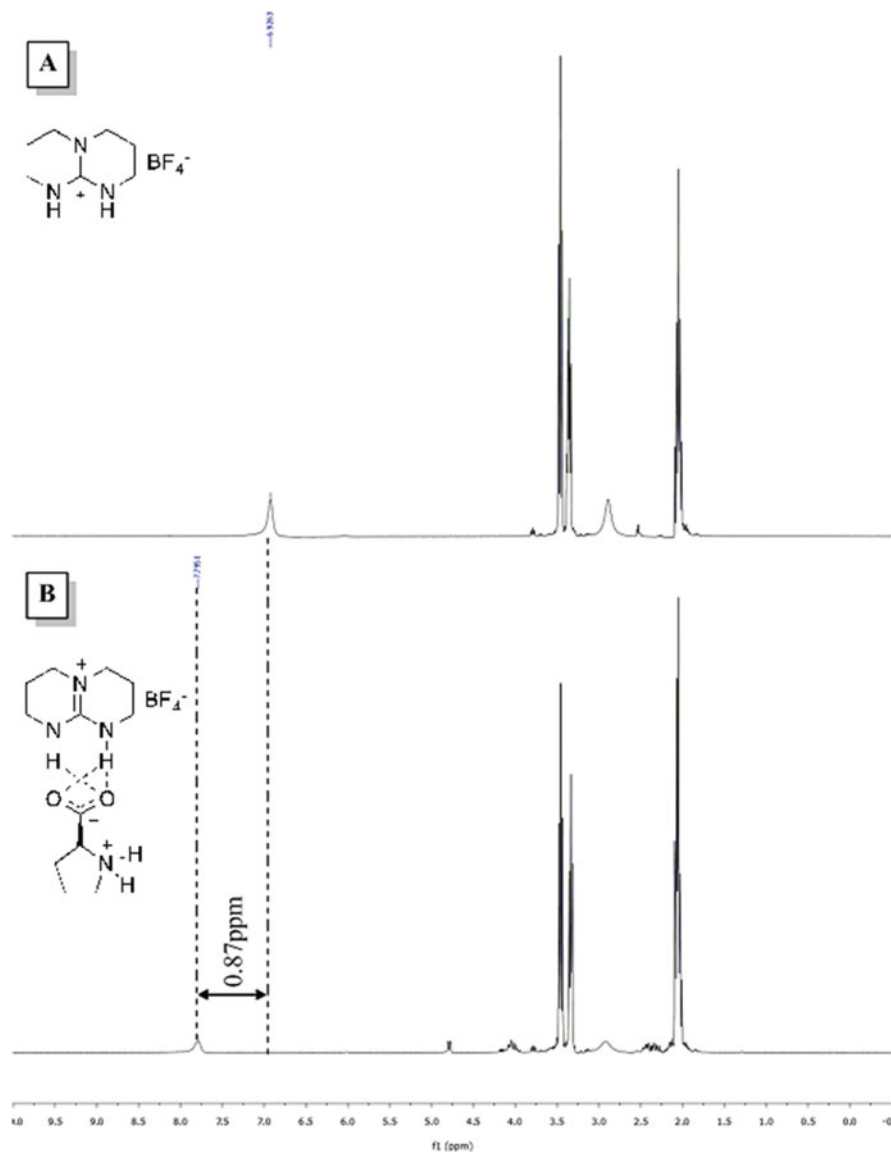
<sup>c</sup>Enantiomeric excess was not determined, hampered by impurities

<sup>d</sup>Cyclopentanone was used as ketone

224 (Table 2, entry 8). Reactions carried out with cyclopentanone or acetone were also  
225 successful.

226 To further confirm the positive effect of the tetrafluoroborate guanidinium salt  
227 **5a** on the course of the reactions outlined in Table 2, some were repeated under  
228 strictly analogous conditions using only (*S*)-proline as a single catalyst (Table 3).  
229 As it was anticipated, all aldol reactions performed without additive **5a** showed  
230 lower conversion as well as poorer diastereoisomeric ratios and enantiomeric  
231 excesses.

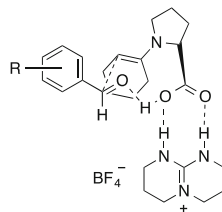
232 It is important to mention that, as we have observed, all transformations imply-  
233 ing the proline/guanidinium salt **5a** methodology are heterogenous, some (*S*-  
234 proline remaining precipitated at the bottom of the reaction vessels along the  
235 reaction course. Literature reports have presented the behavior of proline as  
236 organocatalyst under heterogenous conditions ([86], and reference therein), and it  
237 is accepted that a saturated solution of the amino acid is equilibrated with a  
238 crystalline phase. Accordingly, we considered in our system the presence of some  
239 (*S*)-proline dissolved in cyclohexanone (or either of the other ketones employed),  
240 ultimately responsible of controlling the reaction course. Indeed, high-field <sup>1</sup>H  
241 NMR experiments have confirmed that the guanidinium salt **5a** significantly favors  
242 the dissolution of proline in acetone-*d*<sub>6</sub>. Figure 5a shows the spectrum of  
243 guanidinium salt **5a** in acetone-*d*<sub>6</sub> at *C* = 75 mM, a concentration close to that  
244 featured in the experiments of Table 2. When equimolar amounts of (*S*)-proline  
245 were added to the former solution and the corresponding <sup>1</sup>H NMR spectrum was  
246 recorded, a deshielding of the resonances attributed to the N–H functions of



**Fig. 5** (a) <sup>1</sup>H NMR spectrum (300 MHz, acetone-*d*<sub>6</sub>) of guanidinium salt **5a** (*c* = 75 mM). (b) <sup>1</sup>H NMR spectrum (300 MHz, acetone-*d*<sub>6</sub>) of guanidinium salt **5a** (*c* = 75 mM) and (*S*)-proline (*c* = 75 mM)

guanidinium salt **5a** was observed, together with resonances of the amino acid 247 showing up (Fig. 5b). It is important to note that proline itself, in absence of the 248 guanidinium salt, is completely insoluble in acetone-*d*<sub>6</sub>. These data confirmed the 249

**Fig. 6** Zimmerman–Traxler-type transition state **11** proposed to explain the observed stereochemistry of aldol **7** in the proline/tetrafluoroborate guanidinium salt **5a**-catalyzed aldol reaction



11

250 entity of the complex [proline · **5a**], which, in turn, served to validate the model A  
251 proposed in Fig. 4.

252 Granted the solubility of proline, the stereochemical outcome of the reaction was  
253 explained assuming that it operates through a Zimmerman–Traxler-type transition  
254 state. Similar reaction intermediates have been proposed by other authors. There-  
255 fore, the formation of a 1:1 complex between the guanidinium cation of additive **5a**  
256 and the solubilized proline would stabilize the chairlike transition state **11** (Fig. 6),  
257 which leads to the observed aldols and also accounts for their spatial configuration.  
258 Profound molecular mechanics calculations carried out by the group of Li and  
259 Cheng have recently given further support to the existence of the supramolecular  
260 complex [proline · guanidinium salt], both in the gas phase and in nonpolar solvents  
261 [87]. According to the authors, the calculated results predicted that the acidity of  
262 proline could be increased by no less than 9 p*K*<sub>a</sub> units when it is assembled with the  
263 H-bond-donating guanidinium cation. Such an increment of acidity would rational-  
264 ize the dramatically enhanced activity of proline in the presence of the additive.  
265 Notwithstanding with our mechanistic proposition and the suggestions of Li and  
266 Cheng, issues such as the role played by the tetrafluoroborate counterpart of salt **5a**  
267 in the reaction mechanism are yet unclear. In any case, further experiments carried  
268 out in our laboratory, discussed in Sect. 3.1.2, indicated that, as a matter of fact, the  
269 anion does play a central role.

270 Soon after the publication of this work, the group of Córdova studied the effect  
271 of adding guanidinium salt **5a**, or alternatively other additives, on the outcome of an  
272 aldol reaction between cyclohexanone and 4-nitrobenzaldehyde **6b** catalyzed by a  
273 *O*-silyl-protected threonine derivative **12** (Table 4) [88]. Reactions were carried out  
274 in toluene at room temperature. Under this set of conditions, it was evident that the  
275 concurrence of the additive did in fact not improve the performance of the primary  
276 amino acid catalyst.

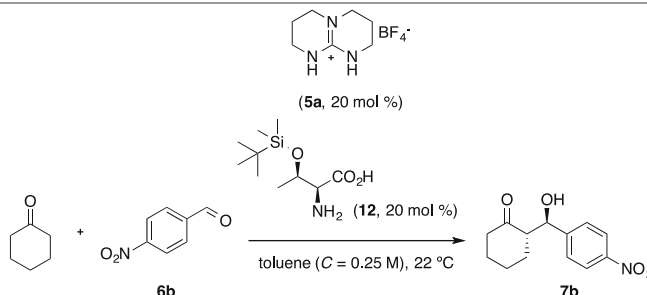
### 277 3.1.2 Studies on the Tetraphenylborate Guanidinium Salt

278 5b (5, X = BPh<sub>4</sub><sup>-</sup>)

279 In the reactions shown in Table 2, *syn*-aldols were preferentially formed when the  
280 TBD-derived tetraphenylborate guanidinium salt **5b** replaced the tetrafluoroborate  
281 salt **5a** as cocatalyst for proline. This intriguing observation was further examined  
282 in our laboratory [89]. The aldol reaction between cyclohexanone and

**Table 4** *O*-Silylated threonine **12**/guanidinium salt **5a** co-catalyzed aldol reaction

t4.1



Entry	Time (h)	Conv. (%) <sup>a</sup>	<i>anti:syn</i>	<i>ee</i> (%) <sup>b</sup>
1	27	82 (76)	92:8	98
2 <sup>c</sup>	24	77 (64)	91:9	99

t4.2

t4.3

t4.4

t4.5

Reaction conditions: cyclohexanone (10 equiv.), **6b** (1 equiv.), threonine derivative **12** (20 mol%), **5a** (20 mol%), in toluene (*c* = 0.25 M), 22 °C

t4.6

<sup>a</sup>Conversion of aldehyde **6b** (limiting reagent) into adduct **7b** in crude reaction mixtures. Isolated yield of analytically pure products is given in brackets

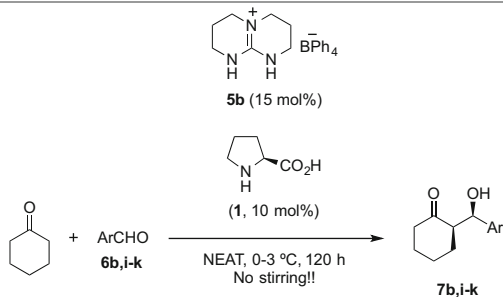
<sup>b</sup>Enantiomeric excess of major (*anti*) diastereoisomer

<sup>c</sup>Guanidinium salt **5a** was not added

4-nitrobenzaldehyde, **6b**, was adopted as a model to gain proper experimental conditions that maximized the amount of *syn*-adduct produced. It was found that when a suspension of 4-nitrobenzaldehyde **6b** (1.0 equiv.), (*S*)-proline (**1**, 10 mol %), and TBD-derived tetraphenylborate guanidinium salt **5b** (15 mol%) in cyclohexanone (10.0 equiv.) was allowed to react for 120 h at 0–3 °C inside a fridge without stirring, the corresponding aldol adduct **7b** was rendered in full conversion, with moderate *syn*-diastereoselectivity (35:65 *anti/syn*) and excellent enantioselectivity (93% *ee*, for *syn*-**7b**) (Table 5, entry 1). The stereochemistry of the product *syn*-**7b** was assigned as (*R,R*) by comparison with literature values. Other aromatic aldehydes **6i–j** decorated with nitro substituents and 4-cyanobenzaldehyde **6k** were examined as substrates for this reaction (Table 1, entries 2–4). Products **7i–k** also displayed a preferential *syn*-stereochemistry, peaking the *anti/syn* ratio at 25:75, and had enantiomeric excesses above 90%. It has to be highlighted that limited work had been done on the catalytic direct asymmetric aldol reaction aiming to render *syn*-adducts [90–92].

When the additive **5b** did not participate in the proline-catalyzed aldol reaction, adducts **7b**, **i–k** were rendered with poor conversion and significantly low diastereoselectivity, the *anti*-configured products being favored (Table 6, entries 1–4). In addition, the small amount of *syn*-adducts produced in the absence of guanidinium salt **5b** featured the absolute configuration (*S,S*), opposite to the examples shown in Table 5. These observations demonstrated how the participation of the guanidinium salt controls the stereopreference of the aldol reaction (for a general review on the stereocontrol of asymmetric reactions, including organocatalyzed transformations, see [93]). To our knowledge, only Yang and

**Table 5** (*S*)-Proline/  
guanidinium salt **5b**  
co-catalyzed synthesis of *syn*-  
aldols derived from  
cyclohexanone



t5.1  
t5.2  
t5.3  
t5.4  
t5.5  
t5.6  
t5.7

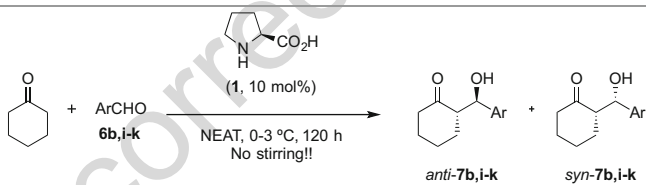
Entry	ArCHO	Conv. (%) <sup>a</sup>	<i>anti:syn</i>	<i>ee</i> (%) <sup>b</sup>
1	<b>6b</b> 4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	>99 (86)	35:65	93
2	<b>6i</b> 3-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	>99 (87)	34:66	96
3	<b>6j</b> 2-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	>99 (92)	25:75	98
4	<b>6k</b> 4-CN-C <sub>6</sub> H <sub>4</sub>	>99 (98)	35:65	91

Reaction conditions: cyclohexanone (10 equiv.), aldehyde (1 equiv.), (*S*)-proline (**1**, 10 mol%), guanidinium salt **5b** (15 mol%), and no solvent. The reaction mixture was left to stand inside a fridge (0–3 °C) for 120 h without stirring

<sup>a</sup>Conversion of aldehyde **6** (limiting reagent) into aldol **7**. Isolated yield of analytically pure products is given in brackets

<sup>b</sup>Enantiomeric excess of aldol adduct *syn*-**7**

t6.1 **Table 6** Direct aldol reaction between cyclohexanone and aromatic aldehydes **6b,i-k** catalyzed by (*S*)-proline, without the participation of tetraphenylborate guanidinium salts **5b**



t6.2  
t6.3  
t6.4  
t6.5  
t6.6  
t6.7  
t6.8

Entry	ArCHO	Conv. (%) <sup>a</sup>	<i>anti:syn</i>	<i>ee</i> (%) <sup>b</sup>
1	4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub> <b>6b</b>	68	66:34	92 (95)
2	3-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub> <b>6i</b>	51	72:28	96 (89)
3	2-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub> <b>6j</b>	76	90:10	99 (80)
4	4-CN-C <sub>6</sub> H <sub>4</sub> <b>6k</b>	79	67:33	95 (94)

Reaction conditions: cyclohexanone (10 equiv.), aldehyde (1 equiv.), (*S*)-proline (**1**, 10 mol%), and no solvent; reaction mixtures left to stand inside a fridge (0–3 °C) for 120 h without stirring or mechanical agitation

<sup>a</sup>Conversion of aldehyde **6** (limiting reagent) into aldol **7**

<sup>b</sup>Enantiomeric excess of aldol adduct *anti*-**7**. The enantiomeric excess of adduct *syn*-**7** is given in brackets (a preferred (*S,S*) absolute configuration is observed for these later compounds, in opposition to the (*R,R*) configuration of the adducts rendered according to the conditions of Table 5)

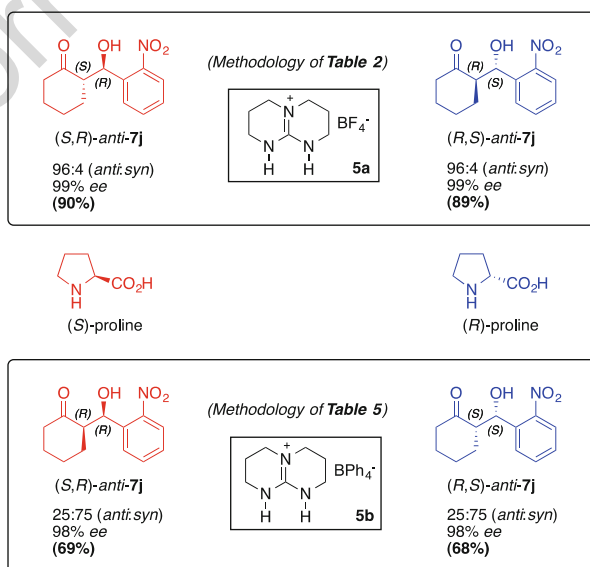
co-workers have presented another organocatalytic system of this kind, where the diastereoselectivity of aldol reactions is determined by the participation of different additives [94].

Taking as an example aldol **7j** decorated with a nitro group in position 2 of the aromatic ring and making use of the methodologies represented in Tables 2 and 5, all four possible spatial configurations of this compound could be accessed with excellent enantioselectivity by choosing the appropriate combination of either (*S*)- or (*R*)-proline and either guanidinium salts **5a** or **5b** (Fig. 7). Moreover, considering that the *anti*- and *syn*-diastereoisomers of product **7j** were readily separated by standard flash chromatography on silica gel, these four products could be isolated in analytically pure form with high yield. Proline exerted the enantiocontrol on the reaction, whereas the guanidinium salt additive controlled the diastereoselection. It is worth noting that the paradigmatic organocatalyzed aldol reaction represented in Scheme 1 has been explored in depth, almost to extenuation, and consequently both *anti*- and *syn*-products have been studied and prepared independently. It was far from our interest to present a novel methodology for the proline-catalyzed aldol reaction but rather to demonstrate, as a proof of principle, how the judicious choice of an additive for the most widely known off-the-bench organocatalyst, proline, allows to gain access to either stereoisomer of a particular aldol product.

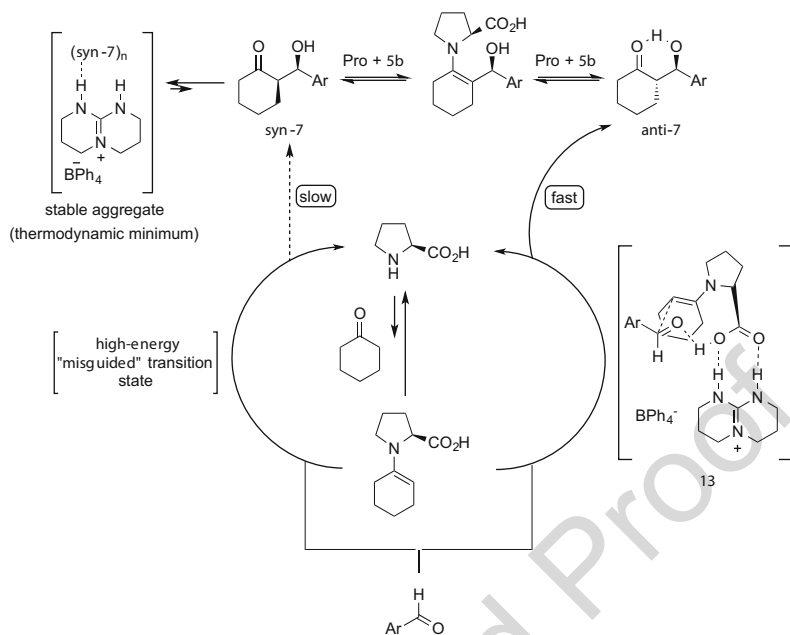
<sup>1</sup>H NMR kinetic studies, DFT calculations, and further experiments were carried out in order to give an explanation for the unexpected *syn*-selectivity recorded in the case of using the tetraphenylborate guanidinium salt **5b**. In light of these experiments, the reaction mechanism shown in Fig. 8 was proposed.

Thus, on the one hand, *anti*-conformers would be afforded according to a Zimmerman–Traxler-type transition state **13** (similar to intermediate **11**

**Fig. 7** Combinations of either (*S*)- or (*R*)-proline and guanidinium salt **5a** or **5b**, employed for the preparation of all possible spatial configurations of aldol product **7j** according to the methodologies shown in Tables 2 and 5. Isolated yield for each of the four stereoisomers in analytically pure form is given in brackets







**Fig. 8** Reaction mechanism proposed for the aldol reaction between cyclohexanone and aromatic aldehydes catalyzed by the system proline **1**/tetraphenylborate guanidinium salt **5b**

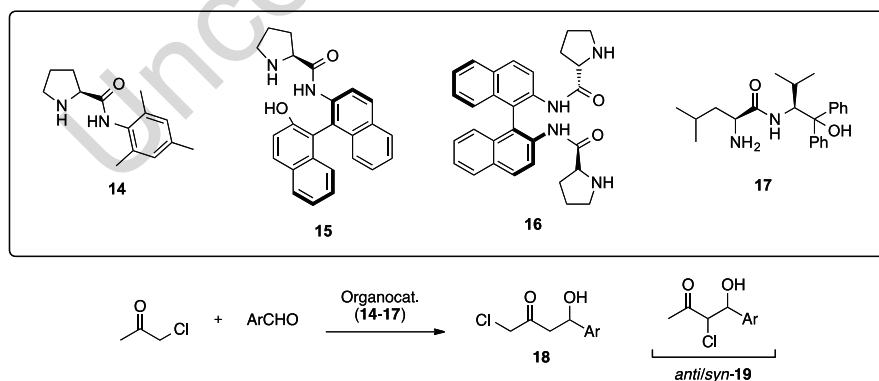
332 represented in Fig. 6), stabilized by the establishment of a 1:1 complex between the  
 333 guanidinium cation of additive **5b** and proline. This sort of intermediate was  
 334 previously postulated by others and by us to justify the high selectivity observed  
 335 for *anti* products. On the other hand, *syn*-aldols would be formed slowly and in  
 336 small quantity through a high-energy "misguided" transition state. While the *anti*-  
 337 aldols seem to be far more stable in the gas phase (according to DFT calculations),  
 338 *syn* isomers possess lower free energies under our experimental setup, being  
 339 isolated as the major reaction products. Ruling out an aldol/retro-aldol sequence,  
 340 the channel that connects both diastereoisomers was proposed to consist of a  
 341 common proline–enamine intermediate, followed by its subsequent hydrolysis.  
 342 This hypothesis served to explain the high enantiomeric excess observed for both  
 343 *anti*- and *syn*-diastereoisomers. Nonetheless, it remains to be clarified why *syn*-  
 344 diastereoisomers could be more stable products under the reactions conditions  
 345 applied. The geometries of various adducts, optimized at the B3LYP6-31G\* level  
 346 of theory, showed how the *anti* adducts are stabilized by strong intramolecular  
 347 hydrogen bonds, between the oxygen atom of the ketone carbonyl group and the O–  
 348 H in  $\beta$ -position, accounting for 6.3–12.5 kJ/mol. The weak intramolecular interac-  
 349 tions calculated for the *syn* compounds were suggested to be compensated with  
 350 stronger intermolecular hydrogen bonds. Thus, considering the central effect played  
 351 by the counter anions of our TBD-derived additives, it was reasoned that replacing  
 352 the small and tightly bound tetrafluoroborate anion featured in **5a** with the bulkier

tetraphenylborate of salt **5b** allows the bicyclic guanidinium core of **5b** to take part in large hydrogen-bonding networks with the *syn*-aldols. A mechanism like that depicted in Fig. 8 offers a full account for all the experimental observations regarding this proline/guanidinium salt **5b** system.

### 3.2 Cross-Aldol Reaction Between Chloroacetone and Aromatic Aldehydes

The stereoselective construction of carbon stereocenters bearing halogenated substituents is a challenging synthetic task, particularly if organocatalytic methodologies are to be employed [95]. For instance, a collection of organocatalysts **14** [96], **15** [97], **16** [98, 99], and **17** [100] had been surveyed on the direct aldol reaction of chloroacetone and aromatic aldehydes, to render chlorohydrins **18** and **19** (Scheme 4). Catalysts **14–17** have to be prepared by cumbersome sequences implying various synthetic operations and manipulations. Moreover, structures such as **15** or **16** are based on expensive chiral building blocks such as (*S*)-NOBIM ((*S*)-2-amino-2'-hydroxy-1,1'-binaphthyl) and (*S*)-BINAM ((*S*)-2,2'-diamino-1,1'-binaphthyl), respectively.

2-Chloro-3-hydroxy ketone **19**, with two contiguous stereocenters, one of them halogenated, has attracted more interest than their regioisomeric analogue **18**. However, the available methodologies which employ organocatalysts **14–17** only achieved modest regioselectivities **18:19** and diastereoselectivities (ratio *anti/syn* for compounds **19**), except in the case of a few selected examples. Looking for an alternative solution to this problem, we decided to study our proline/guanidinium salt system on the reaction sketched in Scheme 4 [101]. Compared to the chemical modification of proline or the de novo synthesis of other organocatalysts, an

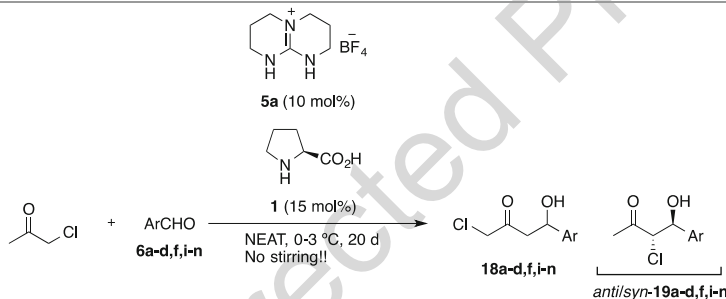


**Scheme 4** Organocatalysts **14–17** previously employed for the direct aldol reaction between chloroacetone and aromatic aldehydes to afford  $\alpha$ -chloro- $\beta$ -hydroxy ketones (chlorohydrins) **18** and **19**

377 approach employing hydrogen-bond-donating cocatalysts (guanidinium salts) to  
 378 interact with proline and form a supramolecular catalyst complex is very attractive.  
 379 Satisfyingly, under optimal reaction conditions, when a suspension of (*S*)-proline  
 380 (**1**, 15 mol%), tetrafluoroborate guanidinium salt **5a** (10 mol%), and  
 381 4-nitrobenzaldehyde **6b** in chloroacetone (again, it was opted to work in the  
 382 absence of organic solvent) was left to stand inside a standard laboratory fridge  
 383 (0–3°C) for 20 days without any sort of stirring or mechanical agitation, a mixture  
 384 of chlorohydrins **18b** + **19b** was produced with good regio- (96:4, **19b**:**18b**),  
 385 diastereo- (*anti*:*syn*-**19b** 91:9), and enantioselectivity (98% *ee* for *anti*-**19b**)  
 386 (Table 7, entry 1). Attempts to reduce the reaction time resulted in a severe decrease  
 387 in selectivity for the reaction product **19b**.

388 A representative collection of aromatic aldehydes was reacted under analogous  
 389 conditions (Table 7, entries 2–11). With no exception, all reactions proceeded  
 390 smoothly with good conversion and high regio-, diastereo-, and enantioselectivity

t7.1 **Table 7** (*S*)-Proline/guanidinium salt **5a** co-catalyzed synthesis of chlorohydrins **19a–d,f,i–n**



t7.2

t7.3	Entry	ArCHO	Conv. (%) <sup>a</sup>	Regioselectivity <sup>b</sup>	dr <sup>c</sup>	<i>ee</i> (%) <sup>d</sup>
t7.4	1	<b>6b</b> 4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	99	96:4	91:9	98
t7.5	2	<b>6i</b> 3-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	97	96:4	92:8	97
t7.6	3	<b>6j</b> 2-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	98	>99:1	93:7	97
t7.7	4	<b>6c</b> 4-CO <sub>2</sub> Me-C <sub>6</sub> H <sub>4</sub>	96	99:1	91:9	97
t7.8	5	<b>6k</b> 4-CN-C <sub>6</sub> H <sub>4</sub>	>99	96:4	90:10	98
t7.9	6 <sup>c</sup>	<b>6l</b> 3-F-C <sub>6</sub> H <sub>4</sub>	95	92:8	94:6	94
t7.10	7	<b>6a</b> 4-Cl-C <sub>6</sub> H <sub>4</sub>	79	95:5	94:6	95
t7.11	8	<b>6f</b> 3-Cl-C <sub>6</sub> H <sub>4</sub>	98	96:4	93:7	96
t7.12	9	<b>6d</b> 4-Br-C <sub>6</sub> H <sub>4</sub>	77	97:3	93:7	93
t7.13	10	<b>6m</b> 2-Br-C <sub>6</sub> H <sub>4</sub>	90	>99:1	90:10	92
t7.14	11	<b>6n</b> C <sub>6</sub> H <sub>5</sub>	99	98:2	93:7	94

t7.15 Reaction conditions: chloroacetone (10 equiv.), aldehyde (1 equiv.), (*S*)-proline (**1**, 15 mol%), guanidinium salt **5a** (10 mol%), and no solvent. The reaction mixture was left to stand inside a fridge (0–3°C) for 20 days without stirring

<sup>a</sup>Conversion of aldehyde **6** (limiting reagent) into chlorohydrins **18** + **19**

<sup>b</sup>Ratio **19** (*anti*- + *syn*):**18**

<sup>c</sup>Diastereoisomeric ratio *anti*- to *syn*-**19**

<sup>d</sup>Enantiomeric excess of compounds *anti*-**19**

<sup>e</sup>The reaction was stopped after 14 days

**Table 8** Proline-catalyzed aldol reaction between chloroacetone and aromatic aldehydes, in the absence of guanidinium salt **5a** 18.1

Entry	ArCHO	Conv. (%) <sup>a</sup>	Regioselectivity <sup>b</sup>	dr <sup>c</sup>	ee (%) <sup>d</sup>
1	<b>6c</b> 4-CO <sub>2</sub> Me-C <sub>6</sub> H <sub>4</sub>	98	93:7	85:15	95
2	<b>6k</b> 4-CN-C <sub>6</sub> H <sub>4</sub>	99	85:15	83:17	96
3	<b>6n</b> C <sub>6</sub> H <sub>5</sub>	99	94:6	84:16	92
4	<b>6i</b> 3-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	99	80:20	78:22	97

Reaction conditions: chloroacetone (10 equiv.), aldehyde (1 equiv.), (*S*)-proline (**1**, 15 mol%), and no solvent. The reaction mixture was left to stand inside a fridge (0–3°C) for 20 days without stirring 18.8

<sup>a</sup>Conversion of aldehyde **6** (limiting reagent) into chlorohydrins **18** + **19**

<sup>b</sup>Ratio **19** (*anti*- + *syn*-):**18**

<sup>c</sup>Diastereoisomeric ratio *anti*- to *syn*-**19**

<sup>d</sup>Enantiomeric excess of compounds *anti*-**19**

for the desired products **19**, independent of the nature of the substituents of the 391 aldehyde. This observation highlights the robustness and reproducibility of this 392 organocatalytic methodology. Moreover, blank experiments performed without 393 guanidinium salt **5a** showed significantly poorer regio- and diastereoisomeric ratios 394 for chlorohydrins **19**, as well as poorer enantiomeric excesses, hence corroborating 395 the virtues of TBD-derived guanidinium salts as additives for proline in the aldol 396 reaction (Table 8). 397

Product **19**, which proved to be unstable during chromatography and when 398 stored for prolonged times, was readily transformed into the corresponding chiral 399  $\alpha,\beta$ -epoxy ketones *trans*-**20** according to a procedure described in the literature 400 [98]. Interestingly, conditions were found that permitted preparing such epoxides in 401 a one-pot procedure straight from chloroacetone and aromatic aldehydes (Table 9). 402

### 3.3 Cross-Aldol Reaction Between $\alpha$ -Azidoacetone and Aromatic Aldehydes 403 404

Densely functionalized  $\alpha$ -azido- $\beta$ -hydroxy ketone **21** is substances of considerable 405 synthetic value which can be readily transformed into a broad variety of useful 406 building blocks [102]. Access to compound **21** can be gained by a base-promoted 407 aldol reaction of an  $\alpha$ -azidoketone **22** and a non-enolizable aldehyde **23** (Scheme 5). 408 There are many reports in the literature describing this type of approach [103–106], 409 rendering the adduct **21** in optimum chemical yield, but featuring undesired 410

**Table 9** One-pot synthesis of representative *trans*- $\alpha,\beta$ -epoxy ketones **20j,l** from chloroacetone and aromatic aldehydes

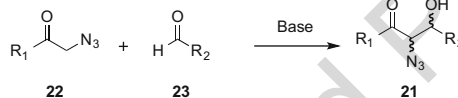
i) (*S*)-proline (15 mol%), **5a** (10 mol%)  
 Neat, 0–3 °C, 20 d, no stirring.  
 ii) NEt<sub>3</sub> (1.4 equiv.), CH<sub>2</sub>Cl<sub>2</sub>  
 20 °C, 48 h.

Entry	ArCHO	Product	Yield (%) <sup>a</sup>	ee (%)
1	<b>6j</b> 2-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	<b>20j</b>	55	85
2	<b>6l</b> 3-F-C <sub>6</sub> H <sub>4</sub>	<b>20l</b>	33	79

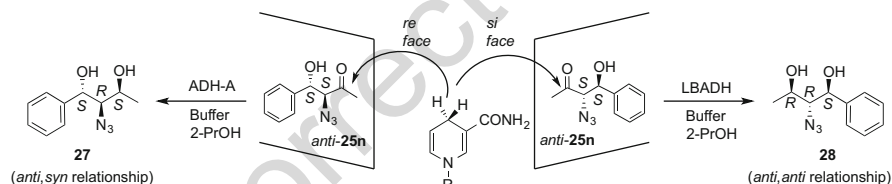
t9.1  
t9.2  
t9.3  
t9.4  
t9.5

Reaction conditions: chloroacetone (10 equiv.), aldehyde (1 equiv.), (*S*)-proline (**1**, 15 mol%), guanidinium salt **5a** (10 mol%), and no solvent. The reaction mixture was left to stand inside a fridge (0–3 °C) for 20 days without stirring, then allowed to warm to r.t., and stirred for 48 h with NEt<sub>3</sub> (1.4 equiv.) and CH<sub>2</sub>Cl<sub>2</sub> (*c* = 0.4 M)

<sup>a</sup>Isolated yield of analytically pure product



**Scheme 5** Classical synthetic scheme for the preparation of  $\alpha$ -azido- $\beta$ -hydroxy ketones **21**



**Scheme 6** Stereodivergent reduction of *anti*-**25n** with ADH-A ((*S*)-selective enzyme) affording diol **27** and LBADH ((*R*)-selective enzyme) giving access to diol **28**. In the middle, the structure of the nicotinamide cofactor present in both ADHs is drawn

411 mixtures of diastereoisomers. However, there were no previous works describing  
412 the synthesis of synthon **21** in a diastereo- or enantioselective manner.

413 Considering the efficiency of the proline/guanidinium salt organocatalytic sys-  
414 tem, it was investigated in reactions like that illustrated in Scheme **6**  
415 [107]. Azidoacetone (**24**, 1-azidopropan-2-one) was readily prepared from  
416 chloroacetone and sodium azide. In correspondence with our previous work, it  
417 was decided to evade the use of any organic solvent apart from a moderate excess of  
418 the ketone **24** acting as both reagent and reaction medium. The reaction was  
419 carefully optimized by modifying the stoichiometry of the reagents, temperature,  
420 and reaction time. Various TBD-derived guanidinium salt **5** were also examined.  
421 Eventually, when a suspension of (*S*)-proline (**1**, 10 mol%), tetraphenylborate

**Table 10** (*S*)-Proline/guanidinium salt **5b** co-catalyzed synthesis of  $\alpha$ -azido- $\beta$ -hydroxy ketones **25a–d,g,i,j,n,o** t10.1

**25a-d,g,i,j,n,o.**

**5b** (15 mol%)

(1, 10 mol%)

NEAT, -10 °C, 120 h

**25a-c,g,i,j,n,o**      **26a-c,g,i,j,n,o**

Entry	ArCHO	Conv. (%) <sup>a</sup>	dr <sup>b</sup>	ee (%) <sup>c</sup>
1	<b>6b</b> 4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	>99 (90)	90:10	94
2	<b>6i</b> 3-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	>99 (91)	90:10	95
3	<b>6j</b> 2-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	99 (88)	90:10	97
4	<b>6n</b> C <sub>6</sub> H <sub>5</sub>	>99 (84)	90:10	95
5	<b>6a</b> 4-Cl-C <sub>6</sub> H <sub>4</sub>	98 (85)	90:10	94
6	<b>6d</b> 4-Br-C <sub>6</sub> H <sub>4</sub>	98 (84)	89:11	95
7	<b>6c</b> 4-CO <sub>2</sub> Me-C <sub>6</sub> H <sub>4</sub>	99 (83)	88:12	95
8	<b>6g</b> 2-furyl	>99 (78)	85:15	93
9	<b>6o</b> 2-pyridyl	>99 (80)	87:13	88
10 <sup>d</sup>	<b>6b</b> 4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	12	82:18	n.d.

Reaction conditions: azidoacetone **24** (10 equiv.), aldehyde (1 equiv.), (*S*)-proline (**1**, 10 mol%), guanidinium salt **5b** (15 mol%), and no solvent (neat). The reaction mixtures were stirred for 120 h at -10°C t10.14

<sup>a</sup>Conversion of aldehyde **6** (limiting reagent) into  $\alpha$ -azido- $\beta$ -hydroxy ketone **25** (*anti*- + *syn*-). Chemical yield of analytically pure products *anti*-**25** is given in brackets

<sup>b</sup>Diastereoisomeric ratio *anti*- to *syn*-**25**

<sup>c</sup>Enantiomeric excess of analytically pure compounds *anti*-**25**

<sup>d</sup>Reaction carried out without the addition of guanidinium salt **5b**. The enantiomeric excess of the product **5b** was not determined as a consequence of the low conversion

guanidinium salt **5b** (15 mol%), and 4-nitrobenzaldehyde **6b** was stirred in azidoacetone **24** (10 equiv. relative to the aldehyde) for 120 h at -10°C, the  $\alpha$ -azido- $\beta$ -hydroxy ketone **25b** was produced in quantitative conversion with good diastereo- (*anti*-**25b**:*syn*-**25b**, 90:10) and enantioselectivity (97% *ee* for *anti*-**25b**, Table 10, entry 1). The corresponding regioisomer **26** was not detected.

A set of aldehydes **6a,c,d,g,i,j,n,o**, decorated with different functional groups and substitution patterns, were reacted with azidoacetone under the best set of reaction conditions (Table 10, entries 2–7). All of these reactions proceeded with good conversion and high *anti*-diastereoselectivity and enantioselectivity (around 97% *ee* in all cases), independent of the nature of the aldehyde employed. Also, heteroaromatic aldehydes such as 2-furylcarboxaldehyde **6g** and 2-pyridylcarboxaldehyde **6o** proved to be appropriate substrates for this reaction, the corresponding products **25g** and **25o** displaying good selectivity figures

435 (Table 10, entries 8 and 9). The tolerance of the reaction for heteroaromatic  
436 aldehydes, challenging substrates in aldol-type C–C bond-forming reactions, con-  
437 firms the reproducibility and robustness of this transformation. All adducts *anti*-  
438 **25a–d,i,j,n,o** could be easily isolated by standard chromatographic techniques,  
439 affording analytically pure products in high yield and high *ee*. The presence of  
440 the corresponding regioisomers **26a–d,g,i,j,n,o** was not observed in any of these  
441 transformations. A blank experiment performed without additive **5b** (Table 10,  
442 entry 10) resulted in a significantly lower conversion as well as poorer diastereo-  
443 meric ratio for the reaction product. Other reactions performed without additive **5b**  
444 were rather messy, rendering complex mixtures of unidentifiable products from  
445 which it was not possible to determine conversion values to aldol **25**. This demon-  
446 strates the positive effect of the guanidinium salt on the reaction course, which does  
447 not only improve the performance of the proline catalyst but even enables a  
448 transformation that is not favorable with the exclusive use of the amino acid itself.  
449 Alternatively, the sole presence of guanidinium salt **5b** was insufficient to catalyze  
450 the aldol reaction between aldehyde **6** and azidoacetone **24** to any extent.

451 Product **25** had not been described previously, and determining their absolute  
452 spatial configuration was a difficult exercise. After several unfruitful attempts, this  
453 was finally accomplished by the bioreduction of the ketone moiety of diastereopure  
454  $\alpha$ -azido- $\beta$ -hydroxy ketone **25n**, used as a representative model, employing two  
455 stereocomplementary alcohol dehydrogenase enzymes (ADHs), one from  
456 *Rhodococcus ruber* (ADH-A) [108] and another from *Lactobacillus brevis*  
457 (LBADH) [109]. These enzymes have shown excellent stereoselectivities toward  
458 the reduction of  $\alpha$ -azido ketones [110] with opposite stereopreference: ADH-A  
459 affords the corresponding (*S*)-alcohols, while LBADH gives the corresponding (*R*)-  
460 configured antipodes. So, when  $\alpha$ -azido- $\beta$ -hydroxy ketone **25n** was treated with  
461 either ADH-A or LBADH enzymes, the corresponding 2-azido-1,3-diol **27** or **28**  
462 was afforded, respectively (Scheme 6). Since the absolute configuration of the new  
463 alcohol function formed was fully predictable as a consequence of the enzyme's  
464 inherent selectivity, measuring the coupling constants between the protons at  
465 positions C2 ( $CH-N_3$ ) and C3 ( $CH_3CH-OH$ ) in diols **27** ( $^3J_{syn}$ ) and **28** ( $^3J_{anti}$ )  
466 allowed the unambiguous assignation of the absolute stereochemical configuration  
467 of the preceding aldol adduct as *anti*-(3*S*,4*S*)-**25n**. The rest of the  
468  $\alpha$ -azido- $\beta$ -hydroxy methyl ketones rendered from the organocatalyzed process  
469 were characterized by analogy.

## 470 4 Conclusions and Outlook

471 In summary, the assembly of supramolecular catalysts constructed from proline and  
472 H-bond-donating molecules has been revealed as an interesting alternative to the  
473 chemical modification of the amino acid unit. Typically, this simple and economic  
474 approach has made use of alcohols, ureas, thioureas, and other small organic  
475 molecules. Recently, conformationally restricted guanidinium salts derived from

TBD have emerged as outstanding additives for proline in organocatalyzed aldol reactions. Thus, a straightforward, green, efficient, and highly selective protocol has been developed for the direct aldol reaction between aromatic aldehydes and various ketones (cyclohexanone, cyclopentanone, or acetone) making use of a cooperative proline/guanidinium salt catalytic system. These processes operate under rather mild reaction conditions: without organic solvent, in closed-cap tubes standing inside a standard laboratory fridge, and without agitation or mechanical stirring. The participation of the guanidinium salt, forming a 1:1 supramolecular complex [guanidinium cation·proline] in the transition state, has been demonstrated to greatly enhance the reactivity and selectivity of the amino acid itself in a classical transformation such as the aldol reaction.

Besides, it has been put forward how the choice of the anion accompanying the guanidinium core of the TBD-derived salts used as cocatalysts for proline can give rise to stereodivergent pathways in the cross-aldol reaction, allowing the preparation of either *anti*- or *syn*-aldols from cyclohexanone and aromatic aldehydes. The origin of the *syn*-diastereoselectivity has been studied mechanistically and was shown to originate from an unusual equilibrium process coupled to the enamine-based catalytic cycle standard for proline. The outcome of the *syn*-selectivity reactions could not be predicted or foreseen considering the nature of the organocatalyst used (proline) and the substrates involved. It unfolds from the consideration of the whole complex network resulting from the simultaneous coexistence of *anti*-aldols, *syn*-aldols, (*S*)-proline, guanidinium and guanidine species, aromatic aldehydes, cyclohexanone, and enamines, all of which featured in the reaction media to some extent, as well as their interactions (including supramolecular contacts) and competition, their different solubility, solvation, etc. In the opinion of these authors, the study of collections/systems of compounds (i.e., catalytic systems) being considered as a whole, i.e., a System Chemistry approximation (for general comprehensive reviews on System Chemistry, see [111–115]), can lead to interesting discoveries in areas such as organocatalysis.

Relevantly, the addition of guanidinium salts does not only improve the classical aldol reaction but can also break the boundaries of proline as a catalyst. By these means,  $\alpha$ -chloro- $\beta$ -hydroxy ketones have been prepared with high enantioselectivity, employing for the first time catalytic amounts of (*S*)-proline, aided by the participation of a TBD-derived tetrafluoroborate guanidinium salt. Similarly, a cooperative proline/tetraphenylborate guanidinium salt has given rise to the pioneering synthesis of  $\alpha$ -azido- $\beta$ -hydroxy ketones. These families of compounds could be readily transformed into synthetically useful chiral  $\alpha,\beta$ -epoxy ketones or different isomers of 2-azido-1,3-diols.

The construction and study of supramolecular catalytic systems involving guanidinium salts are yet in its infancy. So far, to our knowledge, only five reports have appeared in the literature about this topic [74, 88, 89, 101, 107]. Granted the success of the TBD-derived guanidinium salts, we anticipate other species of the like will be capable of displaying similar or better properties as additives for proline or other natural amino acids. The possibility of replacing the anion of these salts, possibly leading to different reactivities, is particularly appealing. Therefore, in



521 principle, carefully designed systems could be engineered to catalyze novel trans-  
522 formations, even other than aldol-type reactions. Surely, the years to come will  
523 show further examples of the potential of such systems.

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# Author Queries

Chapter No.: 158

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Query Refs.	Details Required	Author's response
AU1	First author "Carmen Concellón" has been set as the corresponding author. Please check and advise if correct.	
AU2	As reference Wang et al. 2010 (references 13e and 16b in the original MS) is repeated, so duplicate one has been deleted. Please check.	

Uncorrected Proof