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## Where Association Ends.

### A Review of Associative Learning in Invertebrates, Plants and Protista, and a Reflection on its limits.

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**Abstract**

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Since the beginning of the 21st century, the Minimal Cognition approach has emerged

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vigorously, focusing on the study of the adaptive behaviour of the simplest organisms,

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including bacteria, assuming that they are sentient and information-processing entities.

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Although Minimal Cognition has occasionally used Pavlovian methods to try to demonstrate

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Associative Learning, neither the Psychology of Learning nor the Comparative Psychology

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traditions are prominent in the movement. However, the Psychology of Learning approach,

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with its highly sophisticated experimental designs, has done a great deal of research on

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Associative Learning in animals and carried out several studies on plants and unicellular

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organisms. The present work offers a comprehensive review of these experimental results,

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along invertebrates, plants and unicellular (paramecia and the amoeba *Physarum*

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*policephalum*) showing that, while there are increasing instances of Associative Learning in

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many invertebrate *phyla* (and also many *phyla* with no data) there is no adequate evidence of

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it in unicellular protists (despite more than a century of experiments with paramecia and

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amoeba) or in plants (despite recent results that so claim). We then consider the alternative

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offered by Minimal Cognition and suggest some complementary ideas, from a Comparative

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Developmental Psychology approach, which we call "Minimal Development".

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*Keywords:* Pavlovian Conditioning, Comparative Psychology, Minimal Cognition,

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Development, Invertebrate Learning, Plant learning, Unicellular Learning.

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46 **Where Association Ends. A Review of Associative Learning in Invertebrates, Plants and**  
47 **Protista, and a Reflection on its limits.**

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49 Association between two events can be considered a basic way to acquire knowledge  
50 (or cognition). Consequently, studying association in invertebrates and other kingdoms  
51 (protists, plants) can provide useful information about the origin and evolution of cognition,  
52 allowing us know which organisms have shown evidence of learning by association. This  
53 review suggests that there is clear evidence of a wide array of associative phenomena in  
54 invertebrates, but this evidence is concentrated in a few species, since there is no conclusive  
55 evidence showing simple association in protists and plants. As a conclusion, it is argued that  
56 association seems to show some limitations as a basic form of describing any behavioural  
57 change due to experience (learning) in simple organisms and that psychology of learning can  
58 improve its scope by looking into the tradition of Comparative Psychology, which offers a  
59 framework based on phylogenetic and ontogenetic Developmental Psychology.

60 The aim of Classical Comparative Psychology was the study of intelligence in the  
61 animal kingdom in all the levels of complexity, that is to say, the natural foundation of  
62 knowledge, its evolution and its connection to human knowledge (see Figure 1). This project  
63 was made possible thanks to Darwin's belief in the gradual evolution of mental faculties.  
64 Simple to say, hard to do.

65 Comparative Psychology faced several difficulties in this respect: it was initially  
66 based on very anecdotal psychology (Boakes, 1984), the variety of species employed as  
67 experimental subjects was very limited (Beach, 1950; Dewsbury, 2010; Shettleworth, 2009;  
68 Zucker, 2018), and, most importantly, the non-reductionist approach of Comparative  
69 Psychology to intelligence fitted neither Neo-Darwinism nor Behaviourism (Fernández and  
70 Sánchez, 1990; Fernández et al., 1994). Despite these shortcomings, Comparative

71 Psychology as a discipline did not disappear (Dewsbury, 1984; Burghardt, 2009; Gottlieb,  
72 1998) and some central aspects of its contributions remain valid today, providing a valuable  
73 criticism to the mechanistic concept of instinct (Schneirla, 1957; Kuo, 1924; 1928; Lehrman,  
74 1953). Also, the role of behaviour in evolution, a matter developed by Baldwin in his *Organic*  
75 *Selection Theory* (Baldwin, 1896; 1976) was never utterly discarded, in part due to its  
76 influence on Piaget's theory of Phenocopy (Piaget, 1976) and also due to its widespread re-  
77 emergence in line with the crisis of Neo-Darwinism (Sánchez and Loredó, 2007).

78         The experimental research on learning or intelligence of the "lower" or "simple"  
79 organisms<sup>1</sup>, apart from Jennings' early work (Jennings, 1904; 1906), soon adopted  
80 Associative Learning methods, often Pavlovian Conditioning procedures. Although it was  
81 never a priority field of interest, the studies on Associative Learning and Pavlovian  
82 Conditioning in invertebrates and protists accumulated throughout the 20th century.  
83 Associative Learning became the main tool to study animal learning. It also became a  
84 complex domain comprising both the methods (a complex set of experimental procedures)  
85 and the theories developed in the last 50 years to explain the phenomenon itself (Rescorla and  
86 Wagner, 1972 and its ulterior developments).

87         Concerning the theoretical side, experimental research in learning has shown that  
88 nothing is simple in association (Dickinson, 2012; Heyes, 2012) because there are many  
89 complex cognitive processes involved in Pavlovian Conditioning. Some theories explain  
90 association as a result of differential stimulus processing and attentional processes (Rescorla

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<sup>1</sup> Several names have been given to non-animal organisms (bacteria, protists, plants, and fungi). The term "inferior" was used by comparative psychologists of the late s. XIX and early s. XX (Jennings, 1906; Loeb, 1918) but is not accepted by the scientific community nowadays. The use of the term "previous" organisms has sometimes been suggested, but it is not correct, since it would only be valid for bacteria (3,500 Myr) and protists (2,000 Myr), given that plants (470 Myr) and fungi (450–500 Myr) appeared later than animals (750 Myr) according to Margulis and Chapman (2009). The terms "simple" or "lower" must be understood in relation to the complexity of animals and not in an absolute sense, and are sometimes used accordingly in this text, in the understanding that there is nothing simple in nature.

91 and Wagner, 1972; Mackintosh, 1975; Pearce and Hall; 1980), other theories have  
92 emphasised the role of memory in Associative Learning (Bouton and Moody, 2004), the  
93 processing of temporal information (Kirkpatrick, 2002; Gallistel and Gibbon, 2000; Balsam  
94 and Gallistel, 2009) or the comparison between learning and performance (Denniston et al.,  
95 2001). Finally, others have set out that association can be understood as decision-making  
96 processes (Schmajuk, 1987; Loy et al., 2009). These few examples illustrate the complexity  
97 of Associative Learning.

98         Concerning the methodological side, Pavlovian Conditioning comprises a set of very  
99 precise procedures, preparations, and practical prescriptions to study different learning  
100 phenomena (for instance, First-order conditioning, Second-order conditioning, Latent  
101 inhibition, Overshadowing, or Blocking) in many different species, mainly vertebrates, but  
102 also invertebrates and even plants or unicellular. The verification of some phenomena and not  
103 others in some organisms and not in others is also a very useful tool for the comparative  
104 study of the evolution of cognition.

105         Bearing all this in mind, and considering the central role that Associative Learning  
106 has recently been given to explain the origin of consciousness (Ginsburg and Jablonka,  
107 2019), the central question is how far Associative Learning goes, not only in the various  
108 invertebrate *phyla* but also in other multicellular kingdoms (plants) and in Protist. In the  
109 following lines, we offer an overview of evidence for Associative Learning in invertebrates,  
110 and review the polemic evidence of Associative Learning in plants and unicellular (focusing  
111 on *Paramecium* and *Physarum polycephalum*). We conclude with some thoughts on the  
112 scope of Associative Learning and the convenience of completing this prolific tradition with  
113 the ideas from the Comparative Psychology and Developmental-Comparative traditions.

114

115 **Associative Learning in Invertebrates**

116 In recent years, the interest in learning processes in invertebrate species has  
117 dramatically increased (Figures 2 and 3), and some authors have claimed that invertebrate  
118 learning reveals some theoretical limitations in the current accounts of learning processes  
119 (Abramson and Wells, 2018). Even from a neural perspective of Associative Learning  
120 (Hawkins and Byrne, 2015), it can be assumed that learning in invertebrates is relevant. The  
121 research on *Aplysia californica* played an important role in clarifying the neural and  
122 biochemical bases of learning and memory (Abrams and Kandel, 1988). Most invertebrate  
123 taxa have a bilateral body plan and a bilateral central nervous system and most animals with  
124 confirmed Associative Learning are bilaterian and have brains (Ginsburg and Jablonka,  
125 2019). Therefore, we would expect to find some form of learning throughout invertebrates.  
126 Nevertheless, the information available on the *phyla* in which Associative Learning  
127 (Classical Conditioning) has been demonstrated is scarce and dispersed (see Table 1).

128 According to Perry et al. (2013), habituation has been demonstrated in all *phyla*, and  
129 Associative Learning in all as well excepting chordates, maxillipods, myriapods and rotifers.  
130 However, this conclusion is not easy to interpret, given that categories used by Perry et al.  
131 (2013) belong to different taxonomic levels (including *subphyla* and not including some other  
132 *phyla*). If we compare them with the categories used by Ginsburg and Jablonka (2019, p.  
133 333), in Perry et al.'s review there is a lack of 25 invertebrate *phyla*. Maxillipods and  
134 myriapods are *subphyla* of *Arthropoda*, a *phylum* where Associative Learning is widely  
135 demonstrated, especially in insects. Chordata includes, of course, all vertebrates. Where there  
136 is no evidence of Associative Learning is in the *subphyla* urocordata and cephalocordata.  
137 Ginsburg and Jablonka (2019) employed a classification of 33 *phyla* (including the vertebrate  
138 *phylum* which we will not be dealing with here). Both reviews agree on the *phyla* in which  
139 there is explicit evidence on Associative Learning (platyhelminthes, mollusc, annelida,  
140 nematoda, arthropoda and echinodermata). As regards tardigrades, it has been recently

141 demonstrated (Zhou et al., 2019) that *Dactylobiotus dispar* showed curling behaviour of its  
142 bodies to blue light after pairing blue light with electrical shock, compared to numerous  
143 control conditions (unconditioned stimulus only, conditioned stimulus only, backward  
144 pairing, random pairing).

145         Considering the bilaterian invertebrate *phyla* (all of them except cnidaria, ctenophora,  
146 placozoa and porifera), the available evidence shows that Associative Learning has not been  
147 clearly demonstrated in slightly more than half of them (but we should not dismiss the  
148 important developments that are currently taking place in taxonomy regarding the number of  
149 *phyla*). In those in which Associative Learning has been demonstrated, the evidence is  
150 increasing (platyhelminthes –flatworms–, mollusc, annelida, arthropoda and nematoda). In  
151 non-bilaterian or basal species (Holland, 2011) the available evidence is scarce, not recent,  
152 and has never been replicated (cnidaria). It would be therefore useful to briefly review the  
153 literature to detect current advances, deadlocks and gaps.

154         The basal *phyla* include two groups without nervous systems: porifera (sponges) and  
155 placozoa, in which there is no evidence of learning at all. Two other basal *phyla* (ctenophora  
156 and cnidaria) have diffused nervous system networks called neural nets without a central  
157 brain. In ctenophora, no literature was found on learning (Cheng, 2021). Ginsburg and  
158 Jablonka, (2019, Table 7.1, p. 332) classified these three *phyla* (porifera, placozoa and  
159 ctenophora) as animals with “not known” nervous system and conclude that there is no  
160 feasible evidence of Associative Learning in them. In cnidaria the question is, however, open  
161 to debate (see Cheng, 2021, for a recent review). Haralson et al. (1975), using light as CS and  
162 shock as US, demonstrated conditioning -as distinguished from sensitization and  
163 pseudoconditioning- in anemones. Procedural controls included substitution of light alone,  
164 shock alone, and random light and shock in place of paired light-shock trials. Responses  
165 measured were electrical output and folding of the oral disc. The conditioned response was

166 distinguished from the unconditioned response to light and the unconditioned response to  
167 shock in terms of response latency of both electrical and behavioural measures. Nevertheless,  
168 Haralson et al.'s experiment, in spite of clearly providing evidence of conditioning in these  
169 organisms, has never been replicated. Ginsburg and Jablonka (2019) assert that Torley (2007)  
170 conducted a literature search and interviews with specialists and that he was unable to find  
171 any other evidence of conditioning in cnidarians. However, a recent systematic review by  
172 Cheng (2021) retrieved two more studies providing evidence on conditioning: Ross (1965)  
173 and Hodgson (1981). Ross's experiments (1965) with sea anemones (*M. senile*) examined  
174 their reflex of mouth opening in response to a drop of squid extract placed in its oral disk (US)  
175 presented after electrical stimulation to the base (CS). This treatment evoked mouth opening  
176 during presentations of CS alone, but the various controls required to establish Classical  
177 Conditioning as interpretation were not carried out. Similarly, Hodgson (1981) presented a  
178 wide variety of conditioning phenomena with both aversive shock and appetitive food in *C.*  
179 *gigantean*. According to Cheng (2021), these observations are far from being full  
180 demonstrations of conditioning due to the absence of a formal presentation of the data and  
181 relevant control conditions.

182 In bilaterian invertebrate *phyla* the evidence is uneven, with some *phyla* about which  
183 nothing has been published (see Table 1), others in which the evidence is inconclusive  
184 (rotifera, echinodermata) and others in which the amount, variety, complexity, and  
185 methodological requirements have been satisfied (platyhelminthes, mollusca, annelida,  
186 nematoda, arthropoda). In rotifers, habituation has been reported (Applewhite, 1968) but  
187 nothing more sophisticated has been shown in these animals (Ginsburg and Jablonka, 2019,  
188 p. 333). These authors, in their systematic review, show the phylogenetic relationships of  
189 major animal *phyla*, their braininess, and their ability to learn associatively (Ginsburg and  
190 Jablonka, 2019, Figure 7.13, p. 330). They notice that there are many *phyla* in which there is



191 no evidence of Associative Learning, mainly because research about learning is extremely  
192 limited. They conclude that a brain seems to be a necessary although no sufficient condition  
193 for Associative Learning (Ginsburg and Jablonka, 2019).

194 Other types of learning, according to Perry et al. (2013), such as Reversal Learning,  
195 Latent Inhibition, Peak Shift, Operant Conditioning, Contextual Learning, or Concept  
196 Learning, are more limited to insects, crustaceans and molluscs.

197 In another review, Álvarez et al. (2017) employed the designs on cue interactions as a  
198 criterion to analyse the experimental evidence on invertebrate learning. According to these  
199 authors, there is abundant evidence that non-vertebrate animals learn associatively. For  
200 example, in insects (Giurfa, 2015; Hollis and Guillette, 2011) there are about 50 different  
201 species that have shown Associative Learning abilities. This indicates that procedures have  
202 been developed and adapted suitably for the study of Associative Learning in many different  
203 species, although a systematic analysis of Pavlovian Conditioning has not been carried out.  
204 Just in arthropods, molluscs and plathelminths, there is evidence of basic Pavlovian  
205 phenomena such as Conditioned Inhibition, Extinction, Latent Inhibition, Blocking or  
206 Overshadowing (for a review see Álvarez et al., 2017). Among these *phyla*, two species have  
207 monopolized most of the attention: the bee and the snail, in which nearly all associative  
208 processes have already been demonstrated (including contextual effects).

209 On the topic of context learning in insects, honeybees routinely learn to do different  
210 navigation or discrimination tasks in different contexts. They can learn to search in  
211 diametrically opposite locations to a landmark placed in two different contexts (Cheng,  
212 2005), or make opposite choices for access at a feeding location and at the nest (Colborn et  
213 al. 1999). Collett et al. (2006) have pointed out that ants and bees have a rich store of  
214 navigational memories that are used in adequate mechanisms to be retrieved reliably. These  
215 insects seem to reduce possible retrieval errors by linking together the different parts of a

216 memory and by associating a memory with its own spatial and temporal context. Cheng  
217 (2006) even called context-triggered servomechanisms the basis for arthropod navigation.  
218 This line of literature provides strong support for context learning in insects.

219         It is also important to note that there seems to be an extended bias against the  
220 possibility of learning abilities in invertebrates. For instance, Robert Lubow, an international  
221 authority in Latent Inhibition and an expert in attentional processes in Pavlovian  
222 Conditioning, discusses in a review about the phylogeny of this associative effect (Lubow,  
223 2010) the possibility of that kind of learning in invertebrates. He refuses as evidence any  
224 result in which there is no record of CR during the pre-exposure phase. Based on this  
225 argument, he concludes that:

226         The relative simplicity of the invertebrate nervous system makes that notion somewhat  
227 fanciful, perhaps residing more in the brains of the experimenters than in the relatively  
228 simple organisms that they study. The sensory world of the invertebrate may be so  
229 restricted that there is no meaningful distinction between stimulus and context. (Lubow,  
230 2010, p. 217)

231         Many misconceptions converge here. The “nervous system of invertebrates” is a very  
232 broad category that includes many different types of nervous systems that can be very  
233 complex, showing, for instance, brain and behavioural lateralization (Frasnelli, 2013). The  
234 “sensorial world of invertebrates” (as a whole) is much more complex than the human  
235 sensorial world both in types of senses and in the stimulation ranges available. To give just  
236 one example, humans cannot see ultraviolet light, but many arthropods can. Marshall and  
237 Oberwinkler (1999; see also Marshall, et al. 2007, for a review) have found that some species  
238 of stomatopod crustaceans (mantis shrimp) have 12 different photoreceptor types, each  
239 sampling a narrow set of wavelengths ranging from deep ultraviolet to far red (300 to 720

240 nanometers) making the impressive colour-vision system in these stomatopod crustaceans  
241 unique.

242           Although it is true that contextual effects are less common in invertebrates, some  
243 evidence was already available in 2010, when Lubow published his review. In addition to the  
244 works already mentioned by Cheng and cols. (Cheng, 2005; 2006; Colborn et al., 1999;  
245 Collett et al., 2006), McComb et al. (2002), for instance, had shown contextual specificity of  
246 extinction (renewal) in *Lymnaea*. In recent years, some contextual effects in planarians and  
247 snails have been demonstrated. Prados et al. (2013) have shown cue competition effects in  
248 planarians, which involve similar demands than contextual effects. These authors showed that  
249 planarians were susceptible to basic conditioning in that they readily developed a conditioned  
250 response to a change in ambient luminance when it was consistently paired with an electric  
251 shock. With this procedure they showed that if the change in luminance was presented in a  
252 compound with a vibration stimulus during conditioning, subsequent tests revealed poor  
253 conditioning of the elements compared with control groups in which the animals were  
254 conditioned in the presence of the elements alone (overshadowing). Finally, and more  
255 relevant here, in Experiment 3, pre-training of one of the elements before compound  
256 conditioning resulted in blocking of learning about the other element. In snails, recent  
257 experiments in our laboratory indicate renewal using an appetitive Pavlovian Conditioning  
258 (Loy et al., 2020). In these learning experiments, snails eat a piece of carrot (US) while they  
259 are smelling a particular odour (CS). This experience produces an increase in the tentacle  
260 lowering response (CR), possibly an exploratory behaviour. Renewal is the recovery of an  
261 extinguished CR to the CS due to a change in context, similar to the recovery due to the  
262 passing of time after extinction (spontaneous recovery) or the re-experiencing of the US after  
263 extinction (reinstatement). These two extinction effects have already been demonstrated in  
264 preparations involving the lowering of tentacles in snails (Álvarez et al., 2014). In these

265 experiments, an ABA paradigm was employed, so conditioning occurred in context A (light),  
266 but extinction took place in context B (darkness). When snails were placed back in context A  
267 and presented with the CS they showed recovery of the CR. These works indicate that  
268 invertebrates can effectively encode contextual stimuli.

269         Other misconceptions about learning in invertebrates have been refuted by  
270 experimental results. For instance, it is usual to assert that the behaviour of ants is strongly  
271 controlled by pheromones and that they do not need to learn (Sasaki et al., 2014). However,  
272 there are many relevant behaviours directly determined by learning. For instance, foraging  
273 desert ants are known to learn a wide variety of cues to adequately navigate their  
274 environment (for a review see Freas et al., 2019). Ants display pre-foraging learning walks in  
275 which they learn the panorama around the nest, frequently looking back to it. These walks  
276 increase in duration and distance travelled with increasing experience. They also learn in  
277 their foraging trips, also looking back, different feeding-site panoramas. It is worth noting  
278 that ants do not only learn visual cues of their surroundings, but also magnetic, vibrational,  
279 olfactory and tactile cues. Furthermore, Nowbahari et al. (2009) reported experimental  
280 evidence that the ant *Cataglyphis cursor* displays rescue behaviour to free entrapped  
281 conspecifics. In the experimental preparation, conspecifics are ensnared with nylon thread  
282 and partially buried in sand. The ants are able to recognize the particular demands of this  
283 task, digging and transporting the sand to expose the nylon thread and then biting it. This  
284 biting behaviour, never reported before in the literature, shows that rescue behaviour is far  
285 more complex than other forms, as limb pulling and sand digging, which can be the result of  
286 a very simple mechanism, such as a chemical call for help. It is difficult to see how this  
287 mechanism could guide the behaviour of ants in such a precise way, as they go to a precise  
288 location of the nylon thread and bite just the thread.

289           Another widespread assumption is that the passive life of some species does not  
290 demand learning. Most insects chosen for Associative Learning studies have been those that  
291 move about their environment as they actively seek food, locate a host, evade a parasite or  
292 avoid some noxious stimulus (see Hollis and Guillette, 2015, for a review). Therefore, the  
293 extremely sedentary predatory behaviour of pit-digging antlions (Neuroptera:  
294 Myrmeleontidae) make them unlikely candidates for learning. These larvae dig pits and then  
295 sit at the bottom and wait, sometimes for months, for a prey to fall inside. Nevertheless,  
296 Hollis et al. (2011) experimentally paired a CS (some sand in the hole area) with the presence  
297 of a victim ant. Antlions that received this treatment used the preys more efficiently and  
298 pupated significantly sooner than the ones in the control group in which the CS and the  
299 presentation of a victim was not paired.

300           Learning plays an important role in a wide range of relevant biological functions in  
301 many species of invertebrates and even in situations in which we think that it would be  
302 unnecessary. For instance, the silkworm moth (*Bombyx mori*) is a monophagous insect, so we  
303 could assume that they do not need learning abilities to find food or choose the best site to  
304 oviposition. Nevertheless, Gámez and León (2018) have shown that moths that jointly  
305 experienced an odour (CS) and mulberry leaves (the preferred oviposition place for the  
306 moths) preferred to lay their eggs near the odour when it was present, whereas moths in  
307 which the odour and the mulberry leaves never appeared together showed no preference.

308           In conclusion, not only relatively simple associative abilities are present in  
309 invertebrate species. This is the reason why many authors have begun to use the term  
310 ‘cognition’ in order to describe the behavioural skills of some invertebrates such as insects  
311 (Giurfa, 2015) or cephalopods (Mather and Dickel, 2017). Phenomena like tool use (Loukola  
312 et al., 2017; Mhatre and Robert, 2018), face recognition (Chittka and Dyer, 2012; Avarguès-  
313 Weber et al., 2018), quantitative competence (Skorupski et al., 2017; Howard et al., 2019;

314 Giurfa, 2019), and learning by observation (Leadbeater and Dawson, 2017) are becoming  
315 common issues in psychology and neuroscience journals (Chittka et al., 2019). After the  
316 Darwinian revolution, concepts such as intelligence, culture, purposefulness, intentional  
317 behaviour, thinking, or language had to abandon the exclusive domain of the human being.  
318 Firstly, those concepts were assimilated in primatology (Beran et al., 2016); cetaceans  
319 (Chinea, 2017; Marino, 2004), dogs (Clark et al., 2019; Byosiere et al., 2018), and birds  
320 (Mettke-Hofmann, 2017; Güntürkün and Bugnyar, 2016; McMillan et al., 2015; Pepperberg  
321 et al., 2019). Currently, something similar is happening with cognition in invertebrate species  
322 and it has been shown that, for instance, insects display a variety of phenomena involving  
323 simple forms of tool use, attention, social learning of non-natural foraging routines,  
324 emotional states and metacognition, all phenomena that were once thought to be the  
325 exclusive domain of much larger-brained animals (Perry et al., 2017). The octopus is perhaps  
326 the most impressive case. In a recent review (Schnell and Clayton, 2019), examples are  
327 presented showing clear evidence of the outstanding cognitive skills of cephalopods. For  
328 instance, octopuses show a high behavioural flexibility to solve foraging problems (Mather  
329 and Dickel, 2017). They can suck, drill, rasp or even use water as a tool to extract their  
330 preferred preys from their protective armours.

331         In conclusion, Associative Learning, with all the psychological processes that it  
332 involves, is present among a remarkable number of current *phyla* of invertebrates.  
333 Tardigrades seems to be the latest addition (Zhou et al., 2019). However, there are still many  
334 *phyla* in which not a single species' learning capacities has been investigated.

335         The adaptive advantage that results from having learning skills has led Simona  
336 Ginsburg and Eva Jablonka to postulate it as an essential cue to understand the Cambrian  
337 explosion (Ginsburg and Jablonka, 2010), and as one of the main factors in the origin and  
338 evolution of consciousness (Ginsburg and Jablonka, 2019; Birch et al. 2020, Ginsburg and

339 Jablonka, 2021). For these authors, if the transition to life is marked by a system's capacity to  
340 manifest unlimited heritability (Maynard Smith and Szathmáry, 1995), the transition to  
341 consciousness is marked by five major learning capacities (corresponding to five major  
342 transitions) from learning in non-neural animals to human symbol-based cognition and  
343 cultural learning. Two of these transitions are focused on Associative Learning: the transition  
344 to animals showing elemental Associative Learning, and the transition to animals capable of  
345 Unlimited Associative Learning (UAL). UAL is an overt behavioural ability to attach  
346 motivational value to a compound natural (or ecological) stimulus and a new action pattern.  
347 This way of learning could have played a relevant role in the origin of consciousness  
348 (Ginsburg and Jablonka, 2021).

349         Comparing the possibilities of different *phyla* inside the vast amount of invertebrate  
350 species, from rotifers to octopuses, and taking into account the necessity of linking cognition  
351 abilities (included learning) to ecological demands (Loy et al., 2017), we have seen that  
352 learning abilities are very widely spread through the invertebrate *phyla* so far investigated,  
353 and that they are well tailored to particular goals. At the same time, the amount of available  
354 information on Associative Learning in invertebrate species is very limited, and much work  
355 remains to be done in the field of invertebrate Classical Conditioning.

356

### 357 **Learning in Plants**

358         Plants arise from eukaryotes cells (protists), and they represent the evolutionary line  
359 of one of the three ecological strategies in macroscopic organisms: production, along with  
360 absorption (fungi) and consumption (animals) (Margulis and Schwartz, 1982, p. 10).

361         Since 1960, the interest in studying learning in plants increased due to the possibility  
362 of learning in organisms without nervous system (for a review see Abramson and Chicas-  
363 Mosier, 2016; Adelman, 2018). Maher (2017) suggested that, although plants do not have

364 representational minds, they could have minds in the more general sense of being alive and  
365 being autopoietic-and-adaptive, and several authors attribute cognition (understood as  
366 intelligence, mind, or in general, psychological processes) to plants based on their basic  
367 orientation trends (Calvo et al., 2020b; Gagliano et al., 2012; Mancuso and Viola, 2013) and  
368 communication abilities (Rhoades, 1983). The study of Dudley and File (2007) in the annual  
369 plant *Cakile edentula* showed that allocation of roots increased when groups of strangers  
370 shared a common pot, but not when groups of siblings shared a pot. Callaway and Mahall  
371 (2007) interpret these results as plants being able to discriminate and recognize their kin.  
372 However, this cannot be readily accepted as evidence of discrimination and recognition in  
373 plants, as the control conditions needed to rule out alternative explanations, such as changes  
374 in the soil due to the presence of stranger plants, are not included. As an example of  
375 communication in plants, the study of Rhoades (1983) in sitka willow (*Salix sitchensis*)  
376 showed that when plants were experimentally infected, they emitted signals that allowed their  
377 healthy neighbours to produce defences. However, although plants produce phytohormones  
378 as a response to an external threat and their neighbours detect them (Gális et al., 2009), that  
379 should not be straightforwardly accepted as evidence of intelligent or purposeful  
380 communication due to the absence of the typical controls employed by experimental learning  
381 psychology that aim to discard simpler explanations such a reflex reaction to the substance  
382 emitted by the other plant.

383           Abramson and Chicas-Mosier (2016) reviewed the work on habituation and  
384 conditioning in *Mimosa pudica* and concluded that there are few positive demonstrations  
385 with appropriate controls, and they have not been clearly replicated. Adelman (2018) reaches  
386 equivalent conclusions in a more recent review. In Table 2 the studies on learning in plants  
387 are shown.



388           One of the most important studies in habituation is Gagliano et al. (2014). Habituation  
389 was observed using a drag drop system to induce the defence response in *Mimosa pudica*.  
390 Plants were divided in two groups; in one of them plants received a high-light stimulation and  
391 in the other they received a lower-light stimulation. Firstly, during the habituation phase,  
392 plants received several presentations of drops. Next, a dishabituation test was carried out with  
393 a new stimulus that produced shakes. Finally, plants were again exposed to the drops, 6 days  
394 later. The results showed that leaves re-opened fast and stopped closing altogether.  
395 Furthermore, opening and closing of the leaves happened more rapidly in lower-light  
396 stimulation group. The same result was obtained in a replication when the test was conducted  
397 28 days later. These results are interpreted as undoubted evidence of habituation in plants by  
398 Gagliano et al. (2018) and by Abramson and Chicas-Mosier (2016) but, according to Biegler  
399 (2018), Gagliano et al. (2014) carried out a specific stimulus test as a dishabituation test, in  
400 which shakes instead of drops were used to elicit the defensive response. Biegler argued that  
401 both stimuli should be counterbalanced to show that there are not differences between them  
402 in leaf-folding reflex. Therefore, this experiment did not include the correct dishabituation  
403 test and consequently sensory adaptation, or motor fatigue cannot be discarded. Thus, it is  
404 necessary to replicate the study.

405           Regarding Associative Learning, few studies show clear evidence of it in plants, and  
406 they all have several limitations (for a review see Adelman, 2018). For example, in Armus  
407 (1970), in which a Pavlovian Conditioning procedure was employed with *Mimosa pudica*,  
408 darkness (CS) was paired with a shake (US) and the results showed that darkness elicited the  
409 defensive response, which consisted of the leaflet folding and the stem drooping. However,  
410 darkness is not a neutral stimulus because it elicits the leaflet folding without being paired  
411 with the US. Furthermore, plants did not receive the treatment individually, so the results can  
412 reflect the interactions between the pairs of plants but not the behaviour for each one.

413           Gagliano et al. (2016) is the only study that shows how the neutral stimulus position  
414 predicts the location of a relevant stimulus (the light source) in pea seedlings *Pisum sativum*  
415 *cv* Massey gem. Experiment 1 consisted of a training session in which a group of plants was  
416 exposed to an airflow (CS) paired with light (US) in the same arm of the maze, while another  
417 group of plants was exposed to the airflow in the opposite arm to the light. Then, during the  
418 test, half of the subjects of each treatment were only exposed to the airflow and the other half  
419 were left intact as a control group. The results showed that plants which were exposed to the  
420 airflow paired with the light during training grew in the direction the airflow was presented  
421 during test while plants which were exposed to the airflow in the opposite arm to the light  
422 during training grew in the opposite direction to the airflow during the test session.

423 Experiment 2, in which the influence of the time of the day in learning was tested, replicated  
424 the main results of Experiment 1. According to Adelman (2018), in Gagliano's study (2016)  
425 the CS could play the role as discriminative stimulus and the US could play the role as  
426 reinforcer, so the plant growth in one of the arms was reinforced by access to the light,  
427 showing Operant Conditioning rather than Classical Conditioning. However, in a general  
428 Operant Conditioning procedure, a subject must perform the response to receive the  
429 reinforcer and in this case the plants received the light regardless of their response (growth  
430 direction), so it cannot be considered strictly Operant Conditioning. Nevertheless, Markel's  
431 (2020) replication attempt failed to reproduce this result. Markel used a different type of pea  
432 (*Pisum sativum cv* Green Arrow) but incorporated a bigger sample size and a rigorously blind  
433 observation procedure (unlike Gagliano). Also, Markel points out that the criterion used to  
434 decide plant inclination was not fully operationalized in the study by Gagliano et al. (2016).

435           In sum, the limitations and other alternative explanations in the studies of orientation  
436 trends (Calvo et al., 2020b; Gagliano et al., 2012; Mancuso and Viola, 2013) and  
437 communication abilities (Rhoades, 1983) do not seem conclusive enough to claim that plants

438 show Associative Learning, and can only contribute to polarize the debate to extreme  
439 positions, as those that extremely reject cognition in plants (Taiz et al., 2019). Furthermore,  
440 despite the positive evidence of Non-Associative Learning, many of the studies have not been  
441 replicated. Also, there is a lack of Pavlovian Conditioning evidence even with the few species  
442 of plants chosen, and this evidence usually shows non-replicated or opposite results as well as  
443 inappropriate experimental designs (see, for example, the comparison between the results in  
444 Gagliano et al. (2016) and Markel (2020)). Such a relevant result would modify our  
445 conception of an entire kingdom of the living beings, so it is necessary to replicate  
446 Gagliano's studies before the results in them are definitely accepted, considering the  
447 differences between plants and animals when proposing suitable experimental designs, as  
448 Adelman (2018) suggests.

449

#### 450 **Learning in simple (unicellular) organisms**

451 Since its inception, Comparative Psychology has been interested in unicellular active  
452 life, such as various species of paramecia and amoebas (Jennings, 1906), now classified  
453 within the kingdom Protista.

454 Single-celled organisms are found spread over eukaryota and prokaryota forms of life.  
455 Apart from the aforementioned classic studies, the regulation of orientation in terms of  
456 chemotaxis has been considered such as coordinated behaviour in bacteria as *Escherichia coli*  
457 (Koshland, 1980). Defined as detection of variation in the concentrations of substances in the  
458 environment and response to them through movement, chemotaxis tells us about how  
459 prokaryota, as *E. coli*, are capable to orient themselves in order to move towards nutritious  
460 substances or move away if they result aversive (Sterling and Laughlin, 2015).

461 Due to its theoretical interest, the tradition has never ceased to look for evidence of  
462 unicellular learning, and this has been carried out by using experimental preparations of

463 Associative Learning, more or less simplified or adapted to the stimular "umwelt" of the  
464 organisms. Associative Learning methods allow rigorous analysis of the procedures, which  
465 has sometimes led to discovering methodological limitations that, once corrected, have  
466 promoted new research. Given the richness and continuity of this tradition, in which dozens  
467 of experiments have been carried out, mostly with paramecia, we will begin this section with  
468 a brief review of the most relevant results on Associative and Classical Conditioning. As we  
469 will see in the following lines, the efforts in the research on conditioning have been basically  
470 found in the eukaryotes *Paramecium* and *Physarum policephalum*.

471

#### 472 **Learning in Protista: *Paramecium***

473 This eukaryotic organism has been the most studied protist by means of Pavlovian  
474 Conditioning methods. Its natural environment is fresh water and it shows an approximately  
475 0.05-millimetre size. 12 different species of paramecium (NCBI: txid5884) have been  
476 registered, and all of them present an oval shape and have a ciliary ensemble around its body.

477 From the early studies by Jennings (1904; 1906) to the most recent ones (Alipour et  
478 al., 2018), the same pattern can be detected about learning research in this eukaryote. The  
479 early works pointed out that learning in paramecia effectively occurred, but subsequent  
480 authors questioned the results by arguing the absence of control of several variables. For  
481 instance, the general theoretical position defended by Jennings (1906) or Binet (1889), which  
482 assumes very basic learning results through the successive trials and errors made by the  
483 paramecia, was contended by Loeb (1918), who defended that these behaviours were  
484 explainable only through mechanical tropisms. Nowadays, those rejections can be  
485 reconsidered in the light of recent developments (see Gershman et al., 2021, for a recent  
486 review).

487           Something similar can be found in the work of Gelber with *Paramecium aurelia*,  
488 (Gelber, 1952; 1958), who found a higher concentration of these organisms on the side of the  
489 preparation where they were fed with bacteria. Jensen (1957a; 1957b) tried to shed light into  
490 some experimental details and proposed that bacteria could cause chemical changes in the  
491 paramecium that modify the environment and could be attractive to others. This is an  
492 important point, which responds to the well-documented chemotaxis phenomenon in single-  
493 celled organisms (Koshland, 1980; Sterling and Laughlin, 2015). Two taxis mechanisms,  
494 depending on attractive or repellent chemical substances in the environment, have been  
495 studied in these organisms. Attractive concentrations cause changes in the polarization of the  
496 paramecium membrane, and a subsequent increase in swimming speed is usually observed  
497 (Van Houten, 1978). All in all, the main issue is whether chemotaxis is a mechanism of its  
498 own or if it reflects changes due to experience. This is essential to understand the debate  
499 between Jennings and Loeb, and to determine if Gelber's research demonstrates learning in  
500 *Paramecium* or not. It is clear that this controversy has not been solved yet, as proven by the  
501 current debates in the scientific community. Gershman et al. (2021), for example, conclude  
502 that Gelber's experiments convincingly demonstrate Pavlovian Conditioning in paramecia.  
503 However, Katz and Deterline (1958) failed to replicate Gelber's results. In a similar way,  
504 Lepley and Rice (1952) claimed to have found clear evidence of a tendency to turn to the  
505 opposite side of a T-maze when the paramecia had made an initial forced turn. These authors  
506 claimed that the results could be assumed as an example of Hull's reactive inhibition (Hull,  
507 1943). However, neither Lachman and Havlena (1962) nor Harvey and Bovell (2006)  
508 replicated Lepley and Rice's experiment. Hanzel and Rucker (1972) and Huber et al. (1974)  
509 showed data about escape from tubes by paramecia as proof of the use of trial-and-error  
510 strategies. However, neither Applewhite and Gardner (1973) nor—in a more recent review—

511 Hinkle and Wood (1994) were able to accept such conclusions, according to their own  
512 results.

513         Applewhite (1979) reviewed and replicated some experiments about learning in  
514 protozoa from pattern learning to conditioning. He found no positive or reliable results in  
515 terms of conditioning in paramecia because they were obscured by the absence of proper  
516 control groups or inadequate control of physical changes in the preparations. An exception to  
517 this pattern of conflicting data is found in the most cited work on *Paramecium caudatum*  
518 learning by Hennessey et al (1979). These authors paired a vibration (CS) with a shock (US),  
519 a treatment that resulted in the CS producing a contraction motor conditioned response.  
520 Their experiments were appropriately designed and included replicas with different vibration  
521 intensities and adequate sensitization, pseudoconditioning and truly randomized control  
522 groups. From these results, if a reliable conditioning protocol is clearly confirmed and  
523 established, a whole biological field would be opened to work on protist behaviour.  
524 Surprisingly, despite being mentioned in many works as incontrovertible proof of  
525 conditioning in paramecia, the experiment by Hennessey et al. (1979) has never been  
526 replicated.

527         More recently, Armus et al. (2006a) trained a discrimination with cathodic  
528 stimulation, which is appetitive, paired with light or dark in a counterbalanced way. Three  
529 groups were used: an experimental group, which received cathodic stimulation (US) in a light  
530 or dark place (CS); a control group that never experienced the US, and a third group that  
531 received the same amount of cathodic shocks as the experimental groups but anywhere. The  
532 results revealed that the paramecia in the experimental group spent more time near the side  
533 that had been paired with the cathode, either illuminated or dark, than the other groups.  
534 Alipour et al. (2018) partially replicated the results of Armus et al. (2006a). They observed  
535 how paramecia linked high light intensities with cathodic shocks, but not dark stimulation.

536 This recent research reinterpreted Armus et al. (2006a) work as an unclear result, as they did  
537 not make a distinction between light and darkness as CS.

538 In essence, there are three studies presenting outstanding results on Associative  
539 Learning in paramecia (see Table 3 for a summary): Gelber, (1952; 1958), a polemic result  
540 which has not been successfully replicated, Hennessey et al. (1979), which was never  
541 replicated, and Armus et al. (2006a), which produced an artifactual result as the light-dark  
542 counterbalance was not properly analysed. However, Alipour et al. (2018) confirmed that  
543 there can be an association between intense light and cathodic stimulation in paramecia.  
544 Finally, it is important to highlight that there are no habituation works available on learning  
545 research in paramecia. This means that, although some classic learning paradigms have been  
546 observed in this protist, simpler phenomena have not been tested yet. Apart from chemotaxis,  
547 it is worth mentioning a study that showed flexible behaviour in paramecia benefiting from  
548 previous experience (Kunita et al., 2014). These authors have extensively studied a  
549 mathematical model to understand mobility and escape behaviour in paramecia. They placed  
550 paramecia in a capillary tube model that included an obstacle: an oil drop. Obstacles generate  
551 several avoidance back-swimming responses in paramecia (Short Backward Swimming or  
552 SBS), in conditions like narrow tubes that prevent them from turning. In Kunita et al. (2014),  
553 paramecia first showed SBS and failed attempts to avoid the drop. One minute after, SBS  
554 stopped in favour of increasing distance of back swimming (Long Backward Swimming or  
555 LBS). The authors consider this novel behaviour as evidence of intelligence. Also, Armus et  
556 al. (2006b) measured avoidance in paramecia that received an anode shock (aversive) paired  
557 with light or dark. During training, the paired group reduced progressively its time around the  
558 anode, while this measure was constant in the control group.

559

560 **Learning in Protista: *Physarum polycephalum***

561 *Physarum polycephalum* is an eukaryota, traditionally included in the Protista  
562 kingdom and taxonomically classified in the *pyhlum* Amoebozoa for being able to move or  
563 feed through temporary projections of the cytoplasm called pseudopods in a vegetative phase  
564 of its life cycle. *Physarum* lives in a world of gradients, concentrations of attractants and  
565 repellents, and its behavioural responses are a direct consequence of their interaction with  
566 these gradients (Vallverdú et al., 2018).

567 Recently, *Physarum* has been claimed as the ideal organism to study Minimal  
568 Cognition. Some authors have pointed out that its ability to keep only the pseudopods that  
569 lead to a food source by the shortest path can be interpreted as evidence of cognitive  
570 processes such as problem solving, learning or memory (Smith-Ferguson and Beekman,  
571 2019). The most renowned example could be maze-solving (Nakagaki et al., 2000), in which  
572 different pieces of the same plasmodium were distributed on a neutral agar surface with a  
573 labyrinth shape delimited by plastic walls. Four hours later, all the plasmodium pieces had  
574 collapsed into one that covered the entire surface of the maze. Then, two blocks of nutrient  
575 agar were placed in two points (entry-exit) of the maze. Four hours after the maximum  
576 elongation of the plasmodium, the way in which the pseudopods of the *Physarum* covered the  
577 labyrinth was observed. The shortest path was always preferred to the longest while there  
578 were no differences in choice between similar-length ones. Despite the undeniable utility of  
579 the results of this study for the field of engineering or mathematics, among others, this is not  
580 a genuine learning maze experiment, as the organism is allowed to maintain direct contact  
581 from the beginning with the reward (exit). The reconstructed plasmodium does not seek or  
582 decide within the labyrinth, but it simply expands and contracts over it depending on the  
583 absence or presence of externally provided food, fitting its surface to the minimum size  
584 necessary to transport these nutrients from one side to another (Ray et al., 2019).



585           If we analyse the literature on alleged Associative Learning in *Physarum* (see Table  
586 4), we can conclude that, even today, it does not meet the minimum control requirements  
587 demanded in the Pavlovian Conditioning field. For example, Shirakawa et al. (2011) jointly  
588 presented an agar field at a temperature of 20°C (CS) with access to oat flakes (US) and a  
589 25°C field without US, in an open field arrangement with free access to both sides. After  
590 training, a test choice was made in a limited field with one end at 20°C and the other at 25°C.  
591 Unfortunately, the control group was not described, it was only said “that was without the  
592 Associative Learning procedure” (p.102). We will assume that it was a naïve group. In any  
593 case, the pictures of the training phases and the bar graph of the distance travelled in the CS  
594 field by experimental and control *Physarums* are not enough to conclude the effectiveness of  
595 the conditioning treatment. A data analysis of occupied areas or distance travelled during  
596 each trial would have been a more accurate evidence of conditioning. Unfortunately, this  
597 information is not available. Similar objections, regarding analytical and procedural  
598 deficiencies, could be made to Saigusa et al. (2008) when they claimed that the *Physarum* is  
599 able to anticipate periodic environmental events marked by regular changes in temperature  
600 and humidity. In this study no data were provided about control groups kept at standard  
601 conditions along the trials, or maintained under the different conditions, or with changes from  
602 dry-colder to standard conditions.

603           The same methodological problems are found in other attempts to demonstrate  
604 Associative Learning on Amoebae *phyla*. An example is De la Fuente et al. (2019), who  
605 claimed to have found evidence of conditioned behaviour in *Amoeba proteus* and *Metamoeba*  
606 *leningradensis*. In their work, cells seem to associate an anode (negative galvanotaxis) with a  
607 peptide (positive chemotaxis). After training, the systemic movement of cells responded to  
608 the presence of an electric field by migrating towards the anode instead of migrating to the,  
609 supposedly unconditioned, cathode. However, as they conclude, their promising findings

610 cannot be considered as Classical Pavlovian Conditioning since complete controls and  
611 parametric analyses for Classical Conditioning studies have not yet been performed.

612         Nevertheless, Associative Learning is not the only way of learning, and it cannot be  
613 ruled out that unicellular organisms can benefit from their own experience. On the  
614 contrary, we can find a clear proof of habituation in these organisms in a study carried out by  
615 Boisseau et al. (2016). The authors landed the plasmodium in one side of a preparation with  
616 two chambers connected by a bridge. *Physarum* had to cross the bridge to reach food. When  
617 quinine, an aversive substance, was placed on the bridge, a reduction in the area of the bridge  
618 occupied by the plasmodium was observed as compared to other subjects without any  
619 substance. After five trials, the *Physarum* with quinine occupied the same amount of bridge  
620 area as the *Physarum* in the control group. On the sixth trial, the *Physarum* of the control  
621 group received quinine for the first time and, as a result, the area of the bridge occupied was  
622 drastically reduced if compared to the experimental group (see Boisseau et al., 2016, fig. 5).  
623 With this procedure and a suitable experimental design, they showed habituation and  
624 spontaneous recovery of the initial reaction after withdrawal of the habituated stimulus. They  
625 also showed specificity to stimulation (quinine-caffeine) of the habituation response, which  
626 excludes explanations based on sensory adaptation or motor fatigue. Boussard et al. (2019)  
627 have subsequently corroborated Boisseau et al.'s results, adding improvements to the  
628 procedure that offer a reliable and replicable experimental preparation to study habituation in  
629 slime mould.

630         In sum, only habituation has been clearly demonstrated in *Physarum polycephalum*  
631 (see Table 4 for a summary), although the only replica available has been made by the same  
632 research group. In spite of the effectiveness of the associative procedure for the study of  
633 learning in many animal species, the study of this topic on *Physarum* has not rendered any  
634 feasible results. There are some results that seem to show basic learning abilities, but it

635 cannot be concluded that Associative Learning has been clearly demonstrated in this  
636 unicellular organism.

637

### 638 **Associative Learning, Minimal Cognition and Minimal Development**

639 More than a century of experimental work has not conclusively demonstrated  
640 association in single-celled organisms -as pointed out in Dussutour's review of learning in  
641 single cell organisms (Dussutour, 2021)- while it does usually happen in animals, not only  
642 vertebrates, but also in a significant number of invertebrate *phyla*. Recent studies with plants,  
643 although promising, are not conclusive. Conditioning preparations show serious difficulties  
644 in building associations at unicellular level, given that the only work that can be considered  
645 as evidence of conditioning is the one by Armus et al. (2006a), as replicated by Alipour et al.  
646 (2018). Although more associative experiments can and should be done (it is evident that the  
647 interesting results by Gelber (1952; 1958) and Hennessey et al. (1979) should be replicated),  
648 this current study —though containing negative results— is already an important scientific  
649 achievement that should be taken into account by association psychologists. It is important to  
650 consider the possibility that the conditioning paradigm may reach its limits when it enters the  
651 realms of the unicellular. As there is no reason to suppose that association is the only possible  
652 operationalization of adaptive experiential processes or that it is the unique way to define  
653 learning or animal intelligence, it is worth considering other existing approaches. Minimal  
654 Cognition and the Developmental-Comparative tradition are the two to be briefly considered  
655 here, aiming to emphasize some aspects of both approaches that may be complementary to  
656 each other and to shed light on the aforementioned limitations of the associative paradigm.

657 In the 21st century the interest in the intelligence of the “lower” organisms, including  
658 protists and bacteria, has grown significantly, adopting the label “Minimal Cognition” (van  
659 Duijn et al., 2006; Lyon, 2015; Baluška and Levin, 2016; Vallverdú et al., 2018; Smith-

660 Ferguson and Beekman, 2019; Reber and Baluška, 2020). Some of the most prominent  
661 authors in this approach, as Lyon (2015) and van Duijn et al. (2006), are close to the  
662 Embodied Cognition thesis, rejecting the computational tradition and the concept of  
663 representation, and focusing on the complex mechanisms and sensory-motor adjustment  
664 processes found in that organisms, including bacteria. Other authors maintain a view of  
665 cognition that is closer to the information processing tradition, stressing that the basis of  
666 intelligence is signal processing, which is an intrinsic feature of life as “all living organisms  
667 require some form of information processing” (Smith-Fergusson and Beekman, 2019, p. 2),  
668 or “all interactions in a system are information” (Calvo et al., 2020a, p. 3). They also  
669 postulate that cognition would have arisen from the most basic trophic interactions of the  
670 organism with its environment, as appropriate food sources need to be detected, as well as  
671 internal states of need or satiation: “when a bacterium senses an attractive chemical in its  
672 environment, this signal is processed internally and induces a change in behaviour: the motor  
673 response” (Smith-Ferguson and Beekman, 2019, p. 2). Thus, for the latter authors, cognition,  
674 as information processing of signals, is considered coextensive with life.

675 Minimal Cognition often expresses the goal of building a sort of Cognitive Biology as  
676 an alternative of other traditions involved, such as Comparative Cognition or Comparative  
677 Psychology. Although it is not original in bringing intelligence to “lower” organisms (H. S.  
678 Jennings (1904) Lloyd Morgan (1896) and J. M. Baldwin (1976) did it with functionalist and  
679 Darwinian inspiration), it has collected and developed research on a vast amount of  
680 phenomena that normally are not associative but are adaptations related to experience and/or  
681 important physiological or biochemical features to understand experience in those simple  
682 organisms: complex sensory systems in bacteria or protists, rapid response processes in  
683 plants, signal transmission in non-nervous tissues, surprising adaptations in the *Physarum*  
684 *policephalum*, or evidence of memory in single cells. All these phenomena enrich and push

685 the field beyond the traditional approach of Associative Learning. Nevertheless, Minimal  
686 Cognition does not offer a uniform and systematic approach to learning. Sometimes it uses  
687 procedures or (supposed positive) results coming from conditioning in plants, *Physarum* or  
688 paramecia (Smith-Fergusson and Beekman, 2019; Baluška and Levin, 2016), or habituation  
689 and sensitization in bone cells or plants (Baluška and Levin, 2016). It even claims that  
690 “acquisition of novel behaviors on unicellular species is associative in nature” (Reber and  
691 Baluška, 2020, p. 4). Sometimes Minimal Cognition tends to consider learning in a broad  
692 sense as “experience-modulated behavioral change” while conditioning is classified as a  
693 “narrowly human conception” (Lyon, 2015, p. 3). As an illustration of learning in the broad  
694 sense, Lyon collects experiments on non-associative learning in bacteria. In one of them,  
695 some cells were incubated in a medium with a lack of a fundamental nutrient. In a second  
696 phase of the experiment, these cells responded to new limiting conditions faster than control  
697 cells previously incubated in a medium with high concentration of the fundamental nutrient  
698 (Lyon, 2015; Hoffer et al., 2001).

699         The idea of “experience-modulated behavioural change”, without the need for an  
700 association to take place, is important and it is not new either. The aforementioned  
701 Development-Comparative tradition -represented by the works of the zoologist and  
702 comparative psychologist H. S. Jennings (1904)- set things that way a long time ago, and is  
703 worth considering here, both to observe its overlap with Minimal Cognition, and also to  
704 emphasize its focus on a developmental approach that is not present in Minimal Cognition.

705         Jennings (1904) investigated the behaviour of unicellular cells in the late 19th and  
706 early 20th centuries and explained the phenomena by applying the notion of trial and error of  
707 the comparative zoologist and psychologist C. Lloyd Morgan (1896) and the evolutionary  
708 and developmental psychologist James Mark Baldwin (1976). For Jennings, the theoretical  
709 unit to understand unicellular behaviour is not a direct stimulus-response reaction (“forced by

710 the stimulus”, as Jacques Loeb’s supporters said at the time), but a sequence of action  
711 through which the organism solves a basic adaptive problem, such as progressively approach  
712 a food source, progressively escape from a lighted area in *Stentor*, or completely adhere to a  
713 solid object while swimming in amoeba. The adaptive result comes from the repetition of the  
714 available and simple repertoires of movement in a sequence continuously regulated by  
715 contextual experience (sensing food or light gradients, for example). So, it is not a single  
716 response but a temporal sequence where every step implies a relation to the previous one to  
717 secure the progress (Jennings, 1904).

718         A typical case is that of a paramecium that, by sensing an aversive chemical or light  
719 stimulus, performs the following sequence: first it stops or reverses slightly; then it tilts its  
720 front end and turns on its longitudinal axis, and then advances again, so that its direction has  
721 changed significantly in some degrees. The organism repeats the process until it detects a  
722 significant change in luminosity or chemical gradient and judges the current state as  
723 acceptable. Then, it stops making changes and stays in the acceptable zone (Jennings, 1904).  
724 By a similar method, the organism tries several angles until it detects the appetitive stimulus  
725 at a concentration sufficient to not change direction, move on, and eventually make contact  
726 with the appetitive stimulus (for example, food). In the case of an amoeba that swims and  
727 touches a solid object with one of its pseudopods, the rest of the pseudopods that fail to  
728 contact are contracted in the direction of the contact: the entire amoeba moves in that  
729 direction, inhibiting the emission of pseudopods, until it is fully attached (Jennings, 1904;  
730 Nakagaki et al., 2000). In all cases we find an organized sequence of trials whose  
731 consequences have to be felt or evaluated as partial successes or errors. The available  
732 repertoires of movement, typical of each species, are undoubtedly limited and stereotyped,  
733 but their modulation and sequencing are flexible and adjustable to context to some degree.

734           The behavioural process described by Jennings (1904) is the minimal expression of a  
735 developmental process, a “Minimal Development” as we could call it. Some improvements in  
736 the execution efficiency of the activity pattern can be identified, precisely due to its repeated  
737 exercise, regulated by experience. It is also a case of “experience-modulated behavioural  
738 change” in Lyon’s (2015) sense, and also a hierarchy of avoidance behaviour, as  
739 demonstrated in *Stentor roeseli* by Dexter et al. (2019). They can be improvements in the  
740 number of trials or in the time required to reach a valuable stimulus or to escape from a  
741 harmful one. It is the researcher who has to identify the parameters to be measured. These  
742 improvements are less lasting and more ephemeral (minutes, perhaps hours at most), if  
743 compared to long-term memory in animals (hours, days and even long-life periods), but they  
744 are improvements after all.

745           Jennings warned that the description of behavioural activities had to be made using  
746 meaningful terms, even if they were very basic, such as “attractive”, “aversive”, “detection”,  
747 or “error”. Those terms cannot be eliminated and replaced by the sole description of  
748 physiological or biochemical sequences because this would be, in Jennings’ words, “an  
749 endless circumlocution” (Jennings, 1904, p. 252). Accordingly, mechanical sequences  
750 (physiological or biochemical) can only make sense when understood in the framework of the  
751 global strategy of the organism to solve a general adaptive problem. He goes further and  
752 justifies his argument through the following analogy: if when dealing with human behaviour  
753 we assume that terms such as pleasure and pain are relevant (as correlated with physiological  
754 states that promote the escape of a large number of stimuli that have nothing physically in  
755 common) we cannot deny that they are also relevant when we deal with simpler behaviour.  
756 And if we deny it for the simplest organisms, we should be willing to deny it for the human  
757 case. In this way Jennings points to the idea that meaning belongs to the organic sphere:  
758 feeling or sensing are the ways in which the organism relates to its environment and the way

759 in which we should approach the study of the behaviour of organisms, including humans.  
760 Modern theory of the Cellular Basis of Consciousness, agrees with Jennings in this regard  
761 and claims that “sentience was a property of the first form of life that emerged some 3.5  
762 billion years ago” so “life and sentience are coterminous” (Reber and Baluška, 2020, p. 1).  
763 This is also the proposal of Ginsburg and Jablonka (2019), in their book on the origins and  
764 evolution of sentience or minimal animal consciousness.

765         What phenomena should we include in this category of Minimal Development? The  
766 trial-and-error orientation process described by Jennings probably constitutes one of the most  
767 important sets of behavioural adjustments in single cells, and it can lead to certain  
768 improvements, as he himself reported (Jennings, 1904). Recently, Dexter et al. (2019) have  
769 replicated these observations, demonstrating a hierarchy of avoidance behaviours in the  
770 ciliate *Stentor roeseli*. Sensitization and habituation, to the extent that they are found in  
771 unicellular organisms, would be clear examples of ephemeral improvements of execution  
772 efficiency of activity patterns. And so would be Lyon’s examples of “experience-modulated  
773 behavioural change” already mentioned. But we also can find those improvements in  
774 phenomena that go unnoticed when only Associative Learning is considered. For example, let  
775 us consider the experiment by Armus et al. (2006a) described before. In this experiment, we  
776 can find a kind of escape facilitation due to repeated experience. A group of paramecia was  
777 repeatedly exposed to an aversive situation, from which they managed to escape. When  
778 exposed to the same situation after some time, that group escaped slightly faster than a  
779 control group of naïve paramecia (Armus et al., 2006a). This is an improvement in the  
780 execution of a basic behavioural pattern, achieved precisely by its repetition, which shows  
781 that this repertoire is not completely rigid but possesses some plasticity, some potential to  
782 take a developmental step. It is an adaptive achievement mediated by experience that may go  
783 unnoticed if we only pay attention to whether associative avoidance learning occurs. We



784 could consider it, as we have said, as a case of Minimal Development, since it is produced  
785 through the active exercise of an available repertoire that adaptively transforms the repertoire  
786 itself in some parameter.

787         The term developmental (as used in Developmental Theory and Developmental  
788 Psychology) does not refer only to Piagetian child development or to the new contributions  
789 on life span, although human development has certainly received priority attention in the  
790 field. Developmental Psychology was born just to try to understand from a Darwinian point  
791 of view the phylogenetic origin and ontogenetic construction of higher faculties and abilities,  
792 such as abstract thinking, categorization, and voluntary action. For this reason, it was born as  
793 linked to Comparative Psychology, trying to offer some insight about the basic forms of  
794 learning in simple, even unicellular, organisms. *Mental development in the Child and the*  
795 *Race*, original published in 1894 (Baldwin, 1968) and *Development and Evolution*, originally  
796 published by Baldwin in 1902 (Baldwin, 1976), are the foundational works of this  
797 perspective, which provided part of the inspiration for Jennings's experimental work. In them  
798 Baldwin presents several learning processes that he calls Circular Reaction. The most  
799 elementary of them is the Organic Circular Reaction, which emphasizes, as we have been  
800 doing here, that it is by means of the contextual exercise of the organism's sensory-motor  
801 patterns that some adaptive novelty is achieved: a change, however minimal, in the pattern,  
802 even before we can speak of associations. These repertoires depend entirely on its bodily  
803 organization, as Embodied Cognition stresses today, and are plastic enough to allow changes,  
804 including the learning of new habits (which imply new meanings in Jennings sense already  
805 mentioned) in more complex organisms.

806         A Minimal Development approach would be no more than the extension to unicellular  
807 organisms of that large existing Developmental-Comparative tradition that, for example, has  
808 also shown —against Lorenz's view— that instinct does not exist if by instinct we mean an

809 innate motor pattern already adapted without any need for experience or development  
810 (Lehrman, 1953; Gottlieb, 1997; Moore, 2003). Also, this tradition has given rise to the  
811 Organic Selection Theory (Baldwin, 1896; 1976; Sánchez and Loredó, 2007), it has promoted  
812 theoretical approaches such as Development Systems Theory (Lickliter, 2008), and it has  
813 converged with epigenetics (Kuo, 1967; Moore, 2008) and with current evolutionary theories  
814 that give learning a critical role in evolution itself, like West-Eberhard's theory of evolution  
815 based on developmental plasticity (West-Eberhard, 2003).

816         The tradition of Associative Learning has developed the most accurate methods for  
817 the study of animal learning to date. Nonetheless, even considering all the research which is  
818 yet to be done in invertebrate, these methods seem to find their limits as they move away  
819 from the Animal Kingdom. Minimal Cognition meaningfully bursts into the scene but does  
820 not offer a uniform and systematic approach to learning, although some of its insights are  
821 clearly convergent with a Minimal Development approach such as Lyon's concept of learning  
822 in a broad sense or "experience-modulated behavioral change" (Lyon, 2015). The  
823 Developmental-Comparative tradition, however, substantially contributed to the study of the  
824 adaptive experience in unicellular and connected learning and evolution in a way that is now  
825 increasingly supported by current Evolutionary Developmental Biology and Epigenetics. The  
826 tentative idea of Minimal Development proposed here could help to focus future research on  
827 elementary behavioural change processes that associative methods do not seem to fully  
828 categorize and detect. Dialogue and contrast among the Associative Learning, the Minimal  
829 Cognition and the Developmental-Comparative approaches can provide mutual enrichment  
830 and perhaps some methodological and conceptual advancement in the complex field of the  
831 cognition and learning in "lower" organisms.

832

833

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837

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1295 **Table 1**1296 *An overview of learning in invertebrates*<sup>2</sup>

Invertebrate <i>phyla</i>	Ginsburg & Jablonka (2019) “Associative Learning”	Perry, et al. (2013) “Classical Conditioning”	“Learning” OR “Conditioning” AND ( <i>phyla</i> ) January, 2021
Gastrotricha			No
Platyhelminthes	<i>a</i>	Flatworm, 111	Jawad et al., 2018 <sup>1</sup>
Ectoprocta/Bryozoa			No
Brachiopoda/Phoronida			No
Nemertea			No
Mollusc	<i>b</i>	Sea slug, 107,108 Pond snail,109 Land snail, 110 Cephalopods 100, 101	22/333
Annelida	<i>c</i>	Leech, 104,105 Earth worm, 106	5/41
Entoprocta			No
Cycliophora			No
Mesozoa-Orthonectida			No
Mesozoa-Dicyemida			No
Rotifera/Acanthocephala	<i>d</i> (habituation)		No
Gnathostomulida			No
Micrognathozoa			No
Chaetognatha			No
Kinorhyncha			No
Priapulida			No
Loricifera			No
Nematoda	<i>e</i>	<i>C. elegans</i> , 302,303 (26/867)	1/89
Nematomorpha			No
Tardigrada			Zhou et al. (2019)
Onychophora			No

<sup>2</sup> *Phyla* of invertebrates in which Classical Conditioning has been demonstrated according to data from the reviews of Perry et al. (2013), Ginsburg and Jablonka (2019), and ours, updated through a search in Scopus in January 2021. Ginsburg and Jablonka use the term “Associative Learning”, Perry et al. “Classical Conditioning”. Our search used the terms “conditioning” OR “learning” AND (“*phyla*”). We use the list of *phyla* by Ginsburg and Jablonka because it is more complete and updated than Perry’s. The letters in the Ginsburg and Jablonka column reproduce the references that accompany the Table 7.1, on pp. 331-333, of their 2019 text. The numbers accompanying Perry’s boxers are their references to those taxa. We have reviewed all the taxa and incorporated the newly found references (tardigrades, for example). In the taxa in which learning has already been clearly demonstrated, we have indicated the number of articles that contain the terms of our search and the number of those specifically found in the field of psychology (22 out of 333 in the case of the mollusks, for example, or 26 out of 867 in *C. elegans*, or 4/133 in arthropoda) as an indication of the relevance of learning psychology studies to these *phyla*. Some relevant points are commented through the notes.

Invertebrate <i>phyla</i>	Ginsburg & Jablonka (2019) “Associative Learning”	Perry, et al. (2013) “Classical Conditioning”	Learning” OR “Conditioning” AND ( <i>phyla</i> ) January, 2021
Arthropoda	<i>g</i> Perry et al. (2013)	Perry et al. 2013 <sup>2</sup> Maxillipods Myriapods <sup>3</sup>	4/133
Urochordata			0/13
Cephalochordata			No
Hemichordata			No
Echinodermata	<i>l</i>	77 without control of sensitization <sup>4</sup>	0/14
Xenacoelomorpha			No
Cnidaria	<i>n</i> (habituation)	Sea anemona, 112 <sup>5</sup>	1/27
Ctenophora			0/4
Placozoa			No
Porifera			0/16

1297 *Note.* 1.- The work of Mohammed Jawad et al. (2018) shows very complex phenomena in planarians as a recent  
1298 advance in the addiction model with contextual learning. 2.- Arthropoda is a *phylum*. In arthropoda many  
1299 investigations of learning and conditioning with insects (ants, bees) and other crustaceans are grouped. Ginsburg  
1300 and Jablonka cite Perry et al., 2013 as a reference to the review in arthropoda. Only maxillipods and myriapods  
1301 show absence of conditioning tests but are *subphyla*. In any case, it remains so today: there is no demonstration  
1302 of conditioning. 3.- There is one study (Schäfer, 1976) in *Lithobius forficatus l*. Although it appears in the  
1303 search with the indicated terms (learning and myriapods) it is in fact an experimental work on the maze  
1304 behaviour of this centipede and the mouse. In the case of the centipede the author studied spontaneous  
1305 orientation and bias but in the case of mice he studied spontaneous orientation and learning. It is definitely not a  
1306 study on learning. 4.- The reference 77 in Perry et al. (2013) is conditioning in starfish (McClintock and  
1307 Lawrence, 1982). It is quoted by 8 references, but no one is an experimental replication. 5.- The only reliable  
1308 demonstration of conditioning in cnidaria is Haralson et al. (1975) with sea anemones. This reference is quoted  
1309 in 10 publications as an example of conditioning in cnidaria. However, none of these publications is an  
1310 experimental replication and only 4 belong to the category of the scientific field of psychology.



1311 **Table 2**1312 *Literature about Learning and Conditioning in Plants*

Reference	Procedure	Psychological skill	Result	Replication
Pfeffer (1873)	Mechanical stimulation of leaflets in <i>Mimosa Pudica</i>	Habituation	Positive	Bose (1906) mechanical + electrical stimulation. The same results
Darwin (1880)	Mechanical stimulation of tendrils in <i>Passiflora gracilis</i>	Habituation	Positive	No
Pfeffer (1906)	The sundew tentacles stimulation in <i>Drosera</i> (sundew)	Habituation	Positive	No
Holmes & Gruenberg (1965)	Water drop and finger touch Tactile-shock ( <i>Mimosa pudica</i> )	Habituation stimuli discrimination Conditioning	Positive Negative	No Holmes & Yost (1966). The same results
Haney (1969)	Tactile + Light-Dark or Dark-Light transition No Tactile + Light-Dark or Dark-Light transition	Conditioning	Light-Dark Less CR Dark-Light More CR Control Less CR	Levy et al. (1970) Tactile (Dark-Light)+ control. Opposite results
Armus (1970)	Light-Dark – “striking the main stem”	RT reduction to leaf-folding as a CR to darkness	Positive but problematic	No
Applewhite (1972)	Mechanical stimulation (dropping) and electrical stimulation in <i>Mimosa pudica</i>	Habituation	Positive	No

Reference	Procedure	Psychological skill	Result	Replication
Jaynes (1976)	Tactile + intense light in <i>Mimosa</i> (presumably <i>Mimosa pudica</i> )	Conditioning	Negative	No
Gagliano et al. (2014)	Defense response induced by drops in <i>Mimosa pudica</i>	Habituation	Positive	No
Gagliano et al. (2016)	Conditioning Fan-light ( <i>P. sativum</i> )	Conditioned orientation	Positive	Markel (2020) <i>Pisum sativum</i> cv Green Arrow. Bigger sample size and rigorously blind observation. Negative results

1313 *Note.* In this table studies on learning and conditioning in plants are shown. The first column shows the  
1314 references followed by the experimental procedure used, the psychological skills involved, the main results and  
1315 the replicas which support the data or not.

1316 **Table 3**1317 *Literature about Learning and Conditioning in Paramecium*

1318

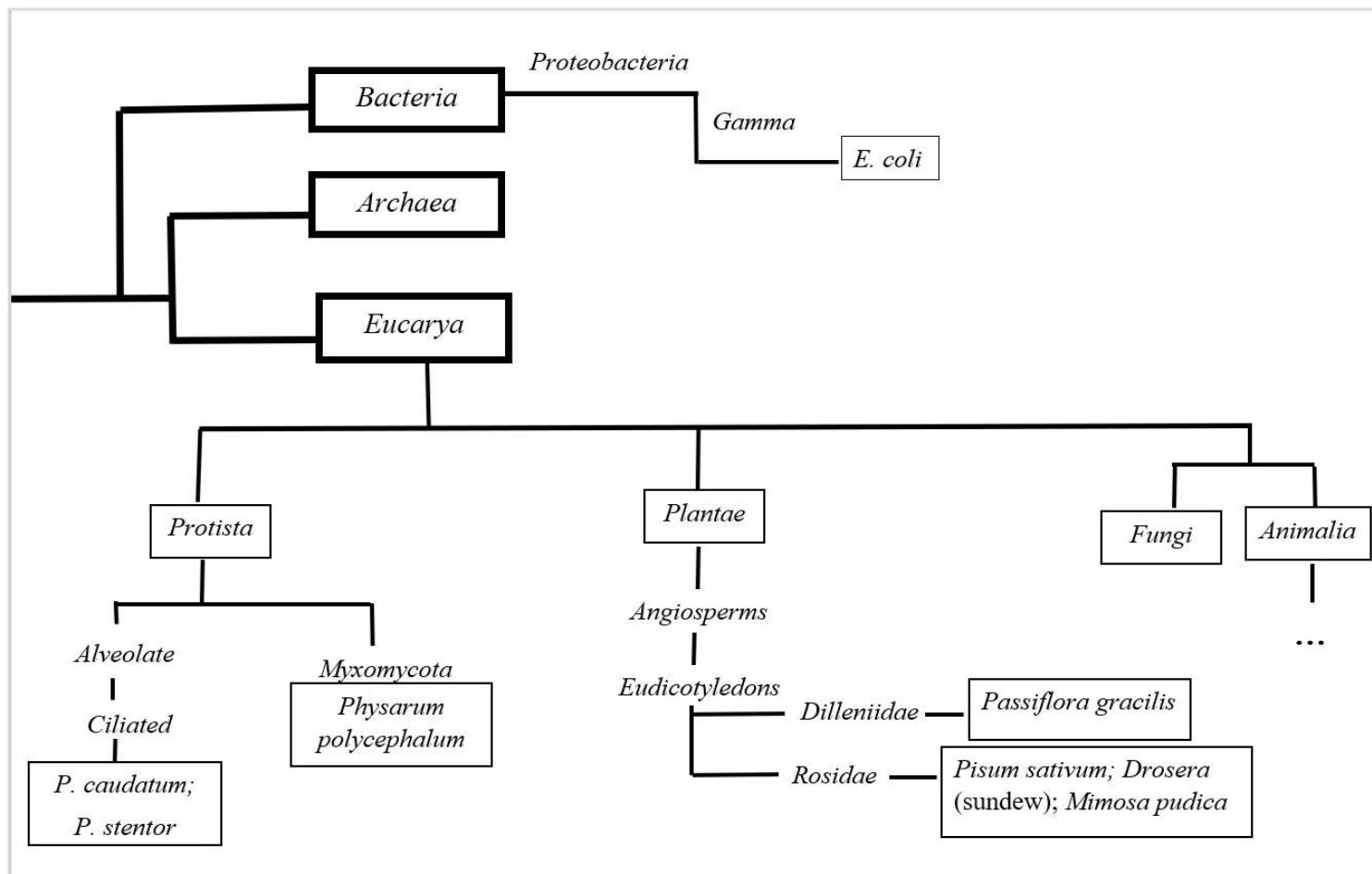
Reference	Procedure	Psychological skill	Result	Replication
Jennings (1906)	Exposure to stimuli (light, heat, gravity, shocks)	Basic learning	Observation of trial-and-error behaviours	Negative by Loeb (1918)
Gelber (1952, 1958)	Conditioned place preference (bacteria as reinforcement)	Learning	Increased number of subjects in the conditioned place	Negative by Jensen (1957) and Kantz & Deterline (1958)
Lepley & Rice (1952)	Alternance behaviour T maze	Reactive Inhibition	Increased opposite side choose after forced turn	Negative by Lachman & Havlena (1962) and Harvey & Bovell (2006)
Hanzel & Rucker (1972) Huber et al. (1974)	Tube-escape	Escape	Enhanced escape behaviour	Negative by Applewhite & Gardner (1973) and Hinkle & Wood (1994)
Hennessey et al. (1979)	Conditioning (vibration-shock)	Anticipatory Avoidance Reaction (AAR)	Increased AAR	No replication
Armus (2006a)	Light- cathode Dark- cathode	Acquire placed preference	More time spent near cathode side	Partially positive in light- shock by Alipour et al. (2018)
Kunita et al. (2014)	Obstacle avoidance	Emergence of new behaviour in escape	Decreased distance with useless movement pattern, increasing the new	No replication

1319 *Note.* In this table the studies on learning and conditioning in paramecium are shown. The first column shows  
1320 the references followed by the experimental procedure used, the psychological skills involved, the main results  
1321 and the replicas which support the data or not.

1322 **Table 4**1323 *Literature about Learning and Conditioning in Physarum polycephalum*

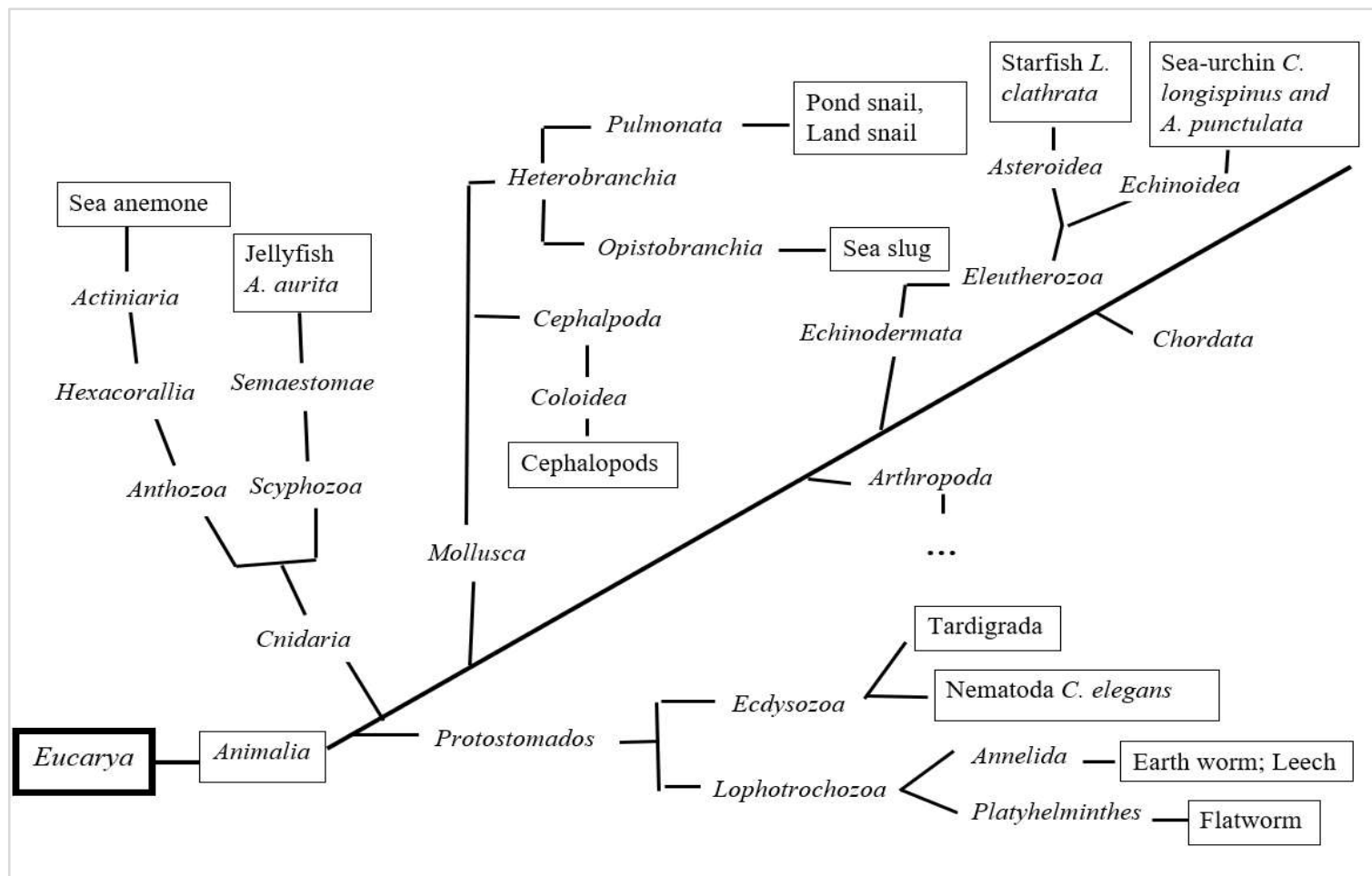
Reference	Procedure	Psychological skill	Result	Replication
Nakagaki et al. (2000)	Maze-solving by an amoeba	Primitive intelligence	The largest path fades away	No replication
Saigusa et al. (2008)	Fixed periodic changes of temperature and humidity	The anticipation of impending environmental change	Periodic reduction of locomotive speed	No replication
Shirakawa et al. (2011)	Conditioning (paired low temperature and food)	Learning, memory, acquired place preference	Acquired reversed thermotactic property	No replication
Boisseau et al. (2016)	Re-exposure to quinine	Habituation	Change in pseudopod size	Boussard et al. (2019)

1324 *Note.* In this table the studies on learning and conditioning in *Physarum polycephalum* are shown. The first  
 1325 column shows the references followed by the experimental procedure used, the psychological skills involved,  
 1326 the main results and the replicas which support the data or not.



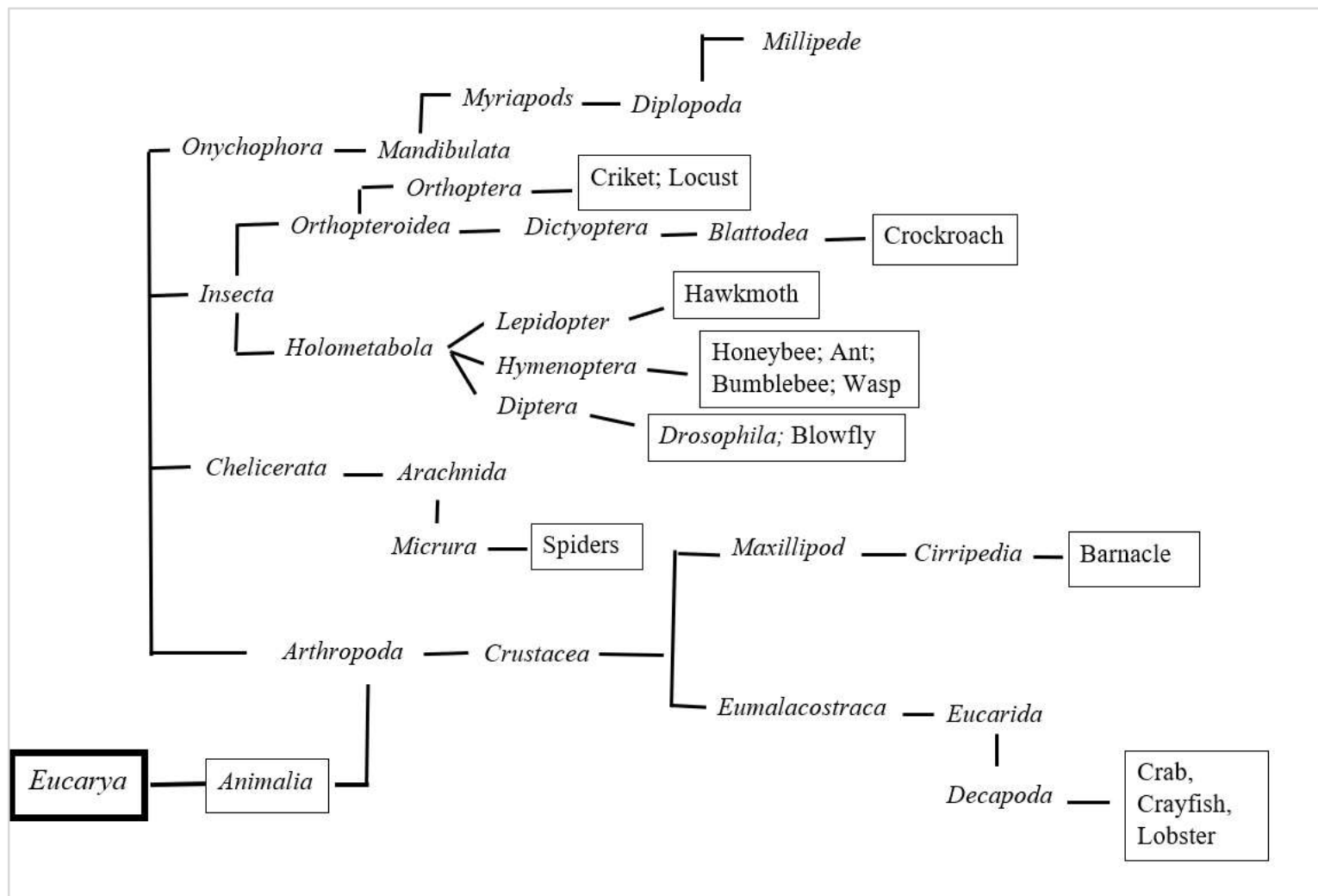
1327

1328 **Figure 1.** A phylogenetic map about the main organisms cited in the manuscript in which learning was studied,  
 1329 except for the *Animalia* kingdom which is represented in the following two figures. Based on Tudge (2000),  
 1330 Joseph et al. (2013) and Rungruangmaitree and Jiraungkoorskul (2017) to classify and compare the taxonomy of  
 1331 *Mimosa pudica* and *Pisum sativum* respectively.  
 1332



1333

1334 **Figure 2.** A phylogenetic map about the *Animalia* organisms cited the manuscript in which learning was  
 1335 studied. Based on Tudge (2000).



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**Figure 3.** Continuation of the *Animalia* kingdom in which the representation of *Arthropoda phylum* is shown. Based on Tudge (2000).