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4 1 **Humoral responses against HDL are linked to lipoprotein traits,**  
5 2 **atherosclerosis, inflammation and pathogenic pathways during early**  
6 3 **arthritis stages**  
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51 **Running title:** anti-HDL humoral responses in arthritis  
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## 1 ABSTRACT

2 **Objective:** Chronic inflammation and immune dysregulation are crucial mechanisms for  
3 atherosclerosis in rheumatoid arthritis (RA). Recent evidence suggests a link via humoral  
4 responses against high-density lipoproteins (HDL). This study aimed to characterize the  
5 specificity, clinical relevance and emergence of humoral responses against HDL along  
6 disease course, especially during the earliest phases of RA.

7 **Methods:** IgG and IgM serum levels of antibodies against HDL (anti-HDL) and  
8 Apolipoprotein A1 (anti-ApoA1) were measured in 82 early RA patients, 14 arthralgia  
9 individuals and 96 controls. Established RA patients (n=42) were included for validation.  
10 Atherosclerosis and vascular stiffness were measured by Doppler-ultrasound. Lipoprotein  
11 content, particle numbers and size were measured by H-NMR. Cytokines were measured by  
12 immunoassays. A cardiometabolic-related protein panel was evaluated using high-  
13 throughput targeted proteomics.

14 **Results:** Anti-HDL and anti-ApoA1 responses were increased in early RA compared to  
15 controls (both  $p < 0.001$ ) and were comparable to established disease. Only anti-ApoA1  
16 antibodies were increased in arthralgia. IgG anti-HDL and anti-ApoA1 were associated with  
17 unfavourable lipoprotein traits in RA and arthralgia, respectively. A similar picture was  
18 observed for inflammatory mediators. No associations with clinical features or risk factors  
19 were found. IgG anti-HDL were independently associated with atherosclerosis occurrence in  
20 early RA, and outperformed patient stratification over conventional algorithms (mSCORE)  
21 and their anti-ApoA1 counterparts. Anti-HDL antibodies correlated with proteins involved  
22 in immune activation, remodelling, and lipid metabolism pathways in early RA.

23 **Conclusion:** Humoral responses against HDL particles are an early event along arthritis  
24 course, although quantitative and qualitative differences can be noticed among stages. These  
25 differences informed distinct capacities as biomarkers and underlying pathogenic circuits.

26 **Keywords:** cardiovascular, arthritis, HDL, lipoproteins, atherosclerosis

## KEY MESSAGES

- IgG anti-HDL and anti-ApoA1 are increased in the earliest phases of arthritis
- Anti-HDL responses improve the identification of atherosclerosis over existing clinical algorithms
- Anti-HDL antibodies are associated with proteomic signatures related to immunity, matrix homeostasis and lipid metabolism

## INTRODUCTION

Rheumatoid Arthritis (RA) has been consistently associated with an increased cardiovascular disease (CVD) occurrence compared to the general population, due to an accelerated development and progression of atherosclerosis [1]. This risk excess cannot be fully explained by traditional CV risk factors alone, thus pointing to the involvement of non-traditional CV risk factors [2]. However, these are poorly characterized until date, which limits CV risk stratification and represents an urgent clinical need.

Low high-density lipoprotein-cholesterol (HDL-C) levels were initially considered as a traditional risk factor, although recent evidence has challenged this notion [3]. A number of non-canonical functions, such as anti-oxidant, anti-inflammatory, anti-apoptotic and anti-thrombotic properties have been reported to contribute to its anti-atherogenic effect [4]. Inflammation is known to cause changes in the lipoprotein levels, protein cargo and non-canonical functions [5–7]. Furthermore, different immunosuppressive agents are known to modulate lipoprotein levels and functions to different degrees [5,8], thus emphasizing the active involvement of specific immune pathways. However, important gaps remain in understanding the crosstalk between HDL and inflammation and immune pathways, especially beyond HDL-C levels [9].

A potential role of the humoral response in this setting has emerged in recent years. The presence of IgG antibodies against HDL (anti-HDL) and its components has been demonstrated by our group [10–13] and others [14–17] in several inflammatory conditions. We have found that the IgG anti-HDL response is increased in RA patients with established disease, linked to inflammatory burden and CVD [12]. However, whether these antibodies are present at disease onset or are a consequence of the disease course and/or changes in HDL

1 due to CVD occurrence remains unknown. This is of pivotal relevance to evaluate their  
2 potential capacity for improving risk stratification, especially during the early stages.  
3 Importantly, autoimmune responses are known to predate disease onset in RA [18,19].  
4 Moreover, since HDL are complex structures that need to be studied beyond HDL-C levels,  
5 there is a need for multifaceted approaches to better understand the relevance of anti-HDL  
6 responses, especially from a non-traditional perspective. Finally, although the analysis of  
7 anti-ApoA1 responses has become popular, evidence from lupus patients suggests that anti-  
8 HDL and anti-ApoA1 may not be used interchangeably [14,15]. However, head-to-head  
9 comparative analyses are much awaited.

10 Therefore, we hypothesize that humoral responses against HDL and its components may be  
11 a non-traditional risk factor in the earliest stage of arthritis. The main aim of this study is to  
12 characterize the humoral response against HDL and its components during the early stages  
13 of arthritis by a multi-level approach. The specific aims are (i) to characterize the humoral  
14 response against HDL structure during the early phases of arthritis, (ii) to evaluate their  
15 associations with lipoprotein features (including content, size, particle number and  
16 functionality), (iii) to evaluate their potential clinical impact in risk stratification, and (iv) to  
17 characterize their underlying pathogenic circuits.

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## 1 MATERIAL AND METHODS

### 2 Study participants

3 Our study involved 82 early RA patients (2010 ACR/EULAR classification criteria) and 14  
4 subjects with clinically-suspect arthralgia (CSA) recruited at disease onset (not previously  
5 exposed to any disease-modifying antirheumatic drugs) from the Hospital Universitario  
6 Central de Asturias. A group of 96 healthy controls (HC) were recruited among age- and sex-  
7 matched healthy individuals from the same population. A group of 42 RA patients with long-  
8 lasting disease (LRA) was recruited as a validation cohort.

9 A complete clinical examination including disease indices, traditional CV risk factor  
10 assessments and fasting blood sample collection was performed during the clinical  
11 appointment (Supplementary Material and Methods, available at *Rheumatology* online).

### 12 Quantification of antibodies against HDL particles

13 Levels of antibodies against HDL or ApoA1 (both IgG and IgM) were measured in serum  
14 samples by in-house enzyme-linked immunosorbent assays (ELISA) as previously described  
15 [12] with slight modifications (Supplementary Material and Methods).

### 16 Vascular imaging and functional assessments

17 Doppler ultrasound assessment in B-mode using a Toshiba Aplio XG was performed to  
18 evaluate carotid intima-media thickness (cIMT), atherosclerosis plaque occurrence and  
19 vascular functionality according to the “Mannheim Carotid Intima-Media Thickness  
20 Consensus (2004-2006)” (Supplementary Material and Methods).

### 21 Lipoprotein characterization

22 An advanced lipoprotein characterization including the assessment of lipid content  
23 (cholesterol and triglycerides) of VLDL, IDL, LDL and HDL, the particle number of VLDL,  
24 LDL and HDL and their subclasses (small, medium and large), and their size (diameter) was  
25 performed by H-NMR (Supplementary Material and Methods).

### 26 Measurement of serum cytokine levels

27 The serum levels of IFN $\alpha$ , MIP1 $\alpha$ , IL-6, TNF, IFN $\gamma$ , IL-1 $\beta$ , IL-23, IL-12 and IL-8 were  
28 assessed using pre-defined multiplex assays (Supplementary Material and Methods).

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2  
3 1 Proteomic analysis

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5 2 A pre-defined panel of 92 CV-related proteins was measured in serum using Olink platforms  
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7 3 (Supplementary Material and Methods).

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9 4 Statistical analyses

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11 5 Variables were summarized as median (interquartile range), mean±standard deviation, or  
12  
13 6 n(%), as appropriate. Differences among groups were evaluated by one-way ANOVA, Mann-  
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15 7 Whitney U, Kruskal-Wallis or  $\chi^2$  tests; and correlations were assessed by Spearman ranks'  
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17 8 tests. Further details on statistical analyses can be found in Supplementary Material and  
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19 9 Methods.

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1 Anti-HDL and anti-ApoA1 antibodies exhibit distinct associations with lipoprotein profiles  
2 and inflammatory mediators in CSA and RA

3 Next, the associations between antibodies against HDL particles and lipoprotein profiles  
4 (Supplementary Table S4, available at *Rheumatology* online) obtained by H-NMR were  
5 analysed. No major differences in lipoprotein assessments were found across groups. IgG  
6 anti-HDL levels were correlated with lipoprotein content in very low-, intermediate- and  
7 high-density lipoproteins, as well as negatively with HDL particle number in RA patients  
8 (Table 1). Of note, these associations were mostly attributed to the HDL small particle  
9 subclass, which was strongly positively correlated with PON1 activity in this group  
10 (Supplementary Figure S2 & Table S5, available at *Rheumatology* online). No associations  
11 with IgM isotype or anti-ApoA1 antibodies were registered. Although no associations with  
12 IgG anti-HDL were found in CSA individuals, IgG anti-ApoA1 levels negatively paralleled  
13 HDL content, particle number and size distribution in CSA individuals (Table 1), thus  
14 mirroring those of the IgG anti-HDL in the RA group. No associations were registered in  
15 HC.

16 Neither IgG anti-HDL nor IgG anti-ApoA1 were associated with disease activity in RA  
17 patients (DAS28:  $r=-0.096$ ,  $p=0.395$  and  $r=0.091$ ,  $p=0.418$ ; SDAI:  $r=-0.109$ ,  $p=0.332$  and  
18  $r=0.132$ ,  $p=0.239$ , respectively). No correlations were found in other clinical features such as  
19 symptoms duration, morning stiffness or acute-phase reactant levels (all  $p<0.050$ ).  
20 Equivalent findings were observed in CSA individuals, although IgG anti-ApoA1 were  
21 positively associated with ESR ( $r=0.670$ ,  $p=0.013$ ) in this group. The levels of IgG anti-HDL  
22 or anti-ApoA1 were not influenced by RF (RA:  $p=0.661$  and  $p=0.836$ , CSA:  $p=0.491$  and  
23  $p=0.999$ , respectively) or ACPA positivity (RA:  $p=0.616$  and  $p=0.852$ , CSA:  $p=0.259$  and  
24  $p=0.620$ , respectively). Furthermore, traditional CV risk factors were not associated with  
25 antibody levels in RA or CSA groups (Supplementary Table S6, available at *Rheumatology*  
26 online). Equivalent results were obtained from the HC population (Supplementary Table S7,  
27 available at *Rheumatology* online). Restricting the HC population only to those free of  
28 traditional CV risk factors ( $n=68$ ) did not change the results of the control-arthritis  
29 comparisons (data now shown). A similar effect was observed for those presenting with at



1 least one traditional CV risk factors (n=28). Equivalent findings were observed in the  
2 validation cohort (Supplementary Table S8, available at *Rheumatology* online).

3 Additionally, the associations between antibodies against HDL components and serum  
4 cytokines were examined. IgG anti-HDL levels were positively associated with IFN $\alpha$ , MIP-  
5 1a, IL-6, IL-8 and IFN $\gamma$ , and a similar picture was found for their IgM counterparts, whereas  
6 a distinct pattern of associations was registered for anti-ApoA1 responses (Supplementary  
7 Table S6). In the CSA group, only IgM ApoA1 levels correlated with those of IL-12  
8 (Supplementary Table S9, available at *Rheumatology* online).

9 Taken together, these findings revealed that different IgG, but not IgM, antibodies against  
10 HDL particles and ApoA1 were associated with unfavourable lipoprotein features in RA and  
11 CSA, respectively. A similar picture was observed with inflammatory mediators.  
12 Importantly, the levels of these antibodies were independent of disease features and  
13 traditional CV risk factors in all populations.

#### 14 IgG anti-HDL antibodies were associated with atherosclerosis burden and improved risk 15 stratification in RA

16 Next, the associations between antibodies against HDL and subclinical atherosclerosis, alone  
17 or in combination with traditional CV risk factors, were analysed.

18 IgG anti-HDL levels were associated with plaque presence and number in RA patients, and  
19 equivalent findings were retrieved for IgG anti-ApoA1 in CSA (Table 2). When patients were  
20 stratified by mSCORE risk strata, IgG anti-HDL and anti-ApoA1 antibodies were related to  
21 atherosclerosis in the low-risk group (mSCORE<5) in RA (n=62, p=0.034) and CSA (n=13,  
22 p=0.019), respectively. No associations were observed for the IgM counterparts. Moreover,  
23 none of the antibodies was found to correlate cIMT or vascular stiffness in these groups  
24 (Table 2).

25 In the RA group, those associations remained after adjusting for traditional CV risk factors  
26 as potential confounders (Table 3) (Supplementary Table S10, available at *Rheumatology*  
27 online). IgG anti-HDL levels alone were able to discriminate between patients with and  
28 without atherosclerosis (AUC [95% CI]: 0.669 [0.547–0.790], p=0.012). Adding IgG anti-  
29 HDL tertiles to the mSCORE (mSCORE + anti-HDL) improved the identification of RA

1 patients with atherosclerosis (Table 4). Although adding those of IgG anti-ApoA1 led to  
2 certain improvement, superiority was demonstrated for anti-HDL resulting in a better  
3 discrimination capacity (difference between areas = 0.086 [0.023–0.150],  $p=0.007$ ),  
4 improved classification metrics (sensitivity, percentage of patients correctly classified, and  
5 Matthews Correlation coefficient) and risk prediction (Hosmer-Lemeshow statistic) (Table  
6 4). NRI features clearly confirmed a better patient reclassification to higher risk categories  
7 for those presenting atherosclerosis with a negligible effect in those without. Furthermore,  
8 although achieving similar highest Youden indices, the optimal cut-off value achieved by  
9 adding IgG anti-HDL to the mSCORE was more realistic for stratification than that of  
10 mSCORE alone or adding anti-ApoA1 (Table 4), which was mostly specificity-skewed.  
11 Finally, IgG anti-ApoA1 levels were able to discriminate atherosclerosis status in CSA  
12 individuals (AUC: 0.819 [0.719–1.000],  $p=0.021$ ), but the low sample size prevented  
13 multivariate analyses.

14 All these results that antibodies against HDL particles were independently associated with  
15 atherosclerosis burden in the earliest phases of arthritis. IgG anti-HDL levels improve patient  
16 stratification over conventional algorithms alone and were superior to their anti-ApoA1  
17 counterparts.

#### 18 IgG anti-HDL response was associated with serum proteomic signatures related to immune 19 activation, remodelling, and lipid metabolism

20 In order to get insight into the pathogenic mechanisms underlying the humoral responses  
21 against HDL components, the associations between antibody levels and serum proteomic  
22 profiles were evaluated in RA patients.

23 Several univariate correlations between proteomic features and IgG/IgM anti-HDL levels  
24 were detected (Supplementary Table S11, available at *Rheumatology* online). Some  
25 associations were also observed for IgG/IgM anti-ApoA1, although to a lower extent. After  
26 FDR controlling by Benjamini-Hochberg, a total of 23 features were associated with IgG  
27 anti-HDL, whereas 5 did with their IgM counterparts (Supplementary Table S12, available  
28 at *Rheumatology* online), and no associations were observed for anti-ApoA1 responses.

1  
2  
3 1 Proteins independently associated with IgG anti-HDL levels showed a significant protein-  
4 protein interaction enrichment ( $p < 1.0 \cdot 10^{-16}$ ) (Figure 2A) using the STRING platform. Protein  
5 2 nodes grouped into two main clusters, one including mostly immune and inflammatory  
6 3 mediators, and a second one including adhesion and extracellular matrix proteins, with PGF,  
7 4 ANGPT1, FGF21 and LPL located as hubs between clusters. Of note, some of these nodes  
8 5 showed major differences at the network level between patients with and without  
9 6 atherosclerosis (Supplementary Figure S3, available at *Rheumatology* online). Pathway  
10 7 annotation using ShinyGO uncovered functional pathways participated by these proteins,  
11 8 including immune activation, extracellular matrix homeostasis and remodelling, and  
12 9 response to cytokines (Figure 2B). Pathway analysis using KEGG mapper also identified  
13 10 other relevant pathways such as “cytokine-cytokine receptor interaction”, “rheumatoid  
14 11 arthritis”, “lipid and atherosclerosis” and “viral protein interaction with cytokine and  
15 12 cytokine receptor”. Finally, analyses by the TRRUST database identified nine candidate  
16 13 transcription factors that were shared for the proteins analyzed, thus underlining common  
17 14 expression programs (Supplementary Table S13, available at *Rheumatology* online).

18 15 These data suggest that different humoral responses against HDL exhibit distinct underlying  
19 16 serum proteome signatures, and IgG anti-HDL antibodies correlate with several proteins  
20 17 involved in pathogenic mechanisms related to immune activation, remodelling, and lipid  
21 18 metabolism in RA.  
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## 1 DISCUSSION

2 The role of the humoral response as the missing link between autoimmunity, lipoproteins and  
3 CVD has gained attention in recent years, especially in the field of systemic autoimmune  
4 rheumatic diseases. Herein we demonstrated that humoral responses against HDL particles  
5 are an early event within RA disease course, although quantitative and qualitative differences  
6 can be noticed among stages. These differences were paralleled by distinct capacities for  
7 improving risk stratification, as well as with associations with lipoprotein particle size,  
8 content, functionality, and with underlying pathogenic pathways.

9 A major breakthrough of this study is the characterization of the antibody responses against  
10 HDL particles during the earliest phases of inflammatory arthritis. Our findings confirmed  
11 that antibodies against HDL and its components were not only present already at disease  
12 onset, but also before the clinical diagnosis can be established. Interestingly, during the  
13 arthralgia stage only the IgG response against ApoA1 was significantly increased and a  
14 strong correlation with that of against HDL was noted, hence suggesting that all anti-HDL  
15 response is mostly anti-ApoA1-directed. On the contrary, this association was much weaker  
16 in the clinical phase of the disease, thus pointing to the emergence of other specificities within  
17 the anti-HDL response around disease diagnosis. Of note, the responses were comparable  
18 between the early and established stages, thus suggesting that the repertoire is stable after  
19 disease onset, even despite exposure to disease duration and treatments. Therefore, these  
20 findings mirror those reported for the ACPA/RF trends along disease course in RA [20,21].  
21 Of note, the differences in specificities herein reported were also associated with clinical  
22 (CVD-related) outcomes, hence expanding the relevance of the ‘epitope spreading’  
23 phenomenon [21] not only immunologically (beyond ACPA/RF), but also clinically (beyond  
24 arthritis onset). Taken together, these results strengthen the notion that CV-related alterations  
25 appear very early in the RA course in a subset of patients and follow a parallel progression,  
26 presumably by sharing pathogenic mechanisms, with other disease manifestations. Due to  
27 their early emergence around disease onset, whether they have prognostic properties warrants  
28 further studies.

29 A remarkable result was the comparative analysis of IgG anti-HDL and anti-ApoA1  
30 responses. Until date, few comparative studies have been published, and the literature seems

1 to be shifted towards ApoA1-targeted approaches, although supportive empirical evidence is  
2 scarce. Our findings shed new light into this topic. Contrary to what may be expected, both  
3 antibodies were only mildly correlated, especially in clinical disease. This is in line with  
4 reports by other authors in other conditions [16]. This poor correlation led to important  
5 differences in clinical significance, where IgG anti-HDL demonstrated to be superior in RA.  
6 Two, non-exclusive, main hypotheses may explain this finding. First, it must be noted that  
7 HDL are complex structures with a substantial and diverse protein cargo, including several  
8 inflammatory mediators [22]. The vasculo-protective functions are thus carried out by a range  
9 of different proteins. Anti-HDL responses may block different molecules, hence  
10 simultaneously counteracting several HDL activities and causing a strong, multi-level HDL  
11 dysfunction, which is more likely to cause an effect at the clinical level. This aligns with the  
12 associations observed with lipoprotein particle size distribution and content, as well as with  
13 the PON1 activity. Of note, these features are known to play a much more important role in  
14 atheroprotection than circulating HDL-C levels. Second, RA and other rheumatic conditions  
15 are hallmarked by the lipid paradox [3]. Inflammation is known to both reduce HDL-C levels,  
16 but also to trigger changes on its protein composition[23,24], mostly by increasing acute-  
17 phase reactants and decreasing ApoA1 abundance [25–28]. In fact, anti-ApoA1 antibodies  
18 have been reported to fluctuate in lupus patients [29], and the correlation between anti-HDL  
19 and anti-PON1 seems to depend on disease activity in RA [30]. Similarly, anti-PON1  
20 antibodies have demonstrated to account for a larger proportion of anti-HDL variance than  
21 anti-ApoA1 in psoriasis [31], despite the difference in abundance of these protein targets.  
22 However, the significance of anti-PON1 antibodies in RA is limited compared to that of anti-  
23 HDL [30]. Therefore, it is tempting to speculate that reducing the analyses of the humoral  
24 response against lipoproteins to a single antigen, even more if it is ApoA1, may be too  
25 simplistic especially under high-grade inflammatory conditions. This may account for the  
26 lack of associations between anti-ApoA1 responses and CV outcomes in a number of  
27 conditions [32,33], including lupus patients [29,34]. In fact, only a modest effect has been  
28 observed in established RA patients [35]. Understanding the diversity of antibodies binding  
29 HDL particles may bring new clues for patient stratification and potential novel pathogenic  
30 mediators. Consequently, our data reinforce the need of considering anti-HDL responses as  
31 the standard in this scenario. However, and also balancing technical and experimental

1 requirements, the use of anti-ApoA1 responses may be considered for certain, specific  
2 conditions, where inflammation is mildly or low-grade involved. In fact, results with anti-  
3 ApoA1 in CSA were comparable to those on anti-HDL in RA, hence strengthening this  
4 notion. This may also account for the added value of these autoantibodies in other scenarios  
5 [36–38], although a comparative analyses with that of anti-HDL are almost lacking in the  
6 literature.

7 Given the differences in added clinical value between these autoantibodies, we then  
8 investigated the underlying pathogenetic circuits to get insight into potential mechanistic  
9 pathways. First, protein signatures differed between IgG and IgM responses against the same  
10 target, thus stressing the relevance of class-switching and response maturation for their  
11 potential functional correlates. Our serum proteomic study coupled with a functional  
12 enrichment analysis confirmed that IgG anti-HDL, but not anti-ApoA1, response was  
13 associated with an enhanced pro-inflammatory milieu, elevated vascular and extracellular  
14 matrix turnover, cell adhesion and lipid metabolism. Importantly, all these biological  
15 processes are central to atherosclerosis occurrence and progression [39]. Furthermore, no  
16 associations were found with anti-ApoA1 responses, hence underlining the relevance of other  
17 antigenic targets within the HDL structure in relation to their functional correlates. The  
18 involvement of some of the inflammatory mediators (such as IFN $\alpha$ , IFN $\gamma$ , IL-6, IL-8, TNF  
19 superfamily-related, etc) have been described in established disease by our group [12] and  
20 others [40], thus confirming these connections and strengthen their relevance in the early  
21 stage. Other proteins are indicative of shared mechanisms between joint and vascular  
22 involvement (such as hOSCAR, TNF superfamily members, ADAMTS13, etc); as well as  
23 interactions between inflammatory pathways and adipocyte tissue and glucose metabolism  
24 (FGF21). The association between anti-HDL and LPL levels is remarkable, as the latter is of  
25 major relevance as a key regulator of the inflammation/lipid metabolism axis. However, its  
26 involvement in RA is far from being clear [41]. The positive correlation between anti-HDL  
27 and LPL may explain the association between the former and the lipoprotein triglyceride  
28 content observed in our study, since reduced LPL has been linked to reduced lipolysis and  
29 triglyceride clearance [42]. Of note, diminished LPL levels have been described to associate  
30 with unfavourable lipid profiles and represent a risk factor itself [43,44]. Therefore, the

1 association between anti-HDL and LPL may account for the triglyceride-rich lipoproteins  
2 and cholesterol remnant accumulation in RA, which has been already reported elsewhere but  
3 underlying causes are unclear [45–47]. Moreover, our proteomic approach revealed the  
4 existence of strong protein-protein interactions, which are related to anti-HDL responses and  
5 differ between patients with and without atherosclerosis. This is also supported by the  
6 observation of common transcription factors identified in our analyses. In view of these  
7 shared expression programs, it may be conceivable to analyze whether these protein hubs  
8 represent novel therapeutic targets that may be actionable by existing or experimental drugs.

9 Interestingly, the levels of anti-HDL or anti-ApoA1 were unrelated to traditional CV risk  
10 factors. This reinforces previous studies from our group [12, 30] and others [14, 16]. On the  
11 one hand, this poses into question the use of algorithms solely based on these risk factors,  
12 which may explain why conventional algorithms underperform risk stratification. On the  
13 other hand, this may be responsible for the clinical added value observed in our analysis,  
14 especially for anti-HDL antibodies. The addition of these antibodies to the mSCORE resulted  
15 in a significant change in the goodness of fit, sensitivity and frequency of patients correctly  
16 classified into appropriate risk groups between the reference and the new models including  
17 the antibodies. The same applies between the anti-HDL-containing model and that of anti-  
18 ApoA1, again reinforcing the role of other antigenic targets. A similar conclusion has been  
19 reached by other authors, even in non-autoimmune disorders [38]. Although there are some  
20 studies confirming that anti-ApoA1 improves risk stratification in some conditions over  
21 conventional algorithms [40], unfortunately comparative analyses with anti-HDL are very  
22 limited. Importantly, autoantibodies against lipoproteins have demonstrated their robustness  
23 as biomarkers compared to other soluble species [48]. Therefore, our findings demonstrate  
24 the clinical potential of these mediators and their ability to cover important clinical unmet  
25 needs included in the research agenda for cardiovascular management proposed by EULAR  
26 [49]. Additionally, due to the absence of validated clinical assays for HDL functionality,  
27 measurement of IgG anti-HDL levels may provide an indirect estimation in this setting. Since  
28 anti-HDL emergence is a common hallmark in a wide range of rheumatic conditions, it is  
29 tempting to speculate that these results may be of interest beyond RA, where similar research  
30 needs have been detected [50].

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3 1 In conclusion, antibodies against HDL components are present in the earliest phases of RA,  
4 2 and relate to lipoprotein particle size and content, antioxidant functionality, inflammatory  
5 3 milieu and subclinical atherosclerosis burden, but not with traditional CV risk factors. IgG  
6 4 anti-HDL antibodies improve risk stratification in RA patients and correlate with several  
7 5 pathogenic pathways involved in atherosclerosis development. To the best of our knowledge,  
8 6 this is the first study characterizing the humoral response against HDL in the early stages of  
9 7 arthritis as well as in demonstrating the anti-HDL added clinical value. Our study has some  
10 8 limitations such as cross-sectional design and lack of follow-up although the association  
11 9 between anti-HDL and hard clinical endpoints has already been demonstrated by our group.  
12 10 Prospective studies are required to assess potential differences in prognostic value of anti-  
13 11 HDL and anti-ApoA1.  
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## 1 **Author contributions**

2 All authors were involved in drafting the manuscript or revising it critically for important  
3 intellectual content and all the authors gave their approval of the final version of the  
4 manuscript to be published. Study conception and design: JRC, AS. Acquisition of data: JRC,  
5 MAL, PL, AIPA, SAC, NA, AS. Analysis and interpretation of data: JRC, MAL, GAR, FA,  
6 AS

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## 15 **Conflicts of interest**

16 The authors declare that the research was conducted in the absence of any commercial or  
17 financial relationships that could be construed as a potential conflict of interest. Dr. Amigó  
18 has a patent method for lipoprotein characterization licensed to Biosfer Teslab (Spain) from  
19 which is stock owner, a company that commercialize the lipoprotein profiles described in the  
20 present manuscript. The funders had no role in study design, data analysis, interpretation, or  
21 decision to publish.

## 22 **Ethics approval**

23 The study was approved by the local institutional review board (Comité de Ética de  
24 Investigación Clínica del Principado de Asturias) in compliance with the Declaration of  
25 Helsinki (reference CEImPA 2021.126). All study subjects gave written informed consent.

## 26 **Data Availability Statement**

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- 1 The data that support the findings of this study are available from the corresponding author  
2 upon reasonable request.

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## 1 TABLES

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3 **Table 1: Associations between antibodies against HDL and lipoprotein features.** Associations between levels of antibodies against  
 4 HDL or ApoA1 and lipoprotein features (particle content, particle size and subclasses) were analysed by Spearman's rank tests in CSA  
 5 and RA groups. Correlation coefficients (r) and p-values are shown. Those reaching statistical significance are highlighted in bold.

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	CSA				RA			
	Anti-HDL IgG	Anti-HDL IgM	Anti-ApoA1 IgG	Anti-ApoA1 IgM	Anti-HDL IgG	Anti-HDL IgM	Anti-ApoA1 IgG	Anti-ApoA1 IgM
<i>Particle content</i>								
VLDL-C	r=-0.011 p=0.970	r=-0.011 p=0.970	r=0.371 p=0.191	r=-0.407 p=0.149	<b>r=0.273</b> <b>p=0.013</b>	r=0.125 p=0.262	r=0.012 p=0.913	r=-0.044 p=0.697
IDL-C	r=-0.018 p=0.652	r=-0.191 p=0.513	r=0.349 p=0.221	r=0.015 p=0.958	<b>r=0.300</b> <b>p=0.006</b>	r=0.190 p=0.088	r=0.096 p=0.391	r=0.064 p=0.566
LDL-C	r=-0.029 p=0.923	r=0.213 p=0.464	r=0.345 p=0.215	r=0.385 p=0.175	r=-0.090 p=0.423	r=0.065 p=0.536	r=0.029 p=0.794	r=0.071 p=0.528
HDL-C	r=-0.136 p=0.642	r=-0.138 p=0.637	<b>r=-0.411</b> <b>p=0.040</b>	r=-0.113 p=0.702	<b>r=-0.302</b> <b>p=0.006</b>	r=-0.127 p=0.256	r=0.059 p=0.596	r=0.102 p=0.362
VLDL-TG	r=-0.015 p=0.958	r=-0.200 p=0.493	r=0.284 p=0.326	r=-0.477 p=0.085	r=0.177 p=0.112	r=0.049 p=0.664	r=-0.103 p=0.357	r=-0.076 p=0.499
IDL- TG	r=-0.055 p=0.852	r=-0.244 p=0.401	r=0.231 p=0.427	r=0.002 p=0.992	<b>r=0.226</b> <b>p=0.041</b>	r=0.161 p=0.150	r=0.057 p=0.611	r=0.047 p=0.678

LDL- TG	r=0.079 p=0.788	r=-0.086 p=0.771	r=0.455 p=0.102	r=0.270 p=0.350	<b>r=0.210</b> <b>p=0.058</b>	r=0.192 p=0.084	r=0.218 p=0.049	r=0.151 p=0.176
HDL- TG	r=-0.084 p=0.776	r=-0.446 p=0.110	r=0.200 p=0.493	r=-0.178 p=0.543	r=0.099 p=0.376	r=0.149 p=0.180	r=0.095 p=0.397	r=0.125 p=0.265
<b>Particle number</b>								
VLDL-P (nmol/l)	r=-0.013 p=0.964	r=-0.160 p=0.584	r=0.332 p=0.246	r=-0.486 p=0.078	r=0.197 p=0.075	r=0.077 p=0.464	r=-0.075 p=0.506	r=-0.060 p=0.593
Large	r=-0.059 p=0.840	r=-0.178 p=0.543	r=0.253 p=0.383	r=-0.516 p=0.059	r=0.169 p=0.130	r=0.020 p=0.859	r=-0.108 p=0.333	r=-0.117 p=0.294
Medium	r=0.040 p=0.893	r=-0.042 p=0.887	r=0.459 p=0.098	r=-0.437 p=0.118	r=0.246 p=0.026	r=0.031 p=0.779	r=-0.051 p=0.647	r=-0.061 p=0.588
Small	r=-0.048 p=0.869	r=-0.187 p=0.523	r=0.266 p=0.358	r=-0.486 p=0.078	r=0.192 p=0.085	r=0.078 p=0.484	r=-0.071 p=0.525	r=-0.054 p=0.627
LDL-P (mmol/l)	r=-0.031 p=0.917	r=0.196 p=0.503	r=0.327 p=0.253	r=0.275 p=0.342	r=-0.072 p=0.521	r=0.070 p=0.531	r=0.027 p=0.807	r=0.071 p=0.528
Large	r=0.165 p=0.573	r=0.156 p=0.594	r=0.415 p=0.140	r=0.418 p=0.137	r=-0.024 p=0.829	r=0.152 p=0.172	r=0.166 p=0.137	r=0.200 p=0.071
Medium	r=0.077 p=0.794	r=0.143 p=0.626	r=0.415 p=0.141	r=0.552 p=0.041	r=-0.024 p=0.829	r=0.136 p=0.221	r=0.165 p=0.139	r=0.167 p=0.133
Small	r=-0.022 p=0.940	r=0.187 p=0.523	r=0.341 p=0.233	r=0.086 p=0.771	r=-0.127 p=0.254	r=0.041 p=0.716	r=-0.130 p=0.246	r=0.011 p=0.920
HDL-P (mmol/l)	r=-0.180 p=0.537	r=-0.275 p=0.342	<b>r=-0.584</b> <b>p=0.028</b>	r=-0.239 p=0.410	<b>r=-0.356</b> <b>p=0.001</b>	r=-0.206 p=0.064	r=0.029 p=0.796	r=0.081 p=0.469
Large	r=-0.158 p=0.589	r=0.002 p=0.994	r=0.130 p=0.659	r=0.301 p=0.296	r=-0.008 p=0.943	r=0.134 p=0.232	r=0.214 p=0.054	<b>r=0.243</b> <b>p=0.029</b>
Medium	r=-0.139 p=0.637	r=-0.081 p=0.782	r=-0.270 p=0.350	r=0.288 p=0.318	r=-0.139 p=0.213	r=0.008 p=0.944	r=0.209 p=0.084	r=0.215 p=0.054
Small	r=-0.202 p=0.488	r=-0.327 p=0.253	<b>r=-0.581</b> <b>p=0.021</b>	r=0.138 p=0.637	<b>r=-0.388</b> <b>p&lt;0.001</b>	<b>r=-0.290</b> <b>p=0.008</b>	r=-0.050 p=0.658	r=-0.008 p=0.940

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<i>Particle diameter (nm)</i>								
VLDL	r=0.205 p=0.483	r=0.187 p=0.523	r=-0.086 p=0.771	r=0.204 p=0.483	r=0.057 p=0.609	r=0.156 p=0.161	r=0.055 p=0.624	r=-0.037 p=0.0740
LDL	r=-0.004 p=0.988	r=0.107 p=0.714	r=0.051 p=0.864	r=0.389 p=0.169	r=0.135 p=0.228	r=0.158 p=0.157	<b>r=0.299</b> <b>p=0.006</b>	<b>r=0.253</b> <b>p=0.022</b>
HDL	r=0.427 p=0.127	r=0.525 p=0.054	r=0.455 p=0.102	r=0.302 p=0.295	<b>r=0.331</b> <b>p=0.002</b>	<b>r=0.366</b> <b>p=0.001</b>	<b>r=0.222</b> <b>p=0.045</b>	<b>r=0.227</b> <b>p=0.040</b>

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**Table 2: Associations between antibodies against HDL and subclinical CVD features.** Associations between levels of antibodies against HDL or ApoA1 and subclinical CVD features were analyzed by Spearman ranks' tests or Mann-Whitney U tests in CSA and RA groups. Coefficients (r) and p-values, or p-values for the difference between groups are shown. Those reaching statistical significance are highlighted in bold.

	CSA				RA			
	Anti-HDL IgG	Anti-HDL IgM	Anti-ApoA1 IgG	Anti-ApoA1 IgM	Anti-HDL IgG	Anti-HDL IgM	Anti-ApoA1 IgG	Anti-ApoA1 IgM
<i>Subclinical atherosclerosis</i>								
Plaque presence	p=0.148	p=0.199	<b>p=0.020</b>	p=0.503	<b>p=0.012</b>	p=0.736	p=0.116	p=0.640
Plaque number	r=0.461 p=0.113	r=-0.420 p=0.154	<b>r=0.650</b> <b>p=0.016</b>	r=0.271 p=0.371	<b>r=0.274</b> <b>p=0.016</b>	r=0.057 p=0.622	r=0.144 p=0.213	r=0.074 p=0.522
Plaque risk	p=0.215	p=0.339	p=0.319	p=0.535	p=0.535	p=0.319	p=0.339	p=0.215
cIMT	r=0.096 p=0.754	r=0.465 p=0.109	r=0.143 p=0.641	r=0.033 p=0.915	r=-0.031 p=0.791	r=-0.214 p=0.061	r=-0.025 p=0.830	r=0.023 p=0.840
<i>Vascular stiffness</i>								
VS	r=0.414 p=0.205	r=0.588 p=0.067	r=0.030 p=0.931	r=0.358 p=0.279	r=0.155 p=0.282	r=-0.052 p=0.722	r=-0.146 p=0.312	r=0.012 p=0.934
VD	r=0.052 p=0.887	r=0.309 p=0.386	r=-0.057 p=0.875	r=0.117 p=0.749	r=0.064 p=0.676	r=0.114 p=0.455	r=-0.035 p=0.821	r=0.019 p=0.902
VSf	r=-0.013 p=0.971	r=-0.276 p=0.441	r=0.137 p=0.706	r=-0.015 p=0.968	r=-0.117 p=0.445	r=-0.200 p=0.187	r=-0.063 p=0.679	r=-0.071 p=0.641
PSEM	r=-0.014 p=0.912	r=-0.376 p=0.322	r=-0.439 p=0.237	r=-0.324 p=0.395	r=-0.147 p=0.334	r=-0.220 p=0.147	r=-0.055 p=0.721	r=-0.091 p=0.552

**Table 3: IgG anti-HDL as predictor of atherosclerosis plaque occurrence in RA.** The role of IgG anti-HDL levels as predictor of atherosclerosis occurrence in early RA patients was analysed by univariate and multivariate logistic regression analyses. The presence of atherosclerosis plaque was entered as the dependent variable.

	<b>OR</b>	<b>95% CI</b>	<b>p-value</b>
<i>Univariate</i>			
IgG anti-HDL, per unit	1.001	1.000 – 1.001	0.031
<i>Multivariate</i>			
IgG anti-HDL, per unit	1.001	1.000 – 1.002	0.004
Sex, women	0.152	0.021 – 1.104	0.063
Age, per year	1.107	1.027 – 1.195	0.008
Dislipemia, yes	1.436	0.314 – 6.575	0.641
Diabetes, yes	0.001	0.000 – 0.001	0.999
Hypertension, yes	4.108	0.640 – 26.372	0.136
Smoking, yes	5.120	1.000 – 27.163	0.050

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Obesity, yes	0.270	0.049 – 1.491	0.133
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**Table 4: IgG anti-HDL improved CV risk stratification in early RA.** Analysis of the added value of IgG anti-HDL levels to the mSCORE risk stratification compared to the use of mSCORE alone or adding IgG anti-ApoA1 levels. Classification, calibration metrics and goodness-of-fit statistics are shown.

	<b>mSCORE</b>	<b>mSCORE + IgG anti-HDL</b>	<b>mSCORE + IgG anti-ApoA1</b>
AUC ROC (95% CI)	0.636 (0.514 – 0.759)	0.826 (0.731 – 0.922)	0.740 (0.627 – 0.852)
p-value	p=0.044	p<0.0001	p=0.0003
Mathews' Correlation Coefficient	0.319 p=0.003	0.514 p<0.0001	0.431 p<0.001
Hosmer-Lemeshow test	p=0.002	p=0.207	p<0.001
R2	0.173	0.510	0.297
OR (95% CI)	13.12 (1.62 – 106.00)	36.80 (7.67 – 176.93)	10.500 (3.14 – 35.05)
% Patients Correctly Classified	57.14 (45.37 – 68.19)	80.52 (69.60 – 88.34)	71.43 (59.83 – 80.86)
Likelihood Ratio (95% CI)	9.43 (1.31 – 68.13)	11.12 (2.87 – 43.01)	4.72 (1.84 – 12.12)
Sensitivity	30.43 (18.20 – 45.92)	71.74 (56.32 – 83.54)	60.87 (45.39 – 74.54)

Specificity	96.77 (81.49 – 99.83)	93.55 (77.16 – 98.87)	87.10 (69.52 – 95.92)
Positive Predictive Value	93.33 (66.03 – 99.65)	94.29 (79.48 – 99.00)	87.50 (70.07 – 95.92)
Negative Predictive Value	48.39 (35.66 – 61.32)	69.05 (52.76 – 81.69)	60.00 (44.37 – 73.94)
Youden Index (value)	0.632 (2.25)	0.685 (4.75)	0.634 (2.75)
NRI		0.381	0.207
NRI non-events		-0.032	-0.096
NRI events		0.413	0.304

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3 **1 FIGURE LEGENDS**  
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8 **3 Figure 1: Levels of antibodies against HDL particles across study groups.** (A) Levels of  
9 IgG anti-HDL and anti-ApoA1 (both IgG and IgM) in HC, CSA individuals and early RA  
10 patients are shown. Bars represent 25<sup>th</sup> percentile (lower), median and 75<sup>th</sup> percentile (upper).  
11 Differences were assessed by Kruskal-Wallis tests with Dunn-Bonferroni post-hoc tests. The  
12 p-values from the latter were indicated as follows: \*  $p < 0.050$ , \*\*  $p < 0.010$  and \*\*\*  $p < 0.001$ .  
13 (B) The associations among different antibodies (isotypes and/or specificities) were studied  
14 across study groups in correlograms. Correlation coefficients for each pair of variables are  
15 shown (white). Gradient (see key) varied tone from positive to negative correlations.  
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25 **12 Figure 2: Pathogenic protein signatures related to IgG anti-HDL levels in early RA.** (A)  
26 Protein-protein interactions among proteomic species found to be associated with IgG anti-  
27 HDL levels in early RA depicted in a network graph by the STRING platform. Two main  
28 clusters were identified. (B) Functional classification of the proteomic species into biological  
29 pathways (top 10) retrieved by the ShinyGO platform. Enrichment FDR and fold enrichment  
30 is indicated for each pathway identified.  
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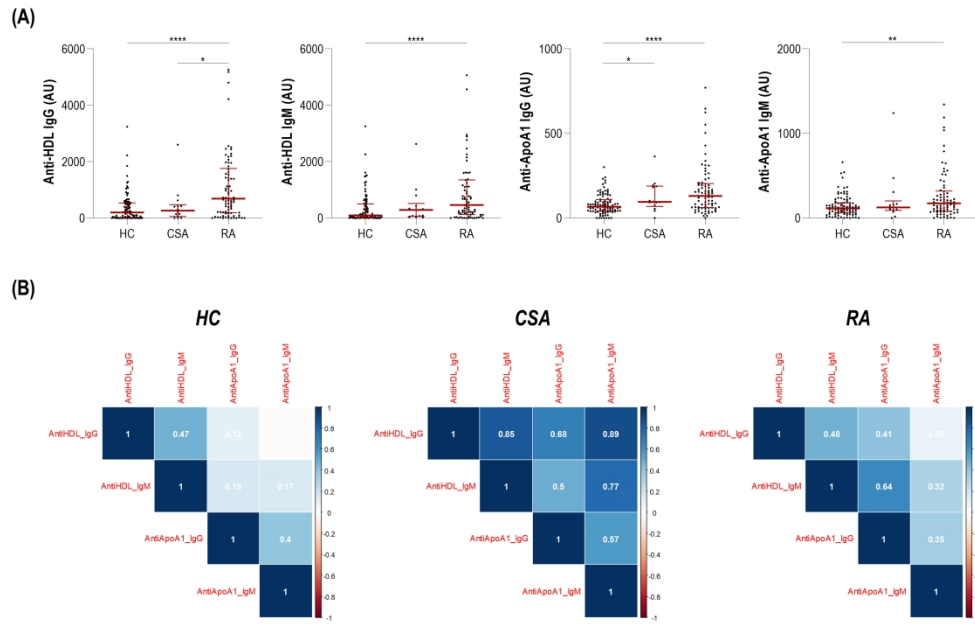
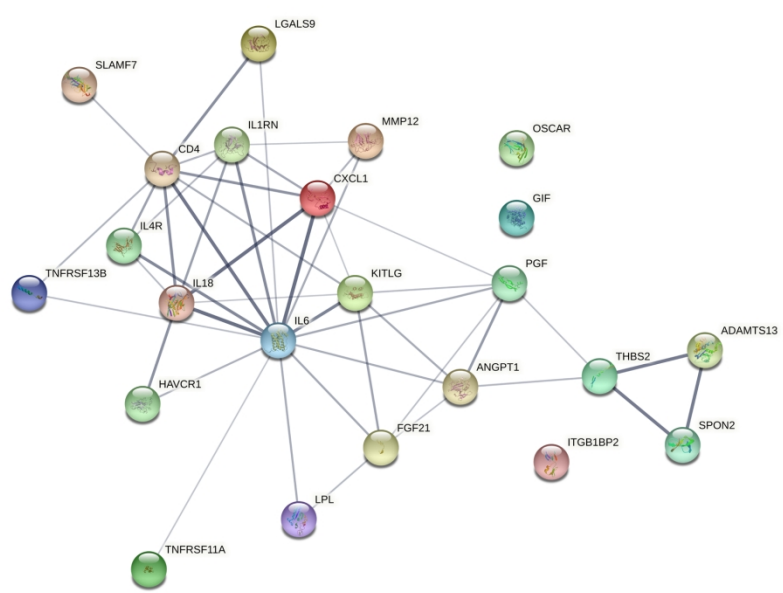


Figure 1

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(A)



(B)

Pathway	Enrichment FDR	Fold enrichment
Cell adhesion	3.9·10 <sup>-7</sup>	7.9
Biological adhesion	3.9·10 <sup>-7</sup>	7.8
Positive regulation of multicellular organismal process	3.9·10 <sup>-7</sup>	8.0
Cytokine-mediated signaling pathway	4.5·10 <sup>-6</sup>	10.3
Cellular response to cytokine stimulus	4.5·10 <sup>-6</sup>	8.3
Hemopoiesis	4.9·10 <sup>-6</sup>	9.6
Positive regulation of chemokine production	4.9·10 <sup>-6</sup>	57
Response to cytokines	5.3·10 <sup>-6</sup>	7.8
Hematopoietic or lymphoid organ development	5.3·10 <sup>-6</sup>	9.3
Regulation of cell population proliferation	5.3·10 <sup>-6</sup>	6.5

Figure 2

175x224mm (300 x 300 DPI)